AMERICAN COUNCIL OF LEARNED SOCIETIES
ANNUAL REPORTS FOR 2015 AND 2016

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THE COVER FEATURES THE 74 MEMBER SOCIETIES OF ACLS.
At its founding in 1919, the American Council of Learned Societies was dedicated to “the advancement of humanistic studies.” That is still our mission, but what form does our work take nearly a century later? The listing on pages 18–47 of the research topics pursued by the scholars awarded ACLS fellowships provides one answer to that question. Research advances humanistic knowledge. ACLS fellowships and grants give scholars the opportunity to create new knowledge through disciplined inquiry and reflective writing. But ACLS awards convey more than just money. The rigorous peer-review process that determines our awards bestows the validation of the broader scholarly community, just as it marshals the support of foundations, individuals, and our college and university Associates. This broad-based investment in the humanities has made it possible for us to increase the number and amount of fellowships and grants we award to $18 million in 2015–2016, the second year covered by this Report.

Because we believe that knowledge is a public good, we strive to promote the circulation of humanistic knowledge in society. Fifty years ago, ACLS, along with the Council of Graduate Schools in the United States and the United Chapters of Phi Beta Kappa, sponsored a Commission on the Humanities, whose 1964 report helped convince the Congress to create the National Endowment for the Humanities one year later. One passage of that report mandates a compelling mission: “This Commission conceives of the humanities, not merely as academic disciplines confined to schools and colleges, but as functioning components of society which affect the lives and well-being of all the population.” Today, ACLS is working to enhance the humanities’ presence beyond the classroom. As of 2016, the Mellon/ACLS Public Fellows Program has placed more than 100 recent humanities PhDs in careers in government and nonprofit organizations, where their expertise can serve the public. In 2016 we also began, with the support of the Henry Luce Foundation, our Program in Religion, Journalism & International Affairs, designed to bring scholarly insight to public discussions of these often fraught issues.

As a federation of independent scholarly associations, ACLS is representative of and responsible to the academic humanities. Study of the humanities requires a respect for facts and attention to sources. It fosters an appreciation of the diversity of human experience, creativity, and expression. This Report chronicles two years of the advance of the humanities, work we will sustain for years to come.

The 2016 ACLS Annual Meeting featured a wide-ranging conversation between ACLS President Pauline Yu and Darren Walker, president of the Ford Foundation. Their exchange focused on how Walker decided on social inequality as the “north star” of the Foundation’s funding and how this relates to his “new gospel of wealth.” Walker recalled his encounter with the humanities as a student at the University of Texas at Austin as transformational, saying that he hoped today’s students can have such a life-changing experience. Private philanthropy, Walker and Yu agreed, can fill gaps that neither public institutions nor corporations can. A video of the conversation is available on the ACLS website at www.acls.org/media.

Left: Darren Walker and Pauline Yu at the 2016 ACLS Annual Meeting.

www.acls.org/publications/neh/1964_commission_on_the_humanities.pdf
### ACLS Member Learned Societies

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<th>Year of Founding</th>
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HIGHLIGHTS OF ACTIVITIES

The American Council of Learned Societies was founded in 1919. Our mission is “the advancement of humanistic studies in all fields of learning in the humanities and the social sciences and the maintenance and strengthening of relations among the national societies devoted to such studies.” As we approach our centennial, we continue to support scholars to pursue free and rigorous inquiry, nurture communities of scholars including our member societies, and promote access to and engagement with the humanities. These three aspects of our activities are interrelated, as illustrated by these highlights of ACLS activity during 2015 and 2016.

SUPPORTING SCHOLARS TO PURSUE FREE AND RIGOROUS INQUIRY

In the two competition cycles covered by this report, ACLS awarded a total of over $36 million in fellowships and grants to more than 600 scholars representing the broad range of humanities disciplines. The programs encompassed all stages of the academic career as well as independent scholars, provided opportunities for collaborative and individual research, encouraged interdisciplinary and cross-disciplinary approaches, and included both residential and portable fellowship options.

ACLS also expanded its program offerings in 2015 and 2016:

- The Luce/ACLS Program in Religion, Journalism & International Affairs was launched in 2016. Informed by a series of conversations with researchers, public policy experts, and journalists, the program was designed to foster connections between scholars in the humanities and journalists who report on international affairs. There are two components to this initiative: grants to universities seeking to connect scholarship on religion to journalistic training and practice, and fellowships to scholars of religion who wish to develop the capacities and interdisciplinary networks necessary to relate their specialist knowledge to media and policy audiences.

- Ten fellowships specifically for liberal arts college faculty were added to the Frederick Burkhardt Residential Fellowships for Recently Tenured Scholars. The program as a whole supports work on a long-term, unusually ambitious project. The new component offers college faculty an academic year without teaching commitments spent in residence at a university research or humanities center.

Amy M. Mooney, associate professor of art history and visual college at Columbia College Chicago, is using her 2016 ACLS Fellowship to examine the role portraiture played in fostering social change in the United States from the 1890s through the 1950s. In that era of racial, ethnic, and class tensions, portraiture of African Americans and immigrants was part of campaigns for social equality, meant to elicit empathy for its subjects. The negotiation between these explicit goals and the way the images were actually created and received presents a compelling narrative about the relationships of individual and collective identities.

FELLOWSHIP AND GRANT PROGRAMS

ACLS Collaborative Research Fellowships, for small teams of scholars to coauthor a substantive research project

ACLS Digital Extension Grants, for teams of scholars seeking to enhance established digital projects and extend their reach to new communities of users (new in 2015–2016)

ACLS Digital Innovation Fellowships, for work on a major project that broadens understanding of digital scholarship and exemplifies the robust infrastructure necessary for it (2014–2015)

ACLS Fellowships, our central program, for research toward a significant scholarly work

African Humanities Program Dissertation Fellowships, for dissertation completion

African Humanities Program Postdoctoral Fellowships, for scholars within eight years of PhD

Charles A. Ryskamp Research Fellowships, for advanced assistant professors (2014–2015)

Comparative Perspectives on Chinese Culture and Society Grants, for planning meetings, workshops, and conferences

Frederick Burkhardt Residential Fellowships for Recently Tenured Scholars, for work on a long-term, unusually ambitious project

Luce/ACLS Dissertation Fellowships in American Art, for doctoral students in US art history at any point in dissertation research or writing

Luce/ACLS Program in China Studies Collaborative Reading-Workshop Grants, for in-depth, cross-disciplinary examinations of significant texts

Luce/ACLS Program in China Studies Postdoctoral Fellowships, for work based on research in China

Luce/ACLS Program in China Studies Predissertation-Summer Travel Grants, for preliminary investigations of sources and institutional contacts in China prior to beginning dissertation research

Luce/ACLS Program in Religion, Journalism & International Affairs: Grants for Universities, to support cross-sector collaborations that build connections between the study of religion and journalism and media (new in 2015–2016)

Mellon/ACLS Dissertation Completion Fellowships, for doctoral students in their last year of writing

Mellon/ACLS Public Fellows, for recent PhDs to be placed in two-year, career-building fellowships at diverse partnering organizations in government and the nonprofit sector

The Robert H. N. Ho Family Foundation Collaborative Research Fellowships in Buddhist Studies, for international, interdisciplinary collaborations

The Robert H. N. Ho Family Foundation Dissertation Fellowships in Buddhist Studies, for research and writing

The Robert H. N. Ho Family Foundation New Professorships in Buddhist Studies, for seeding new tenure-track positions (new in 2015–2016)

The Robert H. N. Ho Family Foundation Postdoctoral Fellowships in Buddhist Studies, for two-year residencies

The Robert H. N. Ho Family Foundation Research Fellowships in Buddhist Studies, for research and writing with no restrictions on time from the PhD (new in 2015–2016)

The Robert H. N. Ho Family Foundation Visiting Professorships in Buddhist Studies (2014–2015) to allow universities and colleges to host accomplished teacher-scholars
Among the other programs added during this period are a seed-grant program funding new faculty lines in the developing field of Buddhist studies, an exploratory initiative to study and provide insight into the impact of postdoctoral fellowships in the humanities, and a new grant program to support institutional cooperation and enhance the diversity of humanistic research in the digital domain.

The ACLS selection process is grounded in rigorous peer review. Each year nearly 600 scholars devote their time and expertise to reviewing applications. Their choices for ACLS funding identify merit and promising directions in research. In this way, ACLS contributes to academic self-governance and establishes standards of excellence in scholarship, two goals that help define our endeavors and those of our member societies. Those scholars who served on selection committees are listed on pages 48–51.

The American Schools of Oriental Research (ASOR) Cultural Heritage Initiatives, through a cooperative agreement with the US Department of State and non-federal organizations, is engaged in crucial work to safeguard and preserve Syrian cultural heritage in the midst of its civil war. Initiated in 2014, the program has three aims: 1) documenting damage, 2) promoting global awareness, and 3) planning emergency and post-war responses. ASOR’s outreach includes providing satellite imagery showing destruction of sites and up-to-date monitoring, reporting, and fact-finding on the state of cultural heritage in Syria and northern Iraq through a series of bi-weekly reports available on their website at www.asor-syrianheritage.org/.


NURTURING COMMUNITIES OF HUMANITIES SCHOLARS INCLUDING ACLS MEMBER SOCIETIES

Thirteen learned societies created ACLS in 1919 to represent the community of American humanities scholars in the Union Académique Internationale (International Union of Academies). Today, 74 societies in the humanities and related social sciences belong to ACLS. Each of our member societies is concerned with a distinct field of study, but all are involved in the promotion of research, scholarly publication, and education.

The executive directors of ACLS societies meet as the Conference of Executive Officers (formerly known as the Conference of Administrative Officers). ACLS facilitates their individual and collective work by organizing two meetings a year, with programming of the group’s choice, and by providing opportunities for in-person and digital communications throughout the year. Fall meetings are subsidized by city convention and visitors’ bureaus so that CEO members can consider the location for their own meetings. The 2014 and 2015 fall meetings were held in Honolulu and Montreal, respectively, and included these illustrative sessions:

- “Learned Societies and Public Controversies” focused on the actions available to executive directors to address the wide variety of topics brought to societies by individual members. CEO members shared their procedures for adopting public statements on controversial questions, and the group discussed the differentiation between professional and political issues.
“Learned Societies and Evolving Definitions of Scholarship” considered new forms of scholarship that fall outside traditional evaluation protocols based on print books and articles. The primary questions that emerged from the discussion were what constitutes scholarship and what other forms of scholarly output—including advocacy efforts, documentaries, and digital mapping projects—should be taken into account in cases of tenure and promotion. Learned societies have a role in developing standards for evaluation.

A wider community convenes at the ACLS annual meeting each spring. Participants include Delegates from member societies, ACLS Board of Directors and Investment Committee members (listed on page 65), the Conference of Executive Officers, foundation representatives, college and university Associates, representatives of Affiliate organizations, ACLS fellows and grantees, and other guests. The meeting takes place over two days and addresses both intellectual and organizational matters, such as:

- A 2015 session focused on “Literacies in the 21st Century.” Keynote speaker Deborah Brandt, professor emerita of English at the University of Wisconsin-Madison, posited that literacy has developed more through writing than reading, with writers becoming many and readers fewer. Panelists noted a move toward the visual, with image and spatial reasoning now taken into account. It was agreed that in twenty-first century literacy, the oral, visual, and digital overlap.

- Each year three ACLS fellows have the opportunity to present their research in a session entitled “Emerging Themes and Methods of Humanities Research.” In 2015 and 2016, featured projects included the digital analysis and dissemination of sixteenth-century Zapotec manuscripts, an examination of contested narratives surrounding a tourist’s death in Kenya, and a political and social history of the hi-tech revolution in Silicon Valley.

The Charles Homer Haskins Prize Lecture is a highlight of each annual meeting. Named for the first chairman of ACLS, the series has as its subject “A Life of Learning,” with lecturers asked “ . . . to reflect on a lifetime of work as a scholar, on the motives, the chance determinations, the satisfactions (and the dissatisfactions) of the life of learning; to explore through one’s own life the larger, institutional life of scholarship . . . and to share with other scholars the personal process of a particular lifetime of learning.” Audiences at the 2015 and 2016 lectures were treated to life stories, both personal and professional, by Wendy Doniger, Mircea Eliade Distinguished Service Professor of the History of Religions at the University of Chicago, and by Cynthia Enloe, Research Professor in the Department of International Development, Community, and Environment at Clark University. The lectures are available in print and video on our website, at www.acls.org/media, along with previous Haskins Prize lectures.
PROMOTING ACCESS TO AND ENGAGEMENT WITH THE HUMANITIES

Annual meetings also are occasions for the president to address ACLS’s governing Council. In recent reports, Pauline Yu has reflected on the impact upon the humanities enterprise of the “velvet rope economy,” in which goods and services are increasingly offered in distinct tranches, with a premium product reserved for the privileged. ACLS seeks to counter academic inequality with programs aimed at extending the reach of scholarship and engaging with new partners and broader publics.

- “The humanities will not thrive without a professoriate as diverse as the culture and society it seeks to interpret,” said President Yu in 2015. Toward that end, ACLS has partnered with The Andrew W. Mellon Foundation to administer the Mellon Mays Undergraduate Fellowship Program (MMUF), an initiative to increase diversity in the faculty ranks of institutions of higher learning. MMUF fellows are chosen by faculty and administrators, typically in the sophomore year, and are provided with mentoring and financial support to pursue doctoral study in the humanities and other select fields. ACLS is coordinating the application and reporting processes among MMUF member institutions and collaborating with MMUF staff in analyzing the program’s activities and outcomes.

- ACLS named its 100th Mellon/ACLS Public Fellow in 2016. The program places recent PhDs from the humanities and humanistic social sciences in two-year staff positions at government and nonprofit agencies, demonstrating that the capacities developed in the advanced study of the humanities have wide application beyond the academy. As the program has grown over the years so, too, has the number of institutional partnerships that facilitate the placement of our fellows, among them the Smithsonian Institution, the National Immigration Law Center, and Public Radio International. Many of our fellows are adding further dimension to their “publicness” by taking on outward-facing initiatives at their host organizations, authoring publications in the fields of investigative journalism, equal justice and economic policy, and international human rights work.

- ACLS Digital Extension Grants are the latest iteration in a series of ACLS digital projects stretching back to the 1960s. Funds are provided to enhance and extend the reach of established digital projects by, for example, diversifying content or developing systems to make content more broadly available. ACLS support will promote new inter-institutional collaborations, new forms and sites for training, new modes of engagement with a project, and new modes of making project content available.
SUPPORT TO ACLS
INCLUDING INDIVIDUAL GIVING
SUPPORT TO ACLS

Each year, ACLS receives support from more than 1,000 ACLS fellows, friends, foundations, universities, and other donors. Contributed income totaled $15.1 million in 2014–2015 and $29.9 million in 2015–2016. We are grateful to all our supporters.

Contributors to our endowment help sustain ACLS’s support of humanities research and academic self-governance through market cycles and fluctuations in annual giving. ACLS’s endowment was valued at $102 million on June 30, 2016. The endowment payout supports many aspects of ACLS’s operations, with the greatest impact on our fellowship programs: In 2015–2016, the endowment contributed $3.4 million to fellowships.

ACLS is fortunate to have close and, in many cases, longstanding partnerships with philanthropic foundations worldwide. Most foundation grants to ACLS are re-granted as fellowships to individual scholars through our respected peer-review process.

- The Andrew W. Mellon Foundation
- The Carnegie Corporation
- The Chiang Ching-kuo Foundation for International Scholarly Exchange
- The Ford Foundation
- The Henry Luce Foundation
- The National Endowment for the Humanities
- The Robert H. N. Ho Family Foundation

More than 200 universities and colleges endorse ACLS through Associate membership. The following members of our Research University Consortium have made an additional multi-year commitment of $50,000 annually to support the ACLS Fellowship program.

Brown University
Columbia University
Cornell University
Dartmouth College
Duke University
Emory University
Harvard University
Indiana University Bloomington
Johns Hopkins University
Massachusetts Institute of Technology
New York University
Northwestern University
The Ohio State University
Princeton University
Rutgers University, New Brunswick
Stanford University
University of California, Berkeley
University of California, Davis
University of California, Irvine
University of California, Los Angeles
University of California, Santa Barbara
University of Chicago
University of Illinois, Urbana-Champaign
University of Michigan, Ann Arbor
University of Minnesota, Twin Cities
University of North Carolina, Chapel Hill
University of Notre Dame
University of Pennsylvania
University of Pittsburgh
University of Southern California
University of Utah
University of Virginia
University of Wisconsin-Madison
Vanderbilt University
Yale University

Information as of April 2017.
Individual giving is essential to sustaining ACLS’s program. Gifts from our loyal individual donors provide flexible funding for our most important priorities. These gifts have allowed us to increase fellowship stipends, augment our programs, and plan new initiatives. The breadth of our individual support demonstrates to all stakeholders that ACLS’s programs are widely valued and deserving of investment.

$250,000 GIFT CELEBRATES ACLS’S PAST—AND FUTURE

Board member Carl H. Pforzheimer III and his wife, Betty, have made an unrestricted donation of $250,000 in recognition of ACLS’s 97-year legacy of placing humanities research in the foreground of higher education and society. The gift also endorses ACLS’s innovative plans to expand the scope and reach of our fellowship programs as we approach our centennial in 2019 and embark on a second century of fostering new knowledge.

Left: Betty and Carl Pforzheimer

INDIVIDUAL GIVING 2015–2016

$250,000 and above
Carl & Betty Pforzheimer

$50,000–$100,000
Lilian Handlin

$10,000–$49,999
Charlotte V. Kuh & Roy Radner
Judith L. Sensibar
Scott L. Waugh

$5,000–$9,999
John P. Birkeland
Robert B. Strassler
Nancy J. Vickers
Pauline Yu

$1,000–$4,999
Clifford C. Ando
Roger S. Bagnall
Ali Behdad
Sheila Biddle
A.R. Braunmuller
Donald Brenneis
Caroline W. Bynum
John R. Clarke
Stephen F. Cohen & Katrina vanden Heuvel
James H. Cole
Jonathan D. Culler & Cynthia Chase

D. Ronald Daniel & Lise Scott in memory of Charles Ryskamp
Ann Fabian
Frances Ferguson
Shelley Fisher Fishkin
Deborah K. Fitzgerald
Dr. & Mrs. Jack Fitzmier
James F. Harris
Lynn Hunt & Margaret Jacob
William Chester Jordan
William C. Kirby
Naomi R. Lamoreaux
Nicholas R. Lardy
Richard Leppert
Earl Lewis
Susan L. Mann
Thomas J. Mathiesen
Susan K. McClary
Anne & Ronald Mellor
Charles & Anne Mott
Donald J. Munro
Francis Oakley
James J. O’Donnell
Michael C.J. Putnam
Timothy Raylor & Vanessa Laird
Teofilo F. Ruiz
Richard Salomon & Robin Dushman
Patricia Meyer Spacks
Steven C. Wheatley

$500–$999
Jean M. Allman
Kwame Anthony Appiah
Bernard Bailyn
Peter A. Benoliel & Willo Carey
Charles L. Booth
Amy B. Borovoy
Wendy Bracewell & Robert Shoemaker
Mark C. Carnes
Terry Castle
Indrani Chatterjee
Nicola M. Courtright
Kathryn J. Crecelius
Robert Joe Cutter & Carolyn M. Warner
Lisa Danzig
Mark C. Elliott
Benjamin & Sarah Elman
J. Nicholas Entring
Joseph W. Esche & Ye Wa
Grace S. Fong
Stephen William Foster
Patrick & Mary Geary
Henry Glassie & Pravina Shukla
Jessica Goldberg & Jason Bandlow
Ruth & Stephen Grant Fund of Triangle Community Foundation
Thomas A. Green

Information for fiscal years 2016 and 2015 (July 1–June 30). For current listing, see www.acls.org/giving.
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Christine Worobec
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Joyce Appleby
Richard T. Arndt
Walter L. Aronstein
Abraham Ascher
Albert Russell Ascoli
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Scott L. Waugh
Pauline Yu

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John P. Birkelund
Earl Lewis
Robert B. Strassler

$1,000–$4,999
Roger S. Bagnall
Sheila Biddle
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$20
$8.4M
$18.2M


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Richard J. Wolfe
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Anthony C. Yu
Judith T. Zeitlin & Wu Hung
Madeleine H. Zelin
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SELECTION COMMITTEES
2016 ACLS FELLOWS AND GRANTEESE

ACLS COLLABORATIVE RESEARCH FELLOWSHIPS

ROGER ARIEW, Professor, Philosophy, University of South Florida
A New Critical Edition and Complete English Translation of the Correspondence of René Descartes

ERIK-JAN BOS, Independent Scholar

DEBBY BANHAM, Lecturer, Anglo-Saxon, Norse, and Celtic, University of Cambridge
Survival, Civilization, and Salvation: The Origins of Bread Culture in Early England

MARTHA BAYLESS, Professor, English, University of Oregon

MARIO BIAGIOLI, Professor, Science and Technology Studies, Law, and History, University of California, Davis

ALAIN POTTAGE, Professor, Law, London School of Economics and Political Science

MELODIE H. EICHBAUER, Assistant Professor, Social Sciences, Florida Gulf Coast University
Codes, Communities, and Church: The Cultural Contexts of Medieval Law

ABIGAIL A. FIREY, Professor, History, University of Kentucky

RICHARD JANKO, Professor, Classical Studies, University of Michigan-Ann Arbor
The Derveni Papyrus: A New Edition with Introduction, Translation, and Commentary

REBECCA JORDAN-YOUNG, Associate Professor, Women’s, Gender, and Sexuality Studies, Barnard College
T: The Unauthorized Biography

MIGUEL LA SERNA, Associate Professor, History, University of North Carolina at Chapel Hill
The Last Revolution: Shining Path and the War of the End of the World

ORIN STARN, Professor, Cultural Anthropology and History, Duke University
The Sun King at Sea: Maritime Art and Slavery During the Reign of Louis XIV

KEVIN C. KARNES, Professor, Music, Emory University
Wagner and the Subject of Redemption: Politics, Erotics, and Religion in the Music Dramas

ACLS DIGITAL EXTENSION GRANTEESE

JESSE CASANA, Associate Professor, Anthropology, Dartmouth College
The CORONA Atlas Project: Expanding Access to Historic Satellite Imagery on Global Scale

DAN EDELSTEIN, Professor, French and Italian, Stanford University
Fibra: Toward a Humanistic Analysis of Social Networks

JANE LANDERS, Professor, History, Vanderbilt University
Enhancing the Ecclesiastical and Secular Sources for Slave Societies Digital Archive: A Project to Add Content, Improve Technology, and Strengthen Collaborative Networks

MICHAEL PHILIP PENN, Professor, Religion, Mount Holyoke College
The Automated Scribal Identification Project

LAURA WEXLER, Professor, American Studies, Film & Media Studies, and Women’s, Gender & Sexuality Studies, Yale University
Photogrammar: Seeing and Hearing America’s Documentary Record

www.acls.org/awardees
ACLS FELLOWSHIPS

LORRAINE V. ARAGON, Adjunct Associate Professor, Anthropology, University of North Carolina at Chapel Hill
Partial Enclosures: Copyright, Creativity, and Traditional Cultural Expressions in Southeast Asia

CHRISTOPHER BALL, Assistant Professor, Anthropology, University of Notre Dame
Language and Riverscape in Indigenous Brazil: Mapping Cosmology and Politics of Place

TYLER BICKFORD, Assistant Professor, English, University of Pittsburgh
Tween Pop: Children’s Music and the Public Sphere

ANDREA F. BOHLMAN, Assistant Professor, Music, University of North Carolina at Chapel Hill
Fragile Sound, Quiet History: Music and Unofficial Media in Communist Poland

TIMOTHY SCOTT BROWN, Professor, History, Northeastern University
The Greening of Cold War Germany: Environmentalism and Social Movements across the Wall and Beyond, 1968-1989

MEGAN BRYSON, Assistant Professor, Religious Studies, University of Tennessee, Knoxville
Esoteric Networks: Transregional Buddhism in the Dalí Kingdom

SINEM ARCAK CASALE, Assistant Professor, Art History, University of Minnesota, Twin Cities
Courtly Encounters in War and Peace: Ottoman-Safavid Gift Exchange, 1501–1660

WENDY HUI KYONG CHUN, Professor, Modern Culture and Media, Brown University
Discriminating Data: Neighborhoods, Individuals, Proxies

AMY ROSE DEAL, Assistant Professor, Linguistics, University of California, Berkeley
Reporting Belief in the Nez Perce Language

ASA EGER, Associate Professor, History, University of North Carolina at Greensboro
Islamic Antioch: A History of a Medieval City from the Fifth to Fifteenth Centuries

DYAN H. ELLIOTT, Professor, History, Northwestern University
Sexual Scandal and the Medieval Clergy

NICOLE RACHELLE, Fleetwood, Associate Professor, American Studies, Rutgers University-New Brunswick
(Co-fellow of the ACLS/New York Public Library) Carceral Aesthetics: Prison Art and Public Culture

JENNIFER FLEISSNER, Associate Professor, English, Indiana University Bloomington
Maladies of the Will: The American Novel and the Symptomatology of Modernity

ELIZABETH A. FOSTER, Assistant Professor, History, Tufts University
Decolonizing Faith: Catholics and the End of French Empire in Sub-Saharan Africa

VICTORIA S. FREDE, Associate Professor, History, University of California, Berkeley
Elective Affinities: Friendship in Russia, 1750–1840

HEIDI GENGENBACH, Assistant Professor, History, University of Massachusetts Boston
Recipes for Disaster? Hunger Relief and Changing Rural Foodways in Mozambique

JOY GORDON, Professor, Philosophy, Loyola University Chicago
Perfect Injustice: The United Nations Security Council and the Question of Legitimacy

NEVE GORDON, Professor, Politics and Government, Ben-Gurion University of the Negev
The History and Politics of Human Shields

YOGITA GOYAL, Associate Professor, English and African American Studies, University of California, Los Angeles
Slavery and the Transnational Reinvention of Form

BRUCE GRANT, Professor, Anthropology, New York University
The Donkey Wars: Satire, Free Speech, and Political Imagination in the Muslim Caucasus

CHRISTOPHER GRASSO, Professor, History, College of William & Mary
Skepticism and American Faith: From the Revolution to the Civil War

GERARDO GUTIERREZ, Assistant Professor, Anthropology, University of Colorado Boulder
“And Moctezuma became angry when we left Mexico . . .”: Nahua Migrations to Eastern Guerrero, Contested Landscapes, and Place-Making as Represented in the Lienzos de Chiepetlan
WAÎL S. HASSAN, Professor, Comparative and World Literature, University of Illinois at Urbana-Champaign
*Arab Brazil: Literature, Culture, and Orientalism in the Racial Democracy*

JOHN HAY, Assistant Professor, English, University of Nevada, Las Vegas
*A New World in Ruins: Postapocalyptic Fantasies in Antebellum American Literature*

LARISA JASAREVIC, Senior Lecturer, Global Studies, University of Chicago
*Post-War Natures and Contemplative Apicultures: Beekeeping in Bosnia*

ARI JOSKOWICZ, Assistant Professor, Jewish Studies, Vanderbilt University
*Jews and Roma in the Shadow of Genocide*

ROBIN JUDD, Associate Professor, History, The Ohio State University
*Love at the Zero Hour: Jewish Brides, Soldier Husbands, and Strategies for Reconstruction, 1943–1955*

KATHRYN KERBY-FULTON, Professor, English, University of Notre Dame
*Medieval Interiorities and Modern Readers: Recovering Medieval Reading Practices for Understanding the Self*

ROBERT KUGLER, Professor, Religious Studies and Classics, Lewis & Clark College
*Discovering Legal Pluralism: Toward a New Understanding of the Jews of Hellenistic Egypt*

FABIO LANZA, Associate Professor, History and East Asian Studies, University of Arizona
(Professor Lanza's fellowship is supported in part by the Frederic E. Wakeman, Jr. Fund for Chinese History.)
*Revolution In the Quotidian: A History of Maoist Urban Space, 1953–1983*

REBECCA MALOY, Associate Professor, Musicology, University of Colorado Boulder
*Sung in Honor of Sacrifice: Text, Melody, and Exegesis in the Iberian Offertory*

LERONE A. MARTIN, Assistant Professor, Danforth Center on Religion and Politics, Washington University in St. Louis
*J. Edgar Hoover’s Stained Glass Window: The FBI and Christian America*

ROBERT KUGLER, Professor, Religious Studies and Classics, Lewis & Clark College
*Discovering Legal Pluralism: Toward a New Understanding of the Jews of Hellenistic Egypt*

MARY KATE MCGOWAN, Professor, Philosophy, Wellesley College
*Just Words: Speech and the Constitution of Harm*

VIRAG MOLNÁR, Associate Professor, Sociology, The New School
*Civil Society and the Return of Radical Nationalism in Postsocialist Hungary*

FABIEN MONTCHER, Visiting Assistant Professor, History, Saint Louis University
*Scholarship and the Making of Politics in Early Modern Empires: The Iberian Routes of the Republic of Letters*

AMY M. MOONEY, Associate Professor, Art and Art History, Columbia College Chicago
*Portraits of Noteworthy Character: Negotiating a Collective American Identity*

JOHN K. MOORE, JR., Associate Professor, Foreign Languages and Literatures, University of Alabama at Birmingham
*“His Majesty’s Prosecutor v. José Soller, Mulatto Pilgrim, for Impersonating a Priest and Other Crimes”: A Study, Critical Edition, and Translation*

MITHI MUKHERJEE, Associate Professor, History, University of Colorado Boulder
*The Asian Jurist and the Empire: Radhabinod Pal, Anticolonialism, and the Counter-Discourse of International Law*

CHRISTINA NEILSON, Assistant Professor, Art, Oberlin College
*Living Devotion: Animating Sculpture in Early Modern Europe*

BECKY M. NICOLAIDES, Affiliated Research Scholar, Huntington-USC Institute on California and the West, University of Southern California
*On the Ground in Suburbia: A Chronicle of Social and Civic Transformation in Los Angeles Since 1945*

PATRICK J. O’BANION, Associate Professor, History and Geography, Lindenwood University
*Deza and Its Moriscos: Faith and Community in Early Modern Spain*

VANESSA OGLE, Assistant Professor, History, University of Pennsylvania
*Archipelago Capitalism: Tax Havens, Offshore Money, and the Shadow Economy, 1920s–1980s*
CAROL J. OJA, Professor, Music and American Studies, Harvard University
Black Virtuosos and Civil Rights: Racial Desegregation of the Concert Hall and Opera Stage after World War II

ANA HEDBERG OLENINA, Visiting Scholar, Center for Film, Media and Popular Culture, Arizona State University
Psychomotor Aesthetics: Perspectives on Expressive Movement and Affect in Russian and American Modernity, 1910s–1920s

JANN C. PASLER, Distinguished Professor, Music, University of California, San Diego
Sounding the French Empire: Colonial Ethnographies of Music and New Media, 1860–1960

SAMUEL PERRY, Associate Professor, East Asian Studies, Brown University
From across the Genkai Sea: Japanese Literature and the Korean War

DEREK PETERSON, Professor, History, and Afro-American and African Studies, University of Michigan-Ann Arbor
(Professor Peterson has been designated an ACLS/NEH International and Area Studies Fellow.)
The Infrastructure of Dissent in Postcolonial Uganda

BRIAN A. PORTER-SZUCS, Professor, History, University of Michigan-Ann Arbor
Supply-Side Socialism: The Foundations of Neoliberalism in Communist Poland

ALLISON PUGH, Associate Professor, Sociology, University of Virginia
On the Cutting Edge of Intimacy: Children, Parents, and Institutions Negotiating Cultural Change

TAHERA QUTBUDDIN, Associate Professor, Near Eastern Languages and Civilizations, University of Chicago
Classical Arabic Oratory: Religion, Politics, and Orality-Based Aesthetics of Public Address in the Early Islamic World

LINDSAY V. RECKSON, Assistant Professor, English, Haverford College
Realist Ecstasy: Religion, Race, and Performance in American Literature

ARIEL ROGERS, Assistant Professor, Radio/Television/Film, Northwestern University
Screens and the Cinematic Apparatus in 1930s Hollywood and Beyond

NINA ROWE, Associate Professor, Art History and Music, Fordham University
The World in a Book: Weltchroniken and Society at the End of the Middle Ages

KRISTINA SESSA, Associate Professor, History, The Ohio State University
The Church at War in Late Antiquity, 350–700 CE

YÜKSEL SEZGIN, Assistant Professor, Political Science, Syracuse University
Muslim Family Laws, Human Rights, and Democracy

JENNY SHARPE, Professor, English, University of California, Los Angeles
Immaterial Archives: Lost Past, Salvaged Futures

KRISTEL SMENTEK, Associate Professor, Architecture, Massachusetts Institute of Technology
Objects of Encounter: China in Eighteenth-Century France

JULIA STAFFEL, Assistant Professor, Philosophy, Washington University in St. Louis
Unsettled Thoughts: Reasoning, Uncertainty, and Epistemology

REBECCEA STEIN, Associate Professor, Anthropology, Duke University
Captured: How the Digital Camera Has Changed the Israeli Occupation

NOELLE STOUT, Assistant Professor, Anthropology, New York University
Bound by Default: Homeowners, Lenders, and the Enduring Debts of the American Foreclosure Crisis

SUMARSAM, Professor, Music, Wesleyan University
Expressing and Contesting Java-Islam Encounters: Performing Arts at the Crossroads

DANIEL LUDWIG SUTHERLAND, Associate Professor, Philosophy, University of Illinois at Chicago
Kant’s Philosophy and the Question of Mathematical Knowledge

CANDACY A. TAYLOR, Independent Scholar
Sites of Sanctuary: The Negro Motorist Green Book

VALERIE TRAUB, Professor, English and Women's Studies, University of Michigan-Ann Arbor
Mapping Embodiment in the Early Modern West: Anatomy, Cartography, and the Prehistory of Normality

MARC VAN DE MIEROOP, Professor, History, Columbia University
Babylonian Cosmopolitanism and the Birth of Greek and Hebrew Literate Traditions
2016 ACLS FELLOWS AND GRANTEES CONTINUED

LORI J. WALTERS, Professor, Modern Languages and Linguistics, Florida State University
The Female Creator: Christine de Pizan and Her Books

DAVID GORDON WHITE, Professor, Religious Studies, University of California, Santa Barbara
European Demonology Viewed from the East

AFRICAN HUMANITIES PROGRAM

DISSERTATION FELLOWSHIPS

KUDUS OLUWATOYIN ADEBAYO, Teaching Assistant, Sociology, University of Ibadan
International Migration and Formation of Nigerian Trade Diaspora in Guangzhou, China

OLUBUNMI FUNMI ADEGBOLA, PhD Candidate, English, University of Ibadan
Linguistic Representations of Public Reactions and Points of View in the Discourses of Homosexuality in the Nigerian Print Media

ADA AGADA, Assistant Lecturer, Philosophy, Benue State University
The Problem of God’s Existence in the Philosophy of Immanuel Kant

ASHURA JACKSON, Assistant Lecturer, History, Mkwawa University College of Education
Socio-economic and Political Dynamics on the Development of African Independent Churches in Mbeya Region, 1920s–1985

HAUWA SANI MOHAMMED, Assistant Lecturer, English and Literary Studies, Ahmadu Bello University
A Linguistic Stylistic Analysis of Gender Variations in Selected Television News Reportage

LAURY LAWRENCE OCEN, PhD Candidate, Makerere Institute of Social Research, Makerere University
Reading Monuments: Politics and Poetics of Memory in Post-War Northern Uganda

NDUKAKU OKORIE, Lecturer II, Philosophy, Obafemi Awolowo University
Ethical Universalism and the Impartialist Thesis

BLESSING NONYE ONYIMA, Sociology/Anthropology, Nnamdi Azikiwe University
Pastoral Economy and Access to Health Care Systems among Cattle Nomads in Ibarapa South-western Nigeria

SAHEED OKE RAHEEM, Assistant Lecturer, English, Obafemi Awolowo University
A Sociolinguistic Study of Identity (Re)construction on Nairaland Online Forum

GIDEON YOHANNA TAMBIYI, International School of Prophecy and Biblical Studies

POSTDOCTORAL FELLOWSHIPS

PHILIP ONORIODE Aghoghovwia, English Language & Literature, University of Cape Town
Reading Perocultures in the Niger Delta: An Eco-Critical Enquiry

KAYODE AYOYAMI ATILADE, Lecturer I, Foreign Languages, Obafemi Awolowo University
Towards Deconstructing Home: Discourse as Subversive Strategy in Tahar Ben Jelloun’s Novels

JOAN-MARI BARENDSE, Lecturer, Afrikaans and Theory of Literature, University of South Africa
The Representation of Insects in Contemporary South African Literature

GEORGE WILLIAM BYARUGABA, Religion and Theology, University of the Western Cape
The Complex Relationship between Human Dignity, Food Symbols, and Food Insecurity

CRISPEN CHINGUNO, Postdoctoral Fellow, Society, Work and Development Institute (SWOP), University of Witwatersrand
Shifting Dynamics of Strike Violence, Solidarity, and Worker Insurgency: A Case of the Platinum Belt, 1982–2015

ANNA-MARIE MAGDALENA DE BEER, Lecturer, Modern European Languages, University of Pretoria
Sharing the Burden of Testimony after the Genocide in Rwanda

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2016 ACLS FELLOWS AND GRANTEES CONTINUED

REGINALD AKUOKO DUAH, Lecturer, Linguistics, University of Ghana
Causatives in Kwa: Form, Structure, and Meaning

NGOZI UGO EMEKA-NWOBIA, Senior Lecturer, Linguistics and Literary Studies, Ebonyi State University
Ideology and Identity Construction in the Discourse of Nigerian Pentecostal Pastors

WILLEMENI FRONEMAN, Postdoctoral Fellow, Music, University of KwaZulu-Natal
Concertina Modalities: Modalities of Pleasure in ‘White’ South African Folk Music

IDOM THOMAS INYABRI, Lecturer I, English and Literary Studies, University of Calabar
The Environment in Poetry from the Niger Delta Region of Nigeria

FRIDAH KATUSHEMERERWE, Lecturer, Linguistics, English Language Studies and Communication Skills, Makerere University
Ordering and Combination of Verbal Affixes in Runyakitara

DEMOLA LEWIS, Lecturer I, Linguistics and African Languages, University of Ibadan
Genesis and Intergenerational Transfer of Ubang Gender Diglossia

RASHEEDAH LIMAN, Lecturer II, Theatre and Performing Arts, Ahmadu Bello University
Representing Boka and His Art of Medicine in Hausa Dramatic Traditions

HAPPINOS MARUFU, Visiting Scholar, School of Geography, Archaeology and Environmental Studies, University of the Witwatersrand, Johannesburg
Hunter-gatherers of Mureea-Mutoko Landscape: An Archaeological Study of Human Behaviour during Late Stone Age

ELINAZA MJEMA, Lecturer, Archaeology and Heritage, University of Dar es Salaam
Archaeological Investigation of Early Swahili Burial Practices at Pangani Bay on the Northern Tanzania Coast

GIBSON NCUBE, Modern Foreign Languages, Stellenbosch University
Spaces and Temporalities: Literary Narratives and the Creation of a Queer Archive in North Africa

HUNRIETTA MAMBO NYAMNOH, African Centre for Cities, University of Cape Town
Religious Transnationalism and the Quest for Physical and Spiritual Healing: The Case of Cameroonian Migrants in Cape Town

GREG ORJI OBIAMALU, Reader, Linguistics, Nnamdi Azikiwe University
Negation and Negation Marking Strategies in the Igbo Dialects: A Comparative Study

CHUKWUMA ONYEBUCHI OKEKE, Lecturer I, Linguistics and Nigerian Languages, University of Nigeria
The Interface between Semantics and Pragmatics: Focus on Verbs of Perception in the Igbo Language

IWEBUNOR OKWECHIME, Lecturer I, International Relations, Obafemi Awolowo University
Spirituality and Youth Militancy in the Niger Delta, Nigeria

OLAJUMOKE YACOB-HALISO, Senior Lecturer, Political Science and Public Administration, Babcock University
Forging a Homeland: The Construction of ‘Home’ by Returnee Refugee Women in Liberia
COMPARATIVE PERSPECTIVES ON CHINESE CULTURE AND SOCIETY GRANTS

PETRA TEN-DOESSCHATE CHU, Professor, Communication and Arts, Seton Hall University
Artistic Exchanges between China and the West during the late Qing Dynasty, ca. 1795–1911

MEOW HUI GOH, Associate Professor, East Asian Languages and Literatures, The Ohio State University
Memory and Text in Premodern East Asia: Concepts, Theories, and Methods

PATRICIA SIEBER, Associate Professor, East Asian Languages and Literatures, The Ohio State University
Comparative Perspectives on Materiality in the History of the Book: China and East Asia

YI-LI WU, Visiting Scholar, EASTmedicine, Faculty of Science and Technology, University of Westminster
Comparative Perspectives on Body Materiality and Structure in the History of Sinitic and East Asian Medicines

FREDERICK BURKHARDT RESIDENTIAL FELLOWSHIPS FOR RECENTLY TENURED SCHOLARS

LAUREN ASHWELL, Associate Professor, Philosophy, Bates College
Projection and Desire

SHAWN BENDER, Associate Professor, East Asian Studies, Dickinson College
Engineering the Aging Society: Robotics, Vital Futures, and Imaginations of Life in Japan and Europe

AMAHIL BISHARA, Associate Professor, Anthropology, Tufts University
Expressive Environments and the State: Laws, Violence, and Other Roadblocks to Palestinian Exchange

MARGOT CANADAY, Associate Professor, History, Princeton University
Pink Precariat: LGBT Workers in the Shadow of Civil Rights, 1945–2000

ERNESTO CAPELLO, Associate Professor, History, Macalester College
Equator Imagined: Commemorating Geodesic Science in the Andes

EVE DUNBAR, Associate Professor, English, Vassar College
Monstrous Works: African American Women Writing Labor and Life Beyond Sovereignty

STACIE E. GODDARD, Associate Professor, Political Science, Wellesley College
The Social Construction of Precision

MICHAEL GIBBS HILL, Associate Professor, Languages, Literatures, and Cultures, University of South Carolina
Sino-Arabic Enlightenments: At the Limits of Comparison

SHIH-SHAN SUSAN HUANG, Associate Professor, Art History, Rice University
First Impressions: Chinese Religious Woodcuts and Cultural Transformation

NICOLE MARAFIOTI, Associate Professor, History, Trinity University
Crime and Sin in Late Anglo-Saxon England

JAMES MULHOLLAND, Associate Professor, English, North Carolina State University
Anglophone Literature and the Emergence of the Colonial Public Sphere in Asia, 1774–1819

NEETI NAIR, Associate Professor, History, University of Virginia
The Blasphemy Laws: A South Asian History

IAN W. OLIVO READ, Associate Professor, International Studies, Soka University of America
Brazil’s Era of Epidemics: How Disease Transformed a Nation

JOSEPH M. ORTIZ, Associate Professor, English, University of Texas at El Paso
Against Translation: The Form of Renaissance Epic

PAULINE AYUMI OTA, Associate Professor, Art and Art History, DePauw University
Seeing is Knowing: Visual Perception, Painting, and Cityscapes in Mid-Eighteenth Century Japan

YANSI Y. PÉREZ, Associate Professor, Spanish, Carleton College
Los Angeles: A Cartography of Material Memory of the Central America Diaspora
SMITHA RADHAKRISHNAN, Associate Professor, Sociology, Wellesley College  
*Spare Change: Gender and Power in the Global Microfinance Industry*

XUEFEI REN, Associate Professor, Sociology and Global Urban Studies, Michigan State University  
*Urban Governance and Citizen Rights in China and India: Housing, Land, and Air*

TATIANA SEIJAS, Associate Professor, History, Pennsylvania State University  
*First Routes: Indigenous Trade and Travel between the American Southwest and Mexico*

QUITO J. SWAN, Associate Professor, History, Howard University  
*Melanesia’s Way: Black Internationalism and Diaspora in the South Pacific.*

KYLA WAZANA TOMPKINS, Associate Professor, Gender and Women’s Studies, and English, Pomona College  
*So Moved: Texture, Sensation, Biopolitics*

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**LUCE / ACLS DISSERTATION FELLOWSHIPS IN AMERICAN ART**

MARISSA HOWARD BAKER, Doctoral Candidate, Art History, University of Illinois at Chicago  
*The Nation Within: Chicago’s Black Arts Movement and the Figuration of Black Liberation*

KIM BOBIER, Doctoral Candidate, Art, University of North Carolina at Chapel Hill  
*Representing and Refracting the Civil Rights Movement in Late Twentieth-Century Art*

RACHEL HOOPER, Doctoral Candidate, Art History, Rice University  
*American Art Histories: Framing Race after the Civil War*

JOSSE KIELLY, Doctoral Candidate, Architecture, University of Michigan-Ann Arbor  
*The Infrastructure of Itinerancy: Aviation, American Economic Imperialism, and the Late Modern Architecture of Minoru Yamasaki, 1951–1986*

ASHLEY LAZEVNICK, Doctoral Candidate, Art and Archaeology, Princeton University  
(Ms. Lazevnick has been designated the Ellen Holtzman Fellow.)  
*Precisionism in the Long 1920s*

ELLEN MACFARLANE, Doctoral Candidate, Art and Archaeology, Princeton University  
*Group f.64 Photography and the Object World*

KIMBERLY MINOR, Doctoral Candidate, Art and Art History, University of Oklahoma  
*Pictographic Motifs: Memory and Masculinity on the Upper Missouri*

EMMA ROSE SILVERMAN, Doctoral Candidate, History of Art, University of California, Berkeley  
*From Eyesore to Icon: Outsider Art, Racial Politics, and the Watts Towers*

SYDNEY SKELTON SIMON, Doctoral Candidate, Art and Art History, Stanford University  
*Harry Bertoia and Postwar American Design Culture*

JULIET S. SPERLING, Doctoral Candidate, History of Art, University of Pennsylvania  
*Animating Flatness: Seeing Moving Images in American Painting and Mass Visual Culture, 1800–1895*

GILLIAN TURNER YOUNG, Doctoral Candidate, Art History and Archaeology, Columbia University  
*Electric Theater: Joan Jonas and the Emergence of Performance Art in the 1970s*

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**LUCE / ACLS PROGRAM IN CHINA STUDIES**

KYLE ELLISON DAVID, Doctoral Candidate, History, University of California, Irvine  
*Conceiving the Communist Child: Growing Up in War and Revolution, 1921–1949*

JIA FENG, Doctoral Candidate, History, University of California, Los Angeles  
*The Emperor’s Coffer: The Qing Imperial Fiscal Separation between Privy Purse and State Treasury, 1644–1912*

RUI HUA, Doctoral Candidate, History, Harvard University  
*The Defiant Manchukuo: Sino-Russo-Japanese Collaboration and the Making of Borderland Intellectual Spaces in Modern China, 1900–1957*
LING-WEI KUNG, Doctoral Candidate, East Asian Languages and Cultures, Columbia University
The Great Game: The Dalai Lama, Manchu Emperor and Mongolian Khan in the Making of China and Inner Asia, 1634–1758

RACHEL N. LEE, Doctoral Candidate, Anthropology, University of Washington
Cosmopolitanism for Credit: Global Belonging and Chinese Urban Social Mobility

JUE LIANG, Doctoral Candidate, Religious Studies, University of Virginia
Conceiving the Mother of Tibet: A Study on the Early Hagiographical Accounts of Yeshe Tsogyel

LEI LIN, Doctoral Candidate, Inner Asian and Altaic Studies, Harvard University
The Limits of Empire: The Sino-Nepalese War and Qing Imperialism, 1788–1850

ELIZABETH MARIE LINN-GADIMOV, Doctoral Candidate, Political Science, Northwestern University
Political Orthodoxy in Contemporary China: Sovereign Authority, Catholic Clergy, and Contention

XIAOJING MIAO, Doctoral Candidate, Asian Languages and Civilizations, University of Colorado Boulder
The Fu (Rhapsody) of Early and High Tang, 618–756

LILLIAN PRUEHER, Doctoral Candidate, Anthropology, University of Washington
Shifting Care Landscapes: Non-Familial Elder Care in Chengdu, China

JOHN B. THOMPSON, Doctoral Candidate, East Asian Languages and Cultures, Columbia University
The Mass and the Majesty: Technology, Politics, and the Bombing of Chongqing

JOHN CHRISTOPHER UPTON, Doctoral Candidate, Anthropology, Indiana University Bloomington
“We Do Not Want to Revolt”: Tensions and Intentions Shaping the Social Imaginary of Taiwan’s Indigenous Rights Framework

MATTHEW TIMOTHY WILLS, Doctoral Candidate, History, University of California, San Diego

JIN YAN, Doctoral Candidate, Sociology, University of Chicago
Alchemy of Information: The Rise of Financial News in Reform China

YAN ZHANG, Doctoral Candidate, Anthropology, Case Western Reserve University
Elders for Eldercare: Moral Experiences of Family Caregiving for Elders with Dementia in Shanghai, China

TIANXIAO ZHU, Doctoral Candidate, History, University of Minnesota, Twin Cities

POSTDOCTORAL FELLOWSHIPS IN CHINA STUDIES

ELAD ALYAGON, Doctoral Candidate, History, University of California, Davis
Inked: Song Soldiers, Military Tattoos, and the Remaking of the Chinese Lower Class, 960–1279

NELLIE CHU, Postdoctoral Fellow, Center for Transregional Research Network
Global Prayers in the Workshop of the World: African and South Korean Pastor-Entrepreneurs in Guangzhou, China

ANNA JANE HIGH, Postdoctoral Scholar, School of Law, Loyola University Chicago
‘One Eye Open, One Eye Closed’: The Role and Regulation of China’s Foreign-Run Orphanages

ANDREW LIU, Assistant Professor, History, Villanova University
Tea Countries: Labor and Political Economic Thought in China and India, 1834–1937

XIAO LIU, Assistant Professor, East Asian Studies, McGill University
Information Fantasies and Technological Imaginations in Post-Mao China, 1978–1989

RACHEL SILBERSTEIN, Visiting Assistant Professor, History of Art and Visual Culture, Rhode Island School of Design
Embroidered Figures: Commerce and Culture in Nineteenth-Century Chinese Women’s Fashions

CATHERINE STUER, Assistant Professor, Art History and Visual Culture, Denison University
The Disciplinary Lens: Photographic Vision, Cultural Remains, and Early Chinese Art History and Archaeology

TING ZHANG, Assistant Professor, History, University of Maryland, College Park
Information and Power: Printing, Law, and the Making of Early Modern Chinese Legal Culture, 1644–1911
COLLABORATIVE READING-WORKSHOP GRANTS IN CHINA STUDIES

BRIAN G. LANDER, Postdoctoral Fellow, Anthropology, Harvard University
*Administrative Documents from the Three Kingdoms State of Wu Excavated at Zoumalou, Changsha*

FABIO LANZA, Associate Professor, History and East Asian Studies, University of Arizona
*Is There a Socialist Way of Governing in China? Governing as a Social Practice in the PRC*

ZEB RAFT, Assistant Professor, Institute of Chinese Literature and Philosophy, Academia Sinica
*Literary and Historical Approaches to Fifth-Century Historical Documents*

PING YAO, Professor, History, California State University, Los Angeles
*Records of the Dead, Records for the Living: Reading Muzhiming*

NORMAN SMITH, Professor, History, University of Guelph
*Re-Envisioning the Nation: Texts from Occupied China, 1932–1945*

PETER C. STURMAN, Professor, History of Art and Architecture, University of California, Santa Barbara
*Texts on Calligraphy by Zhang Huaguan*

ERIC T. SCHLUESSEL, Doctoral Candidate, East Asian Languages and Civilizations, Harvard University
*Towards a Scholarly Edition of the Tarikh-i Hamidi, a Chaghatay Chronicle of Modern Xinjiang*

MEllon / acls dissertation completion fellowships

SOPHIA BALAKIAN, Doctoral Candidate, Anthropology, University of Illinois at Urbana-Champaign
*“The Fraudulent Family”: Humanitarianism, Security, and Competing Ethical Claims in Refugee Resettlement from Kenya*

SARA BALLANCE, Doctoral Candidate, Music, University of California, Santa Barbara
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*Richard Rolle’s Melody of Love: Alliterative Translation and Commentary* |
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MICHAEL SILVERS, Assistant Professor, Musicology, University of Illinois at Urbana-Champaign
*Voices of Drought: Fórro Soundscapes in Northeastern Brazil*

DAVID CARROLL SIMON, Assistant Professor, English, University of Chicago
*Light without Heat: Shades of Feeling in the Age of Scientific Revolution*

EIKO MARUKO SINIAWER, Associate Professor, History, Williams College
*Affluence of the Heart: Waste in Postwar Japan*

LISA M. SIRAGANIAN, Associate Professor, English, Southern Methodist University
*Against Corporate Humanism: The Modernist Critique of Corporate Mind*

SHANE VOGEL, Associate Professor, English, Indiana University Bloomington
*Stolen Time: Black Fad Performance and the Calypso Craze*

CHRISTOPHE WALL-ROMANA, Associate Professor, French and Italian, University of Minnesota, Twin Cities
*Kinopsis: Astronomy, Photography, and Pre-Cinema in the Nineteenth Century*

JOHN P. WELLE, Professor, Romance Languages and Literatures, University of Notre Dame
*The Poet and the Diva: Print Media from the Golden Age of Italian Silent Film*

JOSHUA M. WHITE, Assistant Professor, History, University of Virginia
*Piracy and Law in the Ottoman Mediterranean, 1570–1700*

MICHAEL WILLRICH, Professor, History, Brandeis University
*The Anarchist’s Advocate: War, Terror, and the Origins of America’s Surveillance State*

WINNIE WON YIN WONG, Assistant Professor, Rhetoric, University of California, Berkeley
*Barbarian Similitudes: Canton, Trade, Painting, 1700–1842*
2015 ACLS FELLOWS AND GRANTEES

COLLEEN P. WOODS, Assistant Professor, History, University of Maryland, College Park
(Professor Woods has been designated an ACLS/Oscar Handlin Fellow.)
Bombs, Bureaucrats, and Rosary Beads: The United States, the Philippines, and the Making of Global Anti-Communism

SERENA R. ZABIN, Associate Professor, History, Carleton College
Occupying Boston: An Intimate History of the Boston Massacre

AFRICAN HUMANITIES PROGRAM

DISSERTATION-COMPLETION FELLOWSHIPS

ABAYOMI OLUSOLA AWELEWA, PhD Candidate, English Literature, University of Ibadan
Unending Quest for the Lost Self in New Nigerian Writings from the Diaspora

EYITAYO IJISAKIN, PhD Candidate, African Art Studies, Obafemi Awolowo University
Unveiling the Treasures of Printmaking in Contemporary Nigerian Art

HAMISI MACHANGU, PhD Candidate, History, University of Dar es Salaam

ESTHER MSAKY, PhD Candidate, History, University of Dar es Salaam
A History of Tuberculosis in Kilimanjaro Region, 1920s to 1960

VICTORIA OLUWAMAYOWA OGUNKUNLE, PhD Candidate, English Language, University of Ibadan
Performance, Participation and Cultural Meaning in MTN Project Fame Music Reality Show: A Multimodal Approach

AMINU SAIDU, PhD Candidate, History, University of Dar es Salaam
A Historical Assessment of Forest Conservation Policies in Northern Nigeria: A Case Study of Katsina Emirate

POSTDOCTORAL FELLOWSHIPS

EZINWANYI EDIKANABASI ADAM, Lecturer II, African and Comparative Literatures, Babcock University
Survival Tendencies and Strategies of Nigerian Women Against ‘Waiting-Motherhood’, Child-Succession-Syndrome and other Stereotypes in Selected Contemporary Fiction

ADETUNJI ADEBIYI, Lecturer II, Literature, University of Ibadan
Poetics of Disillusionment and Dialectical Temper in Post-Apartheid Poetry

LORRAINE DALMAE ADKINS, Lecturer (part time), English Literary Studies, University of KwaZulu Natal
Selfhood in South African Poetry: A Bakhtinian Approach

UFUOMA AKPOJIVI, Lecturer, Communication Studies, University of the Witwatersrand
The Negotiated Space: Citizens Empowerment and Disempowerment

THEERESAH ENNIN, Lecturer, African Literature, University of Cape Coast
Representations of Men and Masculinities in Ghanaian Literature and Film

AMAKA EZEIFE, Lecturer I, Language, Nwafor Orizu College of Education
Cultural Metaphor and Gender Ideology Construction in Selected Nigerian Novels

PAULA FOURIE, Postdoctoral Fellow, Musicology, Stellenbosch University
“Ghoema vanne Kaap”: The Life and Work of Taliep Petersen (1950–2006)

CLAUDIA GASTROW, Postdoctoral Fellow, Anthropology, University of the Witwatersrand
Negotiated Settlements: Urban Aesthetics and the Production of Citizenship in Luanda, Angola

GEORGE ILOENE, Senior Lecturer, Linguistics/Igbo, Ebonyi State University
Safeguarding Koring Language

DANSON KAHYANA, Assistant Lecturer, Literature, Makerere University
Negotiating (Trans)national Identities in Ugandan Literature

ANTONI KEYA, Lecturer, Linguistics, University of Dar es Salaam
Interrogating Compassion for the Electorate in Tanzania’s Parliamentary Q&A Sessions
CHARNE MARGOT LAVERY, Postdoctoral Research Fellow, English, University of the Witwatersrand
Writing the Indian Ocean

NOMUSA MAKHUBU, Lecturer, Art History, University of Cape Town
Interventionism, Art, and Protest: Renegotiating Urban Spaces of Africa

INNOCENTIA MHLAMBI, Head of Department, African Languages and Literature, University of the Witwatersrand
Post-1994 Black Opera: South Africa’s Political and Cultural Life through Art Music

LEVIS MUGUMYA, Assistant Lecturer, English, Makerere University
News Reporting in Uganda: A Cross-Linguistic and Textual Exploration of Newspaper Genres

EDGAR NABUTANYI, Lecturer, Literature, Makerere University
Illocutionary Power of Child-Focalised Post-1990 African Fictional Texts in English

DION NKOMO, Senior Lecturer, Lexicography, Rhodes University
Bilingual Dictionaries for Post-Colonial Africa: South African and Zimbabwean Case Studies

OLABODE OJONIYI, Lecturer II, Performing Arts, Osun State University
In My Mother’s House: Counter Discourse in Tracie Chima Utoh-Ezeajugh Radical Drama

BABATUNDE OMOTOSHO, Senior Lecturer, Sociology, Federal University Oye-Ekiti
Socio-Cultural Implications of Household Sepulchres among the Ekiti-Yorubas of Southwest Nigeria

OMON MERRY OSIKI, Lecturer II, History, University of Lagos
Quid Pro Quo?: A History of Newspaper Reportage of Immigrant Expulsions in Ghana and Nigeria

AYODELE ADEKUNLE OSISANWO, Lecturer II, English, University of Ibadan
Discursive Construction of the War on Terrorism in Nigeria

ERIC OTCHERE, Lecturer, Music, University of Cape Coast
Seashore Harmonies: The Message in the Songs of a Dying Fishing Culture

SOLA EMMANUEL OWONIBI, Senior Lecturer, Literature, Adekunle Ajasin University
The Interface between Poetry and Therapy in the Nigerian Literary Tradition

ORITSEGBUBEMI OYOWE, Lecturer, Philosophy, University of KwaZulu-Natal
Personhood and the Practical: A Narrative-Based Conception

ERNEST PINETEH, Faculty Language Coordinator, English Studies, Cape Peninsula University of Technology
Memories of Victimisation, Resilience and Heroism: A Narrative Study of the Xenophobic Experiences of Somali Migrants in Bellville, Cape Town

MPALE SILKILUWASHA, Lecturer, Literature, University of Dar es Salaam
Orality in Postcolonial African Children’s Literature

GUGULETHU SIZIBA, Postdoctoral Research Fellow, Sociology, Stellenbosch University
Language and the Body as Sites of (Un)making the ‘Other’: Zimbabwean Migrants’ Passage in Johannesburg, South Africa

IGNATIUS KHAN TICHA, Senior Lecturer, Literary Studies, Cape Peninsula University of Technology
Literary Representations of Poverty in Selected Novels of Meja Mwangi and Roddy Doyle

THECLA UDDEMADU, Senior Lecturer, Igbo Language, Nnamdi Azikiwe University
Drown the Female Child and Bring Home the Male: Interrogating Gender Tensions in Igbo Folklore

JOHN WAKOTA, Lecturer, Literature, University of Dar es Salaam
The Making and Remaking of Gender Relations in Tanzanian Fiction

CHARLES A. RYSKAMP RESEARCH FELLOWSHIPS

EDWARD G. BARING, Assistant Professor, History, Drew University
Phenomenology: The Making of a Continental Philosophy

JESSICA A. BOON, Assistant Professor, Religious Studies, University of North Carolina at Chapel Hill
Spanish Passion: Jesus, Mary, and the Jews in the Castilian Religious Imagination, 1480–1540
NINA SUN EIDSHEIM, Assistant Professor, Musicology, University of California, Los Angeles
Measuring Race: Listening to Vocal Timbre and Vocality in African-American Music

LINFORD FISHER, Assistant Professor, History, Brown University
Land of the Unfree: Indians, Africans, and the World of Colonial Slavery

LEAH N. GORDON, Assistant Professor, Education, Stanford University
Contesting Opportunity: “Equal Educational Opportunity” and its Alternatives in Twentieth-Century American Social Thought

MARK GREIF, Assistant Professor, Literary Studies, The New School
The Rhetorics of Nonhuman Morality, 1870 to 2010: Life, Beings, and World

VERA A. KELLER, Assistant Professor, Robert D. Clark Honors College, University of Oregon
Cornelis Drebbel (1572–1633) and the Ambitions of Science

A. AZFAR MOIN, Assistant Professor, Religious Studies, University of Texas at Austin
Muslim Kingship and Ritual Violence in Pre-Modern Iran, Central Asia, and India

ALISHA RANKIN, Assistant Professor, History, Tufts University
The Poison Trials: Antidotes and Experiment in Early Modern Europe

RYAN T. SKINNER, Assistant Professor, Music and African American and African Studies, The Ohio State University
Race, Politics, and Performance in Afro-Swedish Public Culture

RACHEL GREENWALD SMITH, Assistant Professor, English, Saint Louis University
Compromise Aesthetics: Literature After Experimentalism

ALICIA W. WALKER, Assistant Professor, History of Art, Bryn Mawr College
Christian Bodies, Pagan Images: Women, Beauty, and Morality in Medieval Byzantium

COMPARATIVE PERSPECTIVES ON CHINESE CULTURE AND SOCIETY GRANTS

PETRA TEN-DOESSCHATE CHU, Professor, Art History, Seton Hall University
Workshop: Artistic Exchanges between China and the West during the late Qing Dynasty (c. 1795–1911)

MEOW HUI GOH, Associate Professor, Chinese Literature, Culture, and Language, The Ohio State University
Workshop: Memory and Text in Premodern East Asia: Concepts, Theories, and Methods

PATRICIA SIEBER, Associate Professor, Premodern Chinese Literature, The Ohio State University
Workshop: Comparative Perspectives on Materiality in the History of the Book: China and East Asia

YI-LI WU, Visiting Scholar, History of East Asian Medicine, University of Westminster
Workshop: Comparative Perspectives on Body Materiality and Structure in the History of Sinitic and East Asian Medicines

FREDERICK BURKHARDT RESIDENTIAL FELLOWSHIPS FOR RECENTLY TENURED SCHOLARS

BROOKE L. BLOWER, Associate Professor, History, Boston University
Hidden Fronts: New American Histories of World War II

JANET Y. CHEN, Associate Professor, History, Princeton University
The Sounds of Mandarin: The Making of a National Language in China and Taiwan, 1900–1960

DAVID CIEPLEY, Associate Professor, Political Science, University of Denver
Our Corporate Civilization and its Neoliberal Crisis

STEVEN ELLIS, Associate Professor, Classics, University of Cincinnati
The Social Structures of a Roman City: Context and Complexity in the Archaeological Excavations of a Sub-elite Pompeian Neighborhood

PAOLA GAMBAROTA, Associate Professor, Italian, Rutgers University-New Brunswick
American Naples: Cross-Cultural Memories of an Occupation
FRANCOISE HAMLIN, Associate Professor, Africana Studies and History, Brown University
Freedom’s Cost: Children and Youth in the Black Freedom Struggle

HAIYAN LEE, Associate Professor, East Asian Languages and Cultures, and Comparative Literature, Stanford University
A Certain Justice: Toward an Ecology of the Chinese Legal Imagination

MARY D. LEWIS, Professor, History, Harvard University
The First French Decolonization: A New History of Nineteenth-Century Empire

MARGARET LITVIN, Associate Professor, Modern Languages and Comparative Literature, Boston University
Another East: Arab Writers, Moscow Dreams

CHRISTOPHER N. PHILLIPS, Associate Professor, English, Lafayette College
The Hymnal Before the Notes: A History of Reading and Practice

SHADEN M. TAGELDIN, Associate Professor, Cultural Studies and Comparative Literature, University of Minnesota, Twin Cities
Toward a Transcontinental Theory of Modern Comparative Literature

LUCE / ACLS DISSERTATION FELLOWSHIPS IN AMERICAN ART

LAUREN APPLEBAUM, Doctoral Candidate, Art History, University of Illinois at Urbana-Champaign
Elusive Matter, Material Bodies: American Art in the Age of Electronic Mediation, 1865–1918

NIKI D. CONLEY, Doctoral Candidate, Art History and Archaeology, University of Missouri
Lt. Claggett Wilson, Queer Masculinity, and the Formation of American Modernism

RANDALL EDWARDS, Doctoral Candidate, Art History, City University of New York, The Graduate Center

CHRISTOPHER KETCHAM, Doctoral Candidate, History, Theory, and Criticism of Architecture and Art, Massachusetts Institute of Technology
Minimal Art and Body Politics in New York City, 1961–1975

NICO MACHIDA, Doctoral Candidate, Art History, University of California, Los Angeles

SOLVEIG NELSON, Doctoral Candidate, Art History, University of Chicago
Direct Action, Mediated Bodies: How Early Video Changed Art

KRISTINE K. RONAN, Doctoral Candidate, History of Art, University of Michigan-Ann Arbor
Buffalo Dancer: The Biography of an Image

LAURA LAKE SMITH, Doctoral Candidate, Art History, University of Georgia
Imaging the In-between: The Serial Art of Richard Tuttle

EMILY S. WARNER, Doctoral Candidate, Art History, University of Pennsylvania

NICOLE WILLIAMS, Doctoral Candidate, History of Art, Yale University
The Shade of Private Life: Privacy and the Press in Turn-of-the-Century American Art

LUCE / ACLS PROGRAM IN CHINA STUDIES

PREDISSERTATION-SUMMER TRAVEL GRANTS IN CHINA STUDIES

ERNEST BILLINGS BREWSTER, Doctoral Candidate, East Asian Languages and Civilizations, Harvard University
Between Faith and Logic: Buddhist Philosophy of Religion in Ming China

YECHENG CAO, Doctoral Candidate, Art and Archaeology, Princeton University
Follow the Zhou! The Middle Yangtze River Region in the Western Zhou Period

YEN-LING CHEN, Doctoral Candidate, Linguistics, University of Hawai‘i, Manoa
A Grammar of Ong-Be

MARK FRANK, Doctoral Candidate, Chinese History, University of Illinois at Urbana-Champaign
The Matter of Xikang: Materiality in the Making of a Modern Chinese Province
2015 ACLS FELLOWS AND GRANTEES CONTINUED

JAMES J. GERIEN-CHEN, Doctoral Candidate, History-East Asia, Columbia University
Between Empire and Nation: Taiwanese Settlers and the Making of Japanese Empire in China

TYLER HARLAN, Doctoral Candidate, Geography, University of California, Los Angeles
From Periphery to Policy Model: Exporting China’s Green Development

CHARLES R. KRAUS, Doctoral Candidate, History, The George Washington University
Transforming People and Place: Shanghai’s “Educated Youth” on the Chinese Frontier, 1963–1981

STEPHANIE MICHELLE MONTGOMERY, Doctoral Candidate, History, University of California, Santa Cruz
Gender, Criminality, and the Prison in China, 1928–1953

JOOHEE SUH, Doctoral Candidate, History, Washington University in St. Louis
The Afterlife of Corpses: The Fear of Animated Dead Bodies (jiangshi) and the Qing (1644–1911) Culture of the Macabre

KUAN-CHI WANG, Doctoral Candidate, Geography, University of Oregon
The Search for Green Border: Political Economy and Ecology of Vegetable Trade among China, Taiwan, and Japan

JESSE WATSON, Doctoral Candidate, History, University of California, Berkeley
The Empire as Local: New Perspectives on Early Chinese Empires from Excavated Documents (221 BC–AD 220)

POStDOCTORAL FELLOWSHIPS IN CHINA STUDIES

KATHLENE BALDANZA, Assistant Professor, History and Asian Studies, Pennsylvania State University, University Park
Medical Colonialism in China and Vietnam

EMILY BAUM, Assistant Professor, History, University of California, Irvine
Spit, Chains, and Hospital Beds: A History of Madness in Republican China, 1911–1937

MAURA DYKSTRA, Postdoctoral Fellow, Fairbank Center for Chinese Studies, Harvard University
Law and Economy in a Complex State: The Development of Chongqing in the Qing Dynasty

CHRISTINE I. HO, Assistant Professor, History of Art and Architecture, University of Massachusetts, Amherst
Collective Brushwork

LIJING JIANG, Postdoctoral Fellow, Department of East Asian Studies, Princeton University
Of Goldfish and Scientists: Experimental Biology in Modern China

GUOTONG LI, Associate Professor, History, California State University, Long Beach
A Chinese Muslim Community in Late Imperial Quanzhou: Gender and Ethnicity on China’s Southeast Coast

XIA SHI, Assistant Professor, History, New College of Florida
Stepping into the Public World: Traditional Women and Philanthropy in Early Twentieth-Century China

CRAIG ANTHONY SMITH, Adjunct Faculty, History, University of British Columbia
Nationalism and Regionalism: Chinese Intellectuals’ Writings on Asianism and the Empire of Japan

PHILIP THAI, Assistant Professor, History, Northeastern University
The War on Smuggling: Law, State Power, and Illicit Markets in Coastal China

LEAH YA ZUO, Assistant Professor, History and Asian Studies, Bowdoin College
A New Way of Knowing in Middle-Period China: Shen Gua (1031–1095) and the Birth of Empiricism

(with additional funding by the National Endowment for the Humanities)
COLLABORATIVE READING-WORKSHOP GRANTS IN CHINA STUDIES

BUYUN CHEN, Assistant Professor, History, Swarthmore College
ANDREW LIU, Assistant Professor, History, Villanova University
Women at Work: Reconstructing Nügong through Text and Image

ANNE O. YUE, Professor, Asian Languages and Literature, University of Washington
KEN-ICHI TAKASHIMA, Professor Emeritus, Asian Studies, University of British Columbia
ZHICHUN JING, Associate Professor, Anthropology, University of British Columbia
Zhougongmiao Oracle Bone Inscriptions (OBI) Workshop

STEVEN B. MILES, Associate Professor, Department of History, Washington University in St. Louis
WINNIE WON YIN WONG, Assistant Professor, Rhetoric, University of California, Berkeley
YEEWAN KOON, Associate Professor, Fine Arts, University of Hong Kong
Urban Space and Social Networks in a Port City: Reading a Cantonese Diary (1819–1829)

MELLON / ACLS DISSERTATION COMPLETION FELLOWSHIPS

ARASH ABAZARI, Doctoral Candidate, Philosophy, Johns Hopkins University
Hegel's Logic of Essence as the Ontology of Power in Capitalism

NADYA BAIR, Doctoral Candidate, Art History, University of Southern California
The Decisive Network: Magnum Photos and the Art of Collaboration in Postwar Photojournalism

HEATHER BERG, Doctoral Candidate, Feminist Studies, University of California, Santa Barbara
Porn Work: Adult Film at the Point of Production

JEFFREY BLEVINS, Doctoral Candidate, English, University of California, Berkeley
The Poetry of Logic and the Logic of Poetry, 1895–1931

ELISE L. BONNER, Doctoral Candidate, Music, Princeton University
Catherine the Great and the Origins of Russian Opera in Late Eighteenth-Century St. Petersburg

JAY BORCHERT, Doctoral Candidate, Sociology, University of Michigan-Ann Arbor
Mass Incarceration, the Profession of Corrections, and the Way Prison Workers Construct Meanings about Their Participation in Our Punishment State

MATTIE BURKERT, Doctoral Candidate, English, University of Wisconsin-Madison
Speculative Enterprise: Public Finance and the London Theater, 1688–1763

CHELSEA R. BURNS, Doctoral Candidate, Music, University of Chicago
Listening for Modern Latin America: Identity and Representation in Concert Music, 1920–1940

LINDSAY A. CAPLAN, Doctoral Candidate, Art History, City University of New York, The Graduate Center
Open Works: Between the Programmed and the Free, Art in Italy from 1962 to 1972

MAURA CAPP, Doctoral Candidate, History, University of Chicago
All Flesh Is Grass: A Political Ecology of Agrarian Improvement in Britain’s Settler Empire, 1780–1850

ELIZABETH ANN CECIL, Doctoral Candidate, Religious Studies, Brown University
Mapping a Contested Landscape: Religion, Politics, and Place in the Making of Pāśupata Identity, Sixth-Ninth Century CE

WESLEY BYRON CHANEY, Doctoral Candidate, History, Stanford University
Land, Trade, and the Law on the Sino-Tibetan Border, 1723–1911

A.T. COATES, Doctoral Candidate, Religious Studies, Duke University
Fundamentalist Aesthetics: Sensation and Scripture in Early Twentieth-Century American Fundamentalism

BRIAN J. CUDDY, Doctoral Candidate, History, Cornell University

MEGAN JOHANNA DANIELS, Doctoral Candidate, Classics, Stanford University
The Queen of Heaven and a Goddess for All the People: Religion, Cultural Evolution, and Social Development in Iron Age Greece

ARASH DAVARI, Doctoral Candidate, Political Science, University of California, Los Angeles
Revolutionary Reconstruction: Narrative, Subjectivity, and State Consolidation in Iran
BATHSHEBA R. DEMUTH, Doctoral Candidate, History, University of California, Berkeley
The Power of Place: Modern Ideology and Arctic Ecology in the Bering Straits, 1848–1988

LEE ELIZABETH DOUGLAS, Doctoral Candidate, Anthropology, New York University
Producing Historical Knowledge in a World of Absence: Forensic Science, Cultures of Documentation, and the Politics of Memory in Post-Franco Spain

REBECCA ELLIOTT, Doctoral Candidate, Sociology, University of California, Berkeley
Underwater: Floods and the Social Classification, Pricing, and Distribution of the Risks of Climate Change in the United States

REBECCA M. EVANS, Doctoral Candidate, English, Duke University
Unnatural History: Ecological Temporality in Post-1945 American Literature

REBECCA L. FALL, Doctoral Candidate, English, Northwestern University
Common Nonsense: The Production of Popular Literature in Renaissance England

SOFIA FENNER, Doctoral Candidate, Political Science, University of Chicago
Life after Co-optation: Possibility and Change in Authoritarian Regimes

EMI FOULK, Doctoral Candidate, History, University of California, Los Angeles
Signposts for the Way: Grammar, Phonology, and Ideology in Eighteenth-Century Japan

LINDA R. GOSNER, Doctoral Candidate, Archaeology and the Ancient World, Brown University
Mining Matters: Rural Communities and Industrial Landscapes in Roman Iberia, Third Century BCE-Second Century CE

TIMOTHY W. GRINSELL, Doctoral Candidate, Linguistics, University of Chicago
Semantic Indecision

SERRA M. HAKYEMEZ, Doctoral Candidate, Anthropology, Johns Hopkins University
Kurds Before the Court: Law, Terrorism, and Sovereignty

HEIDI HART, Doctoral Candidate, Carolina-Duke Program in German Studies, Duke University
Contrary Voices: Heine, Hölderlin, and Goethe in the Music of Hanns Eisler

HEIDI HAUSSE, Doctoral Candidate, History, Princeton University
Life and Limb: Technology, Surgery, and Bodily Loss in Early Modern Germany, 1500–1700

JENNA HEALEY, Doctoral Candidate, History of Science and Medicine, Yale University
Sooner or Later: Age, Pregnancy, and the Reproductive Revolution in Late Twentieth-Century America

ALANNA HICKEY, Doctoral Candidate, English, Northwestern University

TAMARA KNEESE, Doctoral Candidate, Media, Culture, and Communication, New York University
Digital Afterlives: Patterning Posterity through Networked Remains

WHITNEY E. LAEMMLI, Doctoral Candidate, History and Sociology of Science, University of Pennsylvania
The Choreography of Everyday Life: Rudolf Laban and the Analysis of Modern Movement

CHARLES LESCH, Doctoral Candidate, Government, Harvard University
The Ethical Commonwealth: Social Citizenship and the Moral Life of Political Communities

EMILY XI LIN, Doctoral Candidate, Science, Technology, and Society, Massachusetts Institute of Technology
Caring for Star-Children: Autism, Modernizing Families, and Ethics in Contemporary China

WEIWEI LUO, Doctoral Candidate, History, Columbia University
Account Due: Making Citizenship and Sovereignty in Qing China, 1674–1894

MANISSA MAHARAWAL, Doctoral Candidate, Anthropology, City University of New York, The Graduate Center
Protest Cultures of the New “Lost Generation”: Urban Dissent, Direct Action, and Affective Politics Post-Occupy

SEAN MALLIN, Doctoral Candidate, Anthropology, University of California, Irvine
Becoming Blight: Property and Belonging in Post-Katrina New Orleans

HANNAH MARCUS, Doctoral Candidate, History, Stanford University
Banned Books: Medicine, Readers, and Censors in Early Modern Italy, 1559–1664
2015 ACLS FELLOWS AND GRANTEES CONTINUED

MARTIN Y. MARINOS, Doctoral Candidate, Communication, University of Pittsburgh
Post-Socialism, Right-Wing Populism, and the Construction of a (Neo)liberal Media Sphere: Political Discourse and Social Change in Bulgaria

CECILIA MÁRQUEZ, Doctoral Candidate, History, University of Virginia

JAMIE MARTIN, Doctoral Candidate, History, Harvard University

NATHANIEL MILLER, Doctoral Candidate, Near Eastern Languages and Civilizations, University of Chicago
Tribal Poetics in Early Arabic Poetry: The Case of Ashʿār Bani Hudhayl

CAMERON MOORE, Doctoral Candidate, East Asian Studies, Princeton University
Text as Tradition: Han Commentary and the Rise of Classical Scholarship

ERIN MOSELY, Doctoral Candidate, African and African American Studies, Harvard University
The Future of Rwanda’s Past: Transitional Justice, Archival Practice, and the Remaking of History after Genocide

EMILY NG, Doctoral Candidate, Anthropology, University of California, Berkeley
A Time of Lost Gods: Madness, Possession, and the Return of Spirits in Contemporary China

SAMUEL NG, Doctoral Candidate, American Studies, New York University

MELINDA LATOUR O’BRIEN, Doctoral Candidate, Musicology, University of California, Los Angeles
Music and Moral Repair in Early Modern France

KANEESHA CHERELLE PARSARD, Doctoral Candidate, American Studies and African American Studies, Yale University
Improper Dwelling: Space, Sexuality, and Colonial Modernity in the British West Indies, 1838–1962

JOSÉ IJIAN PÉREZ MELÉNDEZ, Doctoral Candidate, History, University of Chicago
The Business of Peopling: Colonization Companies and the Making of Imperial Brazil, 1815–1878

CONNOR M. PITETTI, Doctoral Candidate, English, State University of New York, Stony Brook
The City at the End of the World: Eschatology and Ecology in Twentieth-Century Science Fiction and Architecture

SARAH M. QUESADA, Doctoral Candidate, Iberian and Latin American Cultures, Stanford University
Textual Memorials of a Transatlantic America: Ruins and Monuments of the African Diaspora in Luso-Hispanic and Latino/a Narratives

KELLY MEE RICH, Doctoral Candidate, English, University of Pennsylvania
States of Repair: Institutions of Private Life in the Postwar British Novel

ALFONSO SALGADO, Doctoral Candidate, History, Columbia University
The Party Family: The Private Life of Communists in Twentieth-Century Chile

STEPHANIE SAVELL, Doctoral Candidate, Anthropology, Brown University
The Right to Security: Militarized Policing and Its Alternatives in Rio de Janeiro

AKSHYA SAXENA, Doctoral Candidate, Cultural Studies and Comparative Literature, University of Minnesota, Twin Cities
Vernacular Englishes: Language and Democratic Politics in Post-Liberalization India

TIMOTHY E. SHENK, Doctoral Candidate, History, Columbia University
Inventing the American Economy

ROBERT STEEL, Doctoral Candidate, Philosophy, University of Pittsburgh
Planning for Failure

MARK A. STOHOLSKI, Doctoral Candidate, Comparative Literature, Emory University
Affecting the Logos

ANUSHREE L. SUBRAMANIAM, Doctoral Candidate, Economics, University of Chicago
Incentives for Pharmaceutical Innovation and Associated Welfare Implications: Evidence from the Orphan Drug Act

HILLARY A. TAYLOR, Doctoral Candidate, History, Yale University
“But if the poore man speak, they say, What fellow is this?”: Language and Social Relations in Early Modern England, 1550–1750
KYLA THOMAS, Doctoral Candidate, Sociology, Princeton University
Hidden (Dis)Advantages of Class: How Cultural Signals of Class Shape Hiring Outcomes

DENISE M. VIGANI, Doctoral Candidate, Philosophy, City University of New York, The Graduate Center
Construing Character: Virtue as a Cognitive-Affective Processing System

MARIA VINOGRADOVA, Doctoral Candidate, Cinema Studies, New York University

PETER W. WALKER, Doctoral Candidate, History, Columbia University
The Church Militant: The American Émigré Clergy and the Making of the British Counterrevolution, 1763–1792

SEAN F. WARD, Doctoral Candidate, English, Duke University
War Worlds: Violence, Sociality, and the Forms of Twentieth-Century Transatlantic Literature

DELIA D. B. WENDEL, Doctoral Candidate, Urban Planning and Design, Harvard University
Space and the Ethics of Transition: Rebuilding Rwanda after the 1994 Genocide

ALPER YAGCI, Doctoral Candidate, Political Science, University of Massachusetts Amherst
Managing the Agricultural Biotechnology Revolution: Responses to Transgenic Seeds in Developing Countries

CORINNA ZELTSMAN, Doctoral Candidate, History, Duke University
Ink under the Fingernails: Printers and the Material Politics of Print in Nineteenth-Century Mexico City

ANNA ZOGAS, Doctoral Candidate, Anthropology, University of Washington
Invisible Injury: Mild Traumatic Brain Injury and Medical Uncertainty in the Veterans Affairs Healthcare System

AMELIA J. DIETRICH, PhD, Spanish, Pennsylvania State University
Appointed as Assistant Director for Digital Resources, Forum on Education Abroad

EDITH DORON, PhD, Modern Thought, University of Aberdeen
Appointed as Senior Program Manager, Nexus Projects, Carnegie Museums of Pittsburgh

VICTORIA R. FARMER, PhD, English, Florida State University
Appointed as Senior Manager, Audience Development, Public Radio International (PRI)

RACHEL FEINMARK, PhD, History, University of Chicago
Appointed as Development Communications Manager, Tenement Museum

MEGAN FRENCH-MARCELIN, PhD, US History, Columbia University
Appointed as Policy Research Manager, American Civil Liberties Union

NILOFAR GARDEZI, PhD, English, University of California, Berkeley
Appointed as Program Impact Analyst, Independent Media, Bay Area Video Coalition

FRANZ D. HOFER, PhD, History, Cornell University
Appointed as Outreach Specialist, Museen der Stadt Wien (Vienna Museum)

NICOLE IVY, PhD, African American Studies and American Studies, Yale University
Appointed as Museum Futurist, American Alliance of Museums

CATHERINE LENA KELLY, PhD, Government, Harvard University
Appointed as Program Analyst, Monitoring and Evaluation Unit, American Bar Association Rule of Law Initiative

ABIGAIL J. LANGSTON, PhD, Literature, Duke University
Appointed as Policy Analyst, Equitable Economic Growth, PolicyLink

SUSANNAH T. LARAMEE KIDD, PhD, Religion, Emory University
Appointed as Research Analyst, Los Angeles County Arts Commission

LIZ MAYNES-AMINZADE, PhD, English, Harvard University
Appointed as Digital Strategist, Public Books

PATRICK O’SHEA, PhD, Latin American Cultural Studies, University of Manchester
Appointed as Content and Research Manager, National Immigration Law Center

KEERTHI C. POTLURI, PhD, Rhetoric, University of California, Berkeley
Appointed as Strategic Outreach Manager, Central Park Conservancy

MELLON / ACLS PUBLIC FELLOWS

Funded by The Andrew W. Mellon Foundation

AMELIA J. DIETRICH, PhD, Spanish, Pennsylvania State University
Appointed as Assistant Director for Digital Resources, Forum on Education Abroad

EDITH DORON, PhD, Modern Thought, University of Aberdeen
Appointed as Senior Program Manager, Nexus Projects, Carnegie Museums of Pittsburgh

VICTORIA R. FARMER, PhD, English, Florida State University
Appointed as Senior Manager, Audience Development, Public Radio International (PRI)

RACHEL FEINMARK, PhD, History, University of Chicago
Appointed as Development Communications Manager, Tenement Museum

MEGAN FRENCH-MARCELIN, PhD, US History, Columbia University
Appointed as Policy Research Manager, American Civil Liberties Union

NILOFAR GARDEZI, PhD, English, University of California, Berkeley
Appointed as Program Impact Analyst, Independent Media, Bay Area Video Coalition

FRANZ D. HOFER, PhD, History, Cornell University
Appointed as Outreach Specialist, Museen der Stadt Wien (Vienna Museum)

NICOLE IVY, PhD, African American Studies and American Studies, Yale University
Appointed as Museum Futurist, American Alliance of Museums

CATHERINE LENA KELLY, PhD, Government, Harvard University
Appointed as Program Analyst, Monitoring and Evaluation Unit, American Bar Association Rule of Law Initiative

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PATRICK O’SHEA, PhD, Latin American Cultural Studies, University of Manchester
Appointed as Content and Research Manager, National Immigration Law Center

KEERTHI C. POTLURI, PhD, Rhetoric, University of California, Berkeley
Appointed as Strategic Outreach Manager, Central Park Conservancy
2015 ACLS FELLOWS AND GRANTEES CONTINUED

NATHANIEL K. POWELL, PhD, History, The Graduate Institute of International and Development Studies (IHEID), Geneva
Appointed as Development Manager, World Monuments Fund

NATHANIEL J. PROTTAS, PhD, Art History, University of Pennsylvania
Appointed as Education Specialist, Museum der Stadt Wien (Vienna Museum)

CARA SHOUSTERMAN, PhD, Linguistics, New York University
Appointed as Partnerships and Engagement Manager, Harmony Institute

MICHAEL G. TIBORIS, PhD, Philosophy, University of California, San Diego
Appointed as Fellow, Global Cities, Chicago Council on Global Affairs

ALYSSA L. TROMETTER, PhD, Historical Studies, University of Melbourne
Appointed as Partnerships Manager, CGI University, Clinton Global Initiative

SARAH ELIZABETH VAUGHN, PhD, Cultural Anthropology, Columbia University
Appointed as Conservation Program Analyst, National Audubon Society

JENNIFER A. VOGT, PhD, Anthropology, Vanderbilt University
Appointed as Innovation Manager, Ashoka

ZOE L. ZILIAK MICHEL, PhD, Linguistics, University of Florida
Appointed as Policy Analyst, Job Quality, Center for Law and Social Policy (CLASP)

THE ROBERT H. N. HO FAMILY FOUNDATION PROGRAM IN BUDDHIST STUDIES

DISSERTATION FELLOWSHIPS IN BUDDHIST STUDIES

KRIS L. ANDERSON, Doctoral Candidate, Buddhist Studies, University of California, Berkeley
The Sarvadurgatiparisodhana Tantra and the Roots of Tantric Buddhist Funerary Ritual

LINDA CHHATH, Doctoral Candidate, Languages and Cultures of Asia, Religion, University of Wisconsin-Madison
Ethics of Independence: Buddhist Cosmopolitanism in Cambodia, 1953–1970

JACK MENG-TAT CHIA, Doctoral Candidate, History, Cornell University
Diasporic Dharma: Buddhism and Modernity across the South China Sea

ANTHONY LOVENHEIM IRWIN, Doctoral Candidate, Languages and Cultures of Asia, University of Wisconsin-Madison
Building Buddhism in Chiang Rai, Thailand: Construction as Religion

SHANSHAN JIA, Doctoral Candidate, Indology, Hamburg University
A Critical Edition of the Last Chapter of the Lankavatara Sutra with an Annotated Translation and a Study of the Lankavatara Sutra

MATTHEW DON MCMULLEN, Doctoral Candidate, Buddhist Studies, University of California, Berkeley
The Development of Esoteric Buddhist Scholasticism in Early Medieval Japan

KAMILLA EVA MOJZES, Doctoral Candidate, Tibetan Studies, University of Bonn

RENQINGDUOJIE, Doctoral Candidate, Religious Studies, University of Virginia
Buddhist Monasticism in Northeastern Tibet

JAVIER SCHNAKE, Doctoral Candidate, History Texts and Documents, École Pratique des Hautes Études
Dhamma through Letters: The Vajirasaratthasangaha, a Sixteenth-Century Pali Text From Northern Thailand

LINA VERCHERY, Doctoral Candidate, Buddhist Studies, Harvard University
The FaJie Feijiao Zonghui: Rethinking Monasticism, Moral Selfhood, and Modernity

WEI WU, Doctoral Candidate, Religion, Princeton University
Seeking Dharma from Tibet: Indigenization of Tibetan Buddhism in Twentieth-Century China
POSTDOCTORAL FELLOWSHIPS IN BUDDHIST STUDIES

MARION DAPSANCE, Postdoctoral Fellow, Religion, École Pratique des Hautes Études
*Alexandra David-Néel as a Buddhist Saint, Intellectual, and Missionary. A French Woman’s Influence on Modern Buddhism*

MAYA STILLER, Assistant Professor, Art History, University of Kansas
*Transformational Journeys and Encounters: Pilgrimages to Kumgangsan in Early Modern Korea (1650–1900)*

JUAN WU, Postdoctoral Fellow, Buddhist Studies, University of Tokyo
*Royals across Religious Boundaries: A Comparative Study of Stories of Shared Royal Personages in Indian Buddhism and Jainism*

COLLABORATIVE RESEARCH GRANTS IN BUDDHIST STUDIES

JOSE IGNACIO CABEZON, Professor, Religious Studies, University of California, Santa Barbara
*A Study of Sera Monastery*

PENPA DORJEE, Assistant Professor, Restoration, Central University of Tibetan Studies

ARLO GRIFFITHS, Professor, Southeast Asian History, École Française d’Extrême-Orient

INGO STRAUCH, Professor, South Asian Studies, Université de Lausanne

JULIAN KARL WHEATLEY, Independent Scholar, Linguistics

STEFAN BAUMS, Postdoctoral Scholar, Institute for Indian and Tibetan Studies, Ludwig Maximilian University of Munich

VINCENT TOURNIER, Associate Professor, Study of Religions, SOAS, University of London
*From Vijayapuri to Sriksetra? The Beginnings of Buddhist Exchange across the Bay of Bengal as Witnessed by Inscriptions from Andhra Pradesh and Myanmar*

ANDREW QUINTMAN, Associate Professor, Department of Religious Studies, Yale University

KURTIS R. SCHAEFFER, Professor, Religious Studies, University of Virginia
*The Life of the Buddha at Jonang Monastery in Tibet: Art, Literature, and Institution*

ROSTISLAV BEREZKIN, Associate Professor, National Institute for Advanced Humanistic Studies, Fudan University

LAN TO NGUYEN, Researcher, Institute of Sino-Nom Studies, Vietnam Academy of Social Sciences
*The Transmission and Influence of a Buddhist Story in Vietnam: A Case Study of The Precious Scroll of Incense Mountain*

ACLS COLLABORATIVE RESEARCH FELLOWSHIPS

2015-2016 COMPETITION

MARTINE WATSON BROWNLEY, Emory University
DON KULICK, Uppsala University
MARY KATE MCGOWAN, Wellesley College
DAVID C. SCHABERG, University of California, Los Angeles
VIRGINIA SCHARFF, University of New Mexico

2014-2015 COMPETITION

MARTINE WATSON BROWNLEY, Emory University
PETER L. GALISON, Harvard University
MARY KATE MCGOWAN, Wellesley College
DAVID C. SCHABERG, University of California, Los Angeles
VIRGINIA SCHARFF, University of New Mexico

ACLS DIGITAL EXTENSION GRANTS

2015-2016 COMPETITION

DIANNE HARRIS, University of Utah
RUTH A. MOSTERN, University of Pittsburgh
JANET H. MURRAY, Georgia Institute of Technology
WILLIAM PANNAPACKER, Hope College
JOSHUA D. SOSIN, Duke University
TED UNDERWOOD, University of Illinois at Urbana-Champaign

ACLS DIGITAL INNOVATION FELLOWSHIPS

2014-2015 COMPETITION

PETER K. BOL, Harvard University
MARY FLANAGAN, Dartmouth College
ZEPHYR L. FRANK, Stanford University
LAURA C. MANDELL, Texas A&M University
TARA MCPHERSON, University of Southern California
TODD SAMUEL PRESNER, University of California, Los Angeles

ACLS FELLOWSHIPS

2015-2016 COMPETITION

ALI BEHDAD, University of California, Los Angeles
FRED BEISER, Syracuse University
DONALD BRENNEIS, University of California, Santa Cruz
JIMENA CANALES, University of Illinois, Urbana-Champaign
ARLENE DAVILA, New York University
GAURAV DESAI, Tulane University
LAURA F. EDWARDS, Duke University
RONALD EYERMAN, Yale University
LORI GRUEN, Wesleyan University
STEPHANIE A HARVES, New York University
BRUCE HOLINGSER, University of Virginia
ADEEB KHALID, Carleton College
RANJANA KHANNA, Duke University
SCOTT L. KIRSCH, University of North Carolina at Chapel Hill
DOROTHY YIN-YEE KO, Barnard College
JANE LANDERS, Vanderbilt University

2014-2015 COMPETITION

BERNARD M. LEVINSON, University of Minnesota, Twin Cities
GEORGE E. LEWIS, Columbia University
JULIA REINHARD LUPTON, University of California, Irvine
ISABEL A. MOREIRA, University of Utah
MOLLY NESBIT, Vassar College
TIMOTHY H. PARSONS, Washington University in St. Louis
FABIO RAMBELLI, University of California, Santa Barbara
LISA C. SHAPIRO, Simon Fraser University
LYNN STEPHEN, University of Oregon
STEVEN R. SWAYNE, Dartmouth College
JEREMY WALLACH, Bowling Green State University
TIMOTHY P. WATSON, University of Miami
LINDA L. WILLIAMS, University of California, Berkeley
VICTORIA WOHL, University of Toronto

ACLS FELLOWSHIPS CONTINUED

2014–2015 COMPETITION

ALI BEHDAD, University of California, Los Angeles
HARRIS M. BERGER, Texas A&M University
CLAIRE L. BOWREN, Yale University
DONALD BRENNIS, University of California, Santa Cruz
ALEJANDRA BRONFMAN, University of British Columbia
GAURAV DESAI, Tulane University
LAURA F. EDWARDS, Duke University
RONALD EYERMAN, Yale University
LESLEY GILL, Vanderbilt University
LORI GRUEN, Wesleyan University
BRUCE HOLLSINGER, University of Virginia
RANJIANA KHANNA, Duke University
SCOTT L. KIRCH, University of North Carolina at Chapel Hill
DOROTHY YIN-YEE KO, Barnard College
JENNIFER LACKEY, Northwestern University
LAURIE F. MAFFLY-KIPP, Washington University in St. Louis
SARAH COLLYER MCPHEE, Emory University
DONALD MITCHELL, Syracuse University
ISABEL A. MOREIRA, University of Utah
LYNN K. NYHART, University of Wisconsin-Madison
TIMOTHY H. PARSONS, Washington University in St. Louis
JANN C. PASLER, University of California, San Diego
FABIO RAMBELLI, University of California, Santa Barbara
MARK SANDERS, New York University
TIMOTHY P. WATSON, University of Miami

AFRICAN HUMANITIES PROGRAM

2015–2016 COMPETITION

ADIGUN AGBAJE, University of Ibadan
SANDRA T. BARNES, University of Pennsylvania
FREDERICK HENDRICKS, Rhodes University
BERTRAM MAPUNDA, University of Dar es Salaam
OKELOO OGWANG, Makerere University
AILI MARI TRIPP, University of Wisconsin-Madison
KWESI YANKAH, Central University College

2014–2015 COMPETITION

ISABEL A. MOREIRA, University of Utah
ADIGUN AGBAJE, University of Ibadan
SANDRA T. BARNES, University of Pennsylvania
FREDERICK HENDRICKS, Rhodes University
BERTRAM MAPUNDA, University of Dar es Salaam
AILI MARI TRIPP, University of Wisconsin-Madison
KWESI YANKAH, Central University College

COMPARATIVE PERSPECTIVES ON CHINESE CULTURE AND SOCIETY GRANTS

2015–2016 COMPETITION

TIM OAKES, University of Colorado
MICHAEL SZONYI, Harvard University
PAULINE YU, American Council of Learned Societies

2014–2015 COMPETITION

JUDITH FARQUHAR, University of Chicago
PAUL JAKOV SMITH, Haverford College
PAULINE YU, American Council of Learned Societies

CHARLES A. RYSKAMP RESEARCH FELLOWSHIPS

2014–2015 COMPETITION

DEBBORA BATTAGLIA, Mount Holyoke College
DEREK R. COLLINS, University of Michigan-Ann Arbor
VIET THANH NGUYEN, University of Southern California
LISA R. SALTZMAN, Bryn Mawr College
ANAND A. YANG, University of Washington

FREDERICK BURKHARDT RESIDENTIAL FELLOWSHIPS FOR RECENTLY TENURED SCHOLARS

2015–2016 COMPETITION
ROBERT O. BORK, University of Iowa
BRENDA CHALFIN, University of Florida
LARA DEEB, Scripps College
SHARON MARCUS, Columbia University
RICHARD A. MORAN, Harvard University
PAUL REITTER, The Ohio State University
LISA R. SALTZMAN, Bryn Mawr College
BENJAMIN SCHMIDT, University of Washington
ANN R. STEINER, Franklin & Marshall College
STEFAN TANAKA, University of California, San Diego
PAULINE YU, American Council of Learned Societies

2014–2015 COMPETITION
MICHAEL LEJA, University of Pennsylvania
SHARON MARCUS, Columbia University
MONICA PRASAD, Northwestern University
BENJAMIN SCHMIDT, University of Washington
R. JAY WALLACE, University of California, Berkeley
PAULINE YU, American Council of Learned Societies

LUCE/ACLS DISSERTATION FELLOWSHIPS IN AMERICAN ART

2015–2016 COMPETITION
JOHN P. BOWLES, University of North Carolina at Chapel Hill
ALAN C. BRADDOCK, College of William & Mary
FRANK H. GOODYEAR, Bowdoin College
THAYER TOLLES, Metropolitan Museum of Art
ISABELLE LORING WALLACE, University of Georgia

2014–2015 COMPETITION
MICHAEL LEJA, University of Pennsylvania
SHARON MARCUS, Columbia University
MONICA PRASAD, Northwestern University
BENJAMIN SCHMIDT, University of Washington
R. JAY WALLACE, University of California, Berkeley
PAULINE YU, American Council of Learned Societies

LUCE / ACLS PROGRAM IN CHINA STUDIES: COLLABORATIVE READING-WORKSHOPS

2015–2016 COMPETITION
TIM OAKES, University of Colorado
MICHAEL SZONYI, Harvard University

2014–2015 COMPETITION
JUDITH FARQUHAR, University of Chicago
PAUL JAKOV SMITH, Haverford College

LUCE / ACLS PROGRAM IN CHINA STUDIES: FELLOWSHIPS

2015–2016 COMPETITION
BEVERLY J. BOSSLER, University of California, Davis
MARK CSIKSZENTMIHALYI, University of California, Berkeley
CHING KWAN LEE, University of California, Los Angeles
XIAOBING TANG, University of Michigan
JEFFREY WASSERSTROM, University of California, Irvine
PAOLA ZAMPERINI, Northwestern University

2014–2015 COMPETITION
BEVERLY J. BOSSLER, University of California, Davis
MARK CSIKSZENTMIHALYI, University of California, Berkeley
DEBORAH DAVIS, Yale University
GRACE S. FONG, McGill University
XIAOBING TANG, University of Michigan-Ann Arbor
JEFFREY N. WASSERSTROM, University of California, Irvine

MELLON / ACLS DISSERTATION COMPLETION FELLOWSHIPS

2015–2016 COMPETITION
VINCENT BARLETTA, Stanford University
DOROTHY L. HODGSON, Rutgers University-New Brunswick
SHARON LYNN JAMES, University of North Carolina at Chapel Hill
RICHARD C. KELLER, University of Wisconsin-Madison
DINA R. KHOURY, The George Washington University
JUSTIN T. McDANIEL, University of Pennsylvania
STEVEN F. OSTROW, University of Minnesota, Twin Cities
THOMAS PFAU, Duke University
BARON REED, Northwestern University
ENRIQUE RODRIGUEZ-ALEGRIA, University of Texas at Austin
REBECCA L. STANGL, University of Virginia
SHELLEY S. STREEBY, University of California, San Diego
HOLLY WATKINS, University of Rochester
LISA WEDEEN, University of Chicago
ELIZABETH A. WILSON, Emory University
JUSTIN WOLFE, Tulane University

2014–2015 COMPETITION
KENNETH L. ALDER, Northwestern University
VINCENT BARLETTA, Stanford University
DAVID A. COLLINGS, Bowdoin College
KRISTIN GJESDAL, Temple University
DOROTHY L. HODGSON, Rutgers University-New Brunswick
DINA R. KHOURY, The George Washington University
D. MAX MOERMAN, Barnard College
ELLEN S. OLIENSIS, University of California, Berkeley
STEVEN F. OSTROW, University of Minnesota, Twin Cities
ENRIQUE RODRIGUEZ-ALEGRIA, University of Texas at Austin
MARÍA JOSEFINA SALDAÑA-PORTILLO, New York University
VANESSA R. SCHWARTZ, University of Southern California
REBECCA L. STANGL, University of Virginia
SCOTT STRAUS, University of Wisconsin-Madison
ELIZABETH A. WILSON, Emory University

MELLON/ACLS PUBLIC FELLOWS PROGRAM

2015–2016 COMPETITION
CHRISTOPHER A. BARTHEL, Center for Jewish History
RACHEL BERNARD, American Council of Learned Societies
JOHN PAUL CHRISTY, American Council of Learned Societies
PAMELA I. EPSTEIN, New York City Department of Cultural Affairs
MATTHEW GOLDFEDER, American Council of Learned Societies
LAUREN LEIGH HINTHORNE, USAID Bureau for Policy, Planning and Learning
KAREN SHANTON, Ballotpedia

2014–2015 COMPETITION
JAMES A. BENN, McMaster University
LUCIA DOLCE, SOAS, University of London
BIRGIT KELLNER, Institute for the Cultural and Intellectual History of Asia, Austrian Academy of Sciences
DONALD S. LOPEZ, University of Michigan-Ann Arbor
JUHYUNG RHI, Seoul National University
STEPHEN F. TEISER, Princeton University
GUANG XING, University of Hong Kong

THE ROBERT H. N. HO FAMILY FOUNDATION PROGRAM IN BUDDHIST STUDIES

2015–2016 COMPETITION
JAMES A. BENN, McMaster University
LUCIA DOLCE, SOAS, University of London
BIRGIT KELLNER, Institute for the Cultural and Intellectual History of Asia, Austrian Academy of Sciences
DONALD S. LOPEZ, University of Michigan-Ann Arbor
JUHYUNG RHI, Seoul National University
STEPHEN F. TEISER, Princeton University

2014–2015 COMPETITION
JAMES A. BENN, McMaster University
LUCIA DOLCE, SOAS, University of London
BIRGIT KELLNER, University of Heidelberg
DONALD S. LOPEZ, University of Michigan-Ann Arbor
STEPHEN F. TEISER, Princeton University
GUANG XING, University of Hong Kong
2016 AND 2015 PRESIDENT’S REPORT TO THE COUNCIL

ACLS BOARD OF DIRECTORS AND INVESTMENT COMMITTEE
Those of you who are veterans of ACLS meetings know that we’ve had more than one occasion to tell the story of the conversation that led to the creation of the Council in 1919. In the wake of the First World War, European statesmen sought to rebuild international institutions destroyed by the great conflict and to create new ones. James Bryce, the former British ambassador to the United States, asked his friend J. Franklin Jameson, a former president of the American Historical Association and the editor of the *American Historical Review*, about one of these. What organization, Lord Bryce inquired, might represent the United States in a new international union of academies? The American Academy of Arts and Sciences? The American Philosophical Society? No, replied Jameson. Eminent as those associations were (and still are), they were exclusive, self-selecting bodies and thus—in Jameson’s word—too “aristocratic” to be fully in keeping with the American democratic ethos. Better to create a new federation, one that would include those distinguished eighteenth-century associations, but would be constituted by a majority of learned societies with open, inclusive membership. This suggestion made great good sense to Bryce, who had served as the fourth president of the American Political Science Association in 1907-08, and shortly after his meeting with Jameson, ACLS came into being.

His role in the founding of ACLS notwithstanding, Bryce is probably best remembered today as the author of *The American Commonwealth*, a presentation of the dynamics of US politics and society. Based on visits to the United States between 1881 and 1883 and first published in 1888, this was intended as a successor to Alexis de Tocqueville’s *Democracy in America*. The title of one chapter of Bryce’s two-volume work tackles a question that resounds rather loudly in 2016: “Why Great Men Are Not Chosen Presidents.”

“Europeans often ask, and Americans do not always explain,” Bryce wrote, “how it happens that this great office, the greatest in the world . . . to which anyone can rise by his own merits, is not more frequently filled by great and striking men.” Political culture was partly to blame, Bryce asserted, for “the ordinary American voter does not object to mediocrity,” and thus “the proportion of first-rate ability drawn into politics is smaller in America than in most European countries.” But, he felt, the bigger problems were structural. “The methods and habits of Congress . . . seem to give fewer opportunities for personal distinction, fewer modes in which a man may commend himself to his countrymen by eminent capacity in thought.” Most important, according to Bryce, was that “in America party loyalty and party organization have been . . . so perfect” that they produced a system of “artificial selection” that was unlikely “to bring the highest gifts to the highest place.”

Clearly, Bryce was writing about a political system that has since utterly collapsed. Were he to behold this year’s election he would see, as many have observed, that the organization of one great party has been disintegrated by a candidate with great media power but not the “highest gifts” the British visitor would prize. And, if you will allow me to play the “woman card,” the direction of the other party would astonish and alarm him as well. The gendered category of “Great Men” made perfect sense to Bryce, for he was a prominent opponent of women’s suffrage. It goes without saying that structures and values that seemed in 1888 to be powerful and durable have been significantly transformed in the span of 130 years.

The basic framework of US higher education was also taking shape in the late nineteenth century when Bryce was writing about politics. Are we beholding a similar transformation in our domain? Will our current structures be overwhelmed and transformed? Many might welcome that prospect. There are the heralds of serial “disruptions” that will establish new paradigms for the creation and distribution of knowledge and education. And there are the critiques, disparagements, and potshots taken at the academy each year by political figures eager to justify diminishing public support of higher education.

The same declining social mobility, heightened economic inequality, and anxiety over the closing frontier of middle-class prosperity that are roiling politics today are challenging higher education and the humanities in particular. The political structure is being shaken precisely because it does not offer answers to the anxieties produced by those dynamics. In higher education, the opposite is the case, and in fact, education is the prescription. As William Bowen and Michael McPherson note in their new book, *Lesson Plan: An Agenda for Change in American Higher Education*: “There is probably

*Not for citation without permission. A video of President Yu’s report is available on the ACLS website; see www.acls.org/media.
no better-documented finding in the social sciences than that education pays.”

But the questions remain: who will make that investment and who will benefit from it? Will an empowering education, one that liberates and expands horizons, be widely available, or will it be reserved for a privileged segment of society? The problem of growing social stratification confronts us again. We see the emergence of what the New York Times has labelled “the velvet rope economy,” in which goods and services are increasingly offered in distinct tranches, with a more expensive premium product reserved for the more affluent. “With disparities in wealth greater than at any time since the Gilded Age, the gap is widening between the highly affluent—who find themselves behind the velvet ropes of today’s economy—and everyone else,” writes reporter Nelson Schwartz, in an article entitled “In the Age of Privilege, Not Everyone Is in the Same Boat.” This gap, he continues, “represents a degree of economic and social stratification unseen in America since the days of Teddy Roosevelt, J. P. Morgan and the rigidly separated classes on the Titanic a century ago.”

Has the velvet rope economy created a velvet rope academy in which high quality education is allocated only sparingly to those willing and able to pay? We may be on that path. The stratified academy is precisely the outcome desired by those policymakers who suggest that anthropology, philosophy, or French literature are luxury goods available only to those who can pay a premium at public universities or enroll at well-resourced private institutions.

I trust you’ll agree that the humanities do not belong behind the velvet rope. The humanities are integral to a holistic education, to social and cultural progress. We see higher education as an enterprise of active learning, with students and faculty at every type of institution engaged with the growing and dynamic knowledge in all fields, including the humanities. We see the humanities, in the words of the 1964 Report of the Commission on the Humanities, “not merely as academic disciplines confined to schools and colleges, but as functioning components of society that affect the lives and well-being of all the population.”

How can ACLS, our member societies, and our larger community work toward achieving that vision? Our discussion last night [at the meeting session on “Extending the Reach of the Humanities PhD”] focused on one effort to extend the reach of the humanities so as to build a broad social and cultural presence. Funded by The Andrew W. Mellon Foundation, the Mellon/ACLS Public Fellows program is intended to demonstrate the wide applicability and keen salience of advanced education in the humanities and to thereby extend the circulation of humanistic knowledge, methods, values, and perspective. This year, it will name its 100th fellow, and we can hope that the cumulative example of these new public scholars will help transform how we think of the PhD career. This is one of several programs we have been developing to extend the reach of scholarship and to engage with new partners and broader publics.

Let me give you another example. Amidst the growing din of misstatements and misconceptions about the role of religion in international affairs, we were encouraged by the Henry Luce Foundation to consider how we might develop a program that fosters connections between scholars in the humanities and journalists who report on international affairs. Thus, with the aid of the Luce Foundation, we convened a series of conversations last year at ACLS with scholars, public policy experts, and journalists to suggest strategies for encouraging greater communication and collaboration among them as they pursue their important, and complementary, work of exploring the roles of religion in world affairs. As a result of those conversations, we launched this year the Luce/ACLS Program in Religion, Journalism & International Affairs. There are two components to this initiative. In the coming weeks we will announce our first set of university grantees, whom we will support as they develop new collaborations across the humanities, journalism and media, and international affairs on their campuses. Second, this fall ACLS will mount a fellowship competition for scholars engaged with the study of religion who wish to develop the capacities and interdisciplinary networks necessary to relate their specialist knowledge to media and policy audiences interested in world affairs. In addition to pursuing their own research, this cohort of fellows will participate in special public events hosted by our grantee universities.

The increasingly reckless rhetoric about religion and religious freedom of this year’s presidential campaign is just one reason that our work at ACLS must attend both to supporting the creation of new knowledge and to promoting the greater circulation of knowledge and understanding throughout society, within the academy,
Another new program is the latest iteration of a series of ACLS digital projects stretching back to the 1960s. I might note that this year marks the 10th anniversary of the publication of *Our Cultural Commonwealth*, the report of the ACLS Commission on Cyberinfrastructure in the Humanities and Social Sciences, whose recommendations served as the charter for the NEH’s Office of Digital Humanities, led by Brett Bobley.5

This year, with the support of the Mellon Foundation, we began to offer Digital Extension Grants. The overall aim of this program is to extend the opportunities for more humanities scholars to participate in digital transformation and to expand the definition of humanistic scholarly engagement in the digital realm. This program will shift the focus of ACLS awards in digital humanities scholarship to projects rather than to individual scholars, and to enhancing those projects rather than to initiating them. We hope that the funded projects will promote new inter-institutional collaborations, new forms and sites for training, new modes of engagement with a project, and new modes of making project content available.

These Digital Extension Grants will build upon the many successes of our recently-concluded Digital Innovation Fellowship (DIF) program. Over the course of the past decade, DIF has supported 60 scholars pursuing innovative, computationally sophisticated approaches to humanistic research. The scholarly products of the fellowships include annotation and text-mining tools that have been widely adopted by the academic community (including *Zotero* and *Open Context*); new approaches to publishing and peer review (such as the Black Press Born-Digital Project, which Kim Gallon F’15 of Purdue University will present at a later session); and big data projects that have refigured long-held understandings of literary genre, tonality, and historical periodization. Besides providing the means to generate new knowledge and model new methodologies, the program has also contributed to the validation of digital humanities scholarship in the American academy.

We are constantly reflecting on, analyzing, and adjusting our fellowship competitions to achieve the greatest possible effect. Our programs support scholars at a wide range of institutions, but there is no doubt that faculty from research universities and selective liberal arts colleges are particularly successful in our competitions. That may not be surprising, but we also realize that faculty from less well-off institutions, where heavy teaching responsibilities and other institutional circumstances discourage semester- or year-long research leaves, are benefitting from our fellowship opportunities less often, and we are thinking about additional programs that, we hope, will fit their requirements more closely. In our planning, we will continue to consult with scholars and leaders at every category of institution. This fall, together with the Teagle Foundation, we will be convening at ACLS presidents of community colleges and leaders of the Community College Humanities Association to learn from them about their research aspirations, expectations, and needs.
We know that many new PhDs from R-1 institutions will spend the bulk of their careers at teaching-intensive institutions. Support for faculty at less well-resourced colleges and universities helps assure that careers begun in research-intensive institutions can maintain contact with the scholarly enterprise in the longer term. Having had eight cohorts of Mellon/ACLS Dissertation Completion Fellows complete their degrees and look for academic employment, we are in a position to say something about the institutions at which these fellows land positions. Of the 244 fellows who received tenure-track faculty appointments in the US (and leaving out the 36 who landed such positions abroad), nearly 43 percent are at institutions that are not ranked as doctorate-granting universities with very high research activity. Over 100 of these recently minted PhDs are teaching at small liberal arts colleges, regional and comprehensive universities, and non-flagship campuses of state systems.

When we recall that humanities scholars working in comprehensive universities and community colleges teach the majority of college students in the United States, we realize that the support of faculty at teaching-intensive institutions has broad implications for the vitality of the humanities in our country. If the humanities are to thrive, they must have a broad base, one that allows for wide access to and participation in the creation of new knowledge through research.

Now in its eighth year of funding from the Carnegie Corporation of New York, our African Humanities Program (AHP) has had a transformative impact on the humanities in Africa. It has received 1,685 fellowship applications and awarded 84 dissertation and 224 post-doctoral fellowships. Sixty-five African universities have had at least one faculty member receive an AHP fellowship. Additionally, over eight competitions, 158 fellows took up residencies at African centers for advanced study with our support. During the last four years of the program, AHP awarded 49 travel grants to senior scholars and organized eight Manuscript Development Workshops—week-long retreats for intensive review and revision of fellows’ works in progress. Six books supported by AHP have been published (or are in production).

Who will advance the humanities in the next generation? We know that the demographics of the student population are changing. Can we diversify the faculty? We are particularly pleased to have been working with the Mellon Mays Undergraduate Fellowship Program (MMUF) since 2014 to help address this challenge, and, since it’s so important to us, I’d like to remind you of its goals and elements.

MMUF was founded in 1988 as the Mellon Minority Undergraduate Fellowship with the goal of redressing the underrepresentation of minorities in college and university faculties by encouraging minority undergraduates to pursue PhDs in the humanities and social sciences. The program prepares undergraduate fellows for graduate study by providing intensive academic research experience, access to faculty mentoring, and opportunities to prepare for graduate school and network with members of their cohort across institutions. Undergraduate fellows receive small stipends to allow them to devote more time to research; fellows who continue to graduate school are eligible to receive up to $10,000 of undergraduate loan repayment upon completion of the PhD.

Forty-nine colleges and universities plus a 39-member consortium of Historically Black Colleges and Universities represented by the United Negro College Fund participate in the program. The foundation provides block grants to member institutions, which use the funds for fellowship stipends and for convening fellows and faculty mentors for a variety of cohort-building events. As part of the program, fellows attend regional MMUF conferences, where they present their research, engage with that of other fellows, and thus make connections outside their own institution. Fellows also are encouraged to publish their research and scholarship in the MMUF Undergraduate Journal, which provides a window on the processes and expectations for academic publishing.7

We were honored when the Mellon Foundation asked ACLS to coordinate the reporting and proposal processes among participating institutions and to provide analyses of those reports to the MMUF staff. It’s been a new direction for ACLS, but working with this sterling program is providing a keener understanding of the formation of scholars in the baccalaureate years and the pressures facing minority undergraduates as they consider a future career in the professoriate.

Before concluding this report, I want to commend to you the work of the National Humanities Alliance under the leadership of Stephen Kidd. Steve and his colleagues have taken seriously the word “National” in their title and, without slackening in any way their...
advocacy for the humanities on Capitol Hill and within the executive branch, have been pursuing a major initiative to spotlight, celebrate, and cultivate local humanities communities across the country. With initial support from the Whiting Foundation, they have catalyzed a series of Humanities Working Groups, in which academic, cultural, and social service organizations in local areas around the country can work together to make the humanities a public resource. In one of the breakout sessions later in the meeting, Steve will be presenting a new toolkit that NHA has created to help learned societies with their advocacy efforts. One major milestone in all these efforts will be a National Humanities Conference, co-sponsored by NHA and the Federation of State Humanities Councils in Salt Lake City from November 10-13, 2016. I hope to see many of you there.

In conclusion, let me return to the connection J. Franklin Jameson drew between democracy and scholarship. Since our founding, ACLS has offered a model of democratic scholarship in setting the standards and direction of research through open, national competitions for fellowships and grants. As a federation of self-governing, inclusive scholarly societies, we are representative of and responsible to the ideals and dedication of humanities scholars and have earned the trust that allows us to direct attention to critical issues concerning the production and transmission of humanistic knowledge in society.

In 1919 the challenge facing the academic humanities was to secure a place in the research culture that had emerged only recently in US higher education. In 2016, with increased stratification and inequality within higher education, the challenge is how to assure that the values and cultural power of the humanities remain a common wealth available to many. We can, and we must, rise to that challenge. Thank you.

NOTES
6. A video of Professor Gallon’s presentation is available on the ACLS website; see www.acls.org/media.
7. Published annually as *The Mellon Mays Undergraduate Fellowship Journal: A collection of scholarly research by fellows of the Mellon Mays Undergraduate Fellowship Program*. 
On August 2, 1826, Senator Daniel Webster delivered in Boston’s Faneuil Hall a “Discourse in Commemoration of the Lives and Services of John Adams and Thomas Jefferson.” In a startling coincidence the two former presidents had died earlier that year on the same day, the Fourth of July, a date which marked the fiftieth anniversary of one of their great collaborative achievements, the signing of the Declaration of Independence here in Philadelphia. As was expected in the early nineteenth century, Webster delivered a substantial speech, recounting in some considerable detail the lives, beliefs, and actions of the two founders.

There is much to parse in this ornate oration, but two passages speak to our purposes in gathering together today. Webster opens his address by noting the dignity and solemnity of the assembly, appropriately including not just “the chief-magistrate of the commonwealth,” but also representatives of “the university, and the learned societies.” Before concluding with an invocation of “the common parent of us all . . . the Divine Benignity,” he specifies the qualities of a “new era . . . in human affairs” commenced by American independence. “This era is distinguished,” Webster proclaimed, “by free representative governments, by religious liberty, by a newly awakened and an unconquerable spirit of free inquiry, and by a diffusion of knowledge through the community, such has been altogether unknown and unheard of. America . . . is inseparably connected, fast bound up . . . with these great interests.” [emphasis added]1

One might ask how strong this inseparable connection is today. How fares the “unconquerable spirit of free inquiry” when research funding is reduced or in peril? How does the commitment to “the diffusion of knowledge through the community” jibe with the lately acquired conventional wisdom that “college isn’t for everyone”? A sequence of meetings I attended this spring highlights these concerns. This series began in late March when, as a member of the Academic Advisory Council of the Schwarzman Scholars program, I visited the almost completed building designed by Robert A.M. Stern on the campus of Tsinghua University in Beijing that will house the program’s students and instruction. The Schwarzman Scholars program has been called the “new Rhodes scholarship” and “the first scholarship created to respond to the geopolitical landscape of the 21st century.” It will bring together 200 students from the US, China, and throughout the world “in an environment that emphasizes interaction and collaboration,” so that students may “learn to cultivate broader perspectives, a key characteristic of successful leaders.”2

This is a vigorous public-private venture. Stephen Schwarzman has donated $100 million of his own money, which has been matched more than twice over by donors all over the world. The original $300 million goal has been more than met and has now been raised to $400 million, which will fully endow the program in perpetuity. It is the single largest internationally funded philanthropic effort in Chinese history.

Then, in early April, I attended a meeting of the Lincoln Project sponsored by the American Academy of Arts and Sciences. The project is named for Abraham Lincoln in recognition of his signing the Morrill Act of 1862, which offered federal funding to the states for the establishment and support of what became known as the public system of land-grant universities.

The Lincoln Project is less an effort to construct than to converse and to inform. It has “considered the implications of reduced state investment in public higher education; assessed the role of the federal government in funding our great public research universities; and developed recommendations for ensuring that public universities continue to serve the nation as engines of economic development and opportunity for Americans from all backgrounds.”3

Third, an invitation to speak at Hamilton College took me to upstate New York, just as the winter’s hefty deposit of snow was finally melting. Hamilton, founded in 1812, is a small, selective college with an admirably vigorous emphasis on liberal education and the humanities. Great energy was evident on Hamilton’s campus, and keen interest in the fellowship opportunities we offer. But one might also have imagined an undercurrent of anxiety, as the news of the closing of Sweet Briar College (since reversed) reverberated in the background.

One might be tempted to contrast the ambition of the new undertaking in Beijing with the uncertainties dogging two of the great building blocks of US higher education: the public university and the liberal arts college. Doing so would ignore the great irony that it is, of course, US...
models of higher education that inspire much of the university development across the world, and particularly in East Asia. The emulation of these models poses interesting challenges: just to take one example, those of us engaged in these international ventures must make clear that academic freedom is integral to effective education and can't be discarded as new curricula are imported. Nevertheless, I am sure that Daniel Webster would not be surprised that American higher education has become a global lodestar.

But even as we inspire abroad, what lights are guiding educational policy and practice here at home? Recently, Nicholas Kristof wrote in the New York Times of what he called the “education wars,” a phrase clearly intended to resonate to memories of the “culture wars” of unblessed memory. Kristof was concerned with the struggles over the organization and content of K-12 education, but forgive me if my first thought on reading the headline was the present national reshaping of higher education, for there is indeed a struggle over the future of the university.

As you listen closely, you can detect different strains in the calls for the transformation of higher education. There are, first, the disrupters, those who embrace Clayton Christensen’s paradigm of social change in which long-established enterprises and practices are disrupted by the application of new technologies. I’m sure you’re familiar with the current instances of this notion: Amazon.com destroys the business model of brick-and-mortar stores; Uber upends the taxi industry. One recent articulation of this view has come from Kevin Carey, the author of The End of College: Creating the Future of Learning and the University of Everywhere. Carey foresees an educational revolution in which “open badges” displace diplomas and online courses substitute for the physical campus, making higher education more accessible, practically and economically. He writes in detail about technological innovations, but some subjects he gives only a glance. The research function of higher education he dismisses, writing about the “hybrid university” rather than the “research university.” And what about the humanities?

The disruption trope has earned justifiable critique, from Jill Lepore in the New Yorker and from Jim Grossman in AHA’s Perspectives on History. But we should be careful not to conflate the disrupters, dripping with Schadenfreude, with those trying to deploy technological and organizational innovations so as to preserve the integration of discovery and education that is the research university. You probably know that Arizona State University is a leader in expanding its enrollment through online options. But it’s worth noting that its president, Michael Crow, also earned praise for his “commitment to the idea that research is a fundamental feature of the university, not one that can be dispensed with on the road to the mass delivery of education,” in a recent Chronicle of Higher Education piece by Chancellor Nick Dirks of the University of California, Berkeley.

Singing alongside the chorus of disrupters is another choir. These critics share the premise that the model of higher education is broken, but they see many things wrong beyond technological displacement. They see corruption, self-dealing, ideological straitjackets, and, most forcefully, a product that has been oversold. They do not want to expand educational opportunity, but to constrict it, often in the name of economy. “College isn’t for everyone,” says Forbes magazine. These voices don’t want to disrupt higher education as practiced today so much as to dilute it. We can’t afford the teacher-scholar in our state universities, said a proposal before the North Carolina state legislature; let’s just have teachers who offer eight courses a year; they will have to forsake scholarship. While the proposal has been tabled, I must note that one of its promoters suggests that its eventual resubmission will exempt faculty in STEM fields, requiring more teaching and less research only from those in the humanities and social sciences.

Where do we find a response to disruption and dilution? One reply is to echo Daniel Webster’s assertion that inquiry and the diffusion of knowledge—that is, a structure of higher education that includes research—are essential assets to democracy. This certainly was a theme 50 years ago as ACLS argued that the humanities should
have a place in federal efforts to provide for the common good. In my report to the Council last year, I noted in some detail the arguments of the Commission on the Humanities sponsored by ACLS, the Council of Graduate Schools, and the United Chapters of Phi Beta Kappa in the United States, but they might be repeated now.

One of the Commission’s formulations of the public purpose of the humanities was repeated in the legislation creating the NEH: “Democracy demands wisdom of the average man.” And further: “This Commission conceives of the humanities, not merely as academic disciplines confined to schools and colleges, but as functioning components of society which affect the lives and well-being of all the population.”

Fifty years later, I know that NEH Chairman William (“Bro”) Adams shares this concept, that combining research vitality with public engagement is the Endowment’s cause. I know also that he shares my belief that while anniversaries are valuable opportunities to appreciate past accomplishments, they are, more importantly, occasions to consider the future.

We are doing just that as ACLS prepares for our centennial four years hence, in 2019. At its meeting this past January, the ACLS Board of Directors considered the principles that may guide our work in the decades ahead. I’d like to share those ideas with you this morning and solicit your thoughts.

The board expressed this vision of ACLS’s role:

ACLS provides leadership to the academic humanities in bringing knowledge of human creativity and values to students and to society. That knowledge must be active and vital, renewed by continuous research and insistent questioning. And it must be democratic, for students of every circumstance and institution of higher learning deserve access to the humanities. This is especially important today as financial pressures and policy memes currently work in the opposite direction. In the twenty-first century, this grand project must be a global effort: global in terms of the knowledge it transmits, global in terms of the scholarly community it builds.

ACLS’s role is empowered by our very structure, the board noted. ACLS has many partners in the effort to bring forth and diffuse new knowledge, but our constitution as a federation of independent, broad-based scholarly associations gives us a particularly powerful means to mobilize scholarly energies and to transmit new ideas and methods.

The board identified several areas where ACLS has been effective in the past and might expand and deepen its work in the future: creating new knowledge, catalyzing and curating communities of knowledge, and experimenting with new forms of the diffusion of knowledge.

I’ll say more about these in a moment.

What is the “democratic” dimension of this vision? I would cite three principles. The first is the open and inclusive nature of most of our constituent societies. The motive for the creation of ACLS in 1919 was to represent the United States in the new International Union of Academies, but the choice to form a federation of scholarly associations was quite deliberate, and perhaps even more consequential. Lord Bryce, the former British ambassador to the United States, was seeking to determine what organization could be the US delegate. Should it be an exclusive academy that chose its own members? No, answered J. Franklin Jameson, Bryce’s historian friend. Exclusive honorary societies were too “aristocratic” to be in keeping with the nation’s democratic ethos. Better, he suggested, to form a federation of modern scholarly societies, such as the disciplinary societies created alongside the research university.

A second democratic principle is embodied in our fellowship programs, which foster the widest expression of the “unconquerable spirit of free inquiry.” While several of our programs focus on particular research areas, such as American art or Buddhist studies, most are open to proposals on any topic relating to the humanities, and none of our programs prescribe pre-conceived research goals. The aim is to allow for new approaches to bubble up so that it is the broad base of active scholars, applicants, and peer reviewers that sets the research agenda in the humanities.

The third democratic principle is to help make the vital knowledge of the humanities, knowledge renewed through research, broadly accessible. We strive to maintain the humanities as an essential element across higher education by supporting the research engagement of teacher-scholars in all types of institutions. This is a hard challenge, given the steepening of inequality within colleges and universities (e.g., more contingent faculty and proportionately fewer scholars on the tenure track) and across the institutional spectrum.
On this point, we have more work to do, for we realize that faculty from less well-resourced institutions, where heavy teaching responsibilities and other institutional circumstances discourage semester- or year-long research leaves, are only occasionally benefitting from our fellowship opportunities and that we might design new programs that fit their lives and conditions more appropriately.

The vision expressed by the board is meant for long-term guidance, but we can see it evident in several current developments and programs, so let me now move from looking to the future to give you a report on some aspects of ACLS’s work over the past year.

Our fellowships and grants give scholars the opportunity to create new knowledge through disciplined research and practiced writing. But ACLS awards convey more than just money. The rigorous peer-review process that determines our awards bestows the validation of the broader scholarly community just as it gives the community as a whole the ability to identify promising new lines of inquiry. The report by Matthew Goldfeder, director of ACLS’s fellowship programs, will have several numbers, but I will mention just one now: $18.4 million, the total amount of fellowship stipends and other grants awarded this year, the highest total in our history.

We are constantly recalibrating our programs. I am pleased to announce today that we will expand our very successful Frederick Burkhardt Fellowship Program for Recently Tenured Scholars by offering 10 new residential fellowships each year specifically for recently tenured liberal arts college faculty. While college faculty always have been and will continue to be eligible to apply to the traditional Burkhardt program, which allows for residence at several national and international research centers, this new opportunity will invite proposals from them for residence at university departments or centers.

The expansion of the Burkhardt program thus creates a new avenue for ambitious research from post-tenure liberal arts college faculty with an overlapping but broader rationale for residency in those cases. While the research of some college faculty may continue to be best served by the scholar’s residency at an interdisciplinary research center, other projects will benefit from the environs on a university campus, where a scholar will have the opportunity to work with graduate students, to develop relationships and collaborations with scholars and departments that they have determined are best suited to advance their research, and, overall, to broaden the institutional and disciplinary bonds that will help maintain the momentum of their work.

I am also pleased to announce that ACLS will make possible 10 additional postdoctoral fellowships next year in a pilot program. As you may recall, in 2009 during the Great Recession, we began working with The Andrew W. Mellon Foundation on the New Faculty Fellows program for newly minted PhDs who were confronting a jobless market. In so doing, we gained significant insight into both the evolving postdoctoral landscape in the humanities as well as some key elements of these types of opportunities that helped them serve as launching pads for scholars’ careers, as opposed to mere holding pens. We will be engaging in an effort over the next couple of years to contribute to better understandings of the postdoc space, how it works for individuals as well as for host institutions, and to develop a set of institutional partners with whom to collaborate in addressing issues related to the changing humanities workforce. In that partnership, we will help fund selected institutions to offer additional high-quality two-year post-docs that are genuine career-building positions.

ACLS launched the Mellon/ACLS Public Fellows program in late 2010, building upon our accustomed role of providing fellowships to support advanced research in the humanities. The program is designed to expand the reach of doctoral education in the humanities by placing recent PhDs in two-year positions at an array of nonprofit and government agencies. In other words, the Public Fellows program is not just about knowledge creation—though it certainly is about that as well—but also about knowledge circulating into new areas of society.

We’ve placed four cohorts of fellows: 8 in 2011, 13 in 2012, and an average of 20 fellows in 2013 and 2014. That makes a total of 60 fellows so far, with up to 22 fellows to be selected in the 2015 competition, which is nearing a close. As the program has grown over the years, so too has the diversity of institutional partnerships that facilitate the placement of our fellows. We work with a wide variety of host organizations in the government and nonprofit sector—over 70 so far. These include government agencies at the federal level, like the US Departments of State and Health and Human Services; at the state level, with the policy and evalua-
tion department of the North Carolina State Legislature; and at the municipal level as well, with fellows taking up a variety of roles in arts management and digital capacity building in New York, Los Angeles, and San Francisco. These are in addition to a wide variety of nonprofit public policy, cultural, advocacy, and social service organizations.

Our ever-expanding network of organizational partnerships is an important feature of the Public Fellows program at this stage in its life; by connecting with as many organizations as possible, we hope to signal the broad utility of the humanities PhD to wide non-academic audiences. John Paul Christy, director of ACLS public programs, is both vigorous and vigilant in pursuing every promising partnership.

Many of our fellows are adding further dimension to their “publicness” by taking on outward-facing initiatives at their host organizations. Several have been lead author on publications in the fields of investigative journalism, equal justice and economic policy, and international human rights work.

Public Fellows is a resource-intensive program—and we are grateful to The Andrew W. Mellon Foundation for its generous support of this initiative. As the program gains further traction among government and nonprofit organizations, we are requiring higher cost-sharing measures with each new roster of host organizations, which of course extends the opportunity to greater numbers.

Moreover, as the program is predicated on partnerships, we have been especially encouraged to see our learned society and university partners pursuing complementary approaches to our own efforts to support careers beyond the academy. The AHA’s career diversity initiative has set an impressive array of programming at its annual meeting, and in the past year, with the assistance of the Mellon Foundation, the society has partnered with four university graduate departments to test a variety of approaches to support non-academic careers within pre-doctoral training programs. Over the past months, the MLA has ramped up its Connected Academics program, which provides models and resources for graduate students and PhDs alike who wish to pursue fulfilling work outside of the classroom. As ACLS Vice President Steve Wheatley has noted, it would be a missed opportunity if efforts like these and those on university campuses were thought of only as a sort of depression-era WPA for PhDs, an ad hoc emergency employment program that is but a temporary expedient, to be dispensed with once normal conditions resume. This may be the “new normal.” If that is the case, let us consider an expanded positive vision, one that sees the widest possible role for historians, for all humanities scholars, in the knowledge society.

ACLS has helped develop and sustain communities of knowledge as learned societies and as scholarly networks crossing many fields of study. When we note that the second part of the constitutional mission of ACLS is “to strengthen and maintain relations among national societies” dedicated to “humanistic studies in all fields of the humanities and social sciences,” we might interpret that charge as a mandate to uphold a vision of scholarship that is both inclusive and dedicated to excellence. ACLS’s federative operations have taken many forms over our history, including incubating new scholarly communities that develop into learned societies. But the center of it has been the work of the Conference of Administrative Officers (CAO). The CAO meeting last fall discussed initiatives focused not just on societies per se, but on how ACLS societies can work collaboratively to analyze and even engage with the issues affecting the professional lives of their members, such as the efforts to assess learning outcomes or adjunctification.

But ACLS’s focus on building communities does not stop at our national borders. Working outside the United States, the African Humanities Program, led by Andrzej Tymowski, director of ACLS international programs, and so ably represented by Grace Musila on this morning’s fellows panel, is designed to catalyze community among humanities scholars in the five countries in which it operates: Nigeria, Ghana, Tanzania, Uganda, and South Africa.11 This year, the program made a special effort to mobilize its community’s insight and commitment into a Forum on the African Humanities, which presented recommendations to the African Higher Education Summit, a major convocation of global policymakers, entrepreneurs, academics, and international development partners for strategies to transform higher education in Africa.

The forum’s recommendations note that:

In today’s landscape of higher education and research in Africa . . . the humanities find themselves in a parlous state. Studies of humanistic subjects have been deprioritized, . . . delegated by policymakers, and even by some university officials, to the bottom of any list of national goals, if they appear at all.
This marginalization . . . must be remedied, because every knowledge-led development strategy must have a solid humanities core. To envision the future, we need to understand the lessons of the past. To act in the present, we must be sensitive to current cultural complexities.¹²

The measures the forum recommends for reinvigorating the humanities in Africa are sensible and straightforward: strengthening PhD programs, improving mentorship, and nurturing a culture of research and teaching. We can hope that the policymakers who gathered in Dakar will attend to them, for the forum makes a forceful case for the practical utility of the humanities. But equally compelling is the assertion of their ultimate value:

[T]he key contribution of the humanities goes beyond cultural education and training in analytic skills. Humanistic studies help ground national dialogue on urgent issues in enduring humane values. Technical and technological solutions today raise ethical questions that require public understanding and public debate. Humanities research and teaching illuminate the ethical principles that frame the discussion and provide examples of objectivity and fairness in dialogue.¹³

Our national (and international) systems of scholarly communication are both stressed and potentiated by economic and technological change. ACLS has participated in the changes coursing through the system through studies, publishing experiments, and support for digital innovation in scholarship. Next year will mark the tenth anniversary of the publication of Our Cultural Commonwealth, the report on cyberinfrastructure that, among other results, inspired the NEH to create its Office of Digital Humanities.¹² The report’s call for developing new mechanisms for the review and validation of digital work has been followed by disciplinary guidelines developed by some of our member societies, including the MLA and, in the last weeks, the AHA. For the past decade, ACLS itself has been recognizing promising forms of research through our Digital Innovation Fellowships supported by The Andrew W. Mellon Foundation. We are now engaged in preliminary discussions about a successor program that will focus on building communities of practice that may give new opportunities for scholarly engagement across the institutional spectrum of higher education.

Before concluding, let me mention one new collaboration we began last year. While ACLS has supported humanities research and scholarly careers since its inception, we are pleased to have made our first foray into the undergraduate domain this past fall through a new partnership with the Mellon Foundation’s Mellon Mays Undergraduate Fellowship Program (MMUF). MMUF, which recently celebrated its twenty-fifth anniversary, addresses the underrepresentation of minorities in college and university faculties by encouraging more students from underrepresented minority groups to pursue PhDs in the humanities and social sciences. The program has grown to include 46 member institutions, including three South African universities and a consortium of Historically Black Colleges and Universities within the membership of the United Negro College Fund. Through programs administered on each participating campus, undergraduate fellows receive academic and professional mentorship, financial support, assistance with the graduate school application process, and connections to a robust and growing network of “Mellons,” as MMUF fellows and alumni refer to themselves.

ACLS received a grant from the Mellon Foundation to coordinate the reporting and proposal process among MMUF member institutions and collaborate with the Foundation’s MMUF staff to analyze the program’s activities and results both program-wide and comparatively across its participating campuses. This partnership affords ACLS the opportunity to learn a great deal more about the formation of scholars in the undergraduate years, and especially about the pressures upon minority undergraduates. Indeed, in just the first year of our partnership, through the reports and relationships we have built with MMUF’s diverse member institutions, we have already gained a more robust understanding of the rewards and challenges of recruiting and supporting the next generation of the humanities professoriate.

Nor can the humanities thrive if they are not constantly renewed by new knowledge distilled by a vibrant research enterprise resting on a broad base of colleges and universities, learned societies, and an engaged public, and benefiting from Webster’s “ unconquering spirit of free inquiry.” Much has been accomplished since the establishment of NEH in 1965 and since the founding of ACLS in 1919. But there will always be more to do. Thank you in advance for your contributions to this effort.
NOTES


2. *Schwarzman Scholars*.


11. A video of Professor Musila’s presentation is available on the ACLS website; see www.acls.org/media.


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Information as of April 2017.
FINANCIAL STATEMENTS
AS OF JUNE 30, 2016 AND 2015, AND
AS OF JUNE 30, 2015 AND 2014
2016 INDEPENDENT AUDITORS’ REPORT

October 28, 2016

Board of Directors
American Council of Learned Societies
New York, New York

REPORT ON THE FINANCIAL STATEMENTS

We have audited the accompanying financial statements of the American Council of Learned Societies (the “Council”), which are comprised of the statements of financial position as of June 30, 2016 and 2015, the related statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements.

MANAGEMENT’S RESPONSIBILITY FOR THE FINANCIAL STATEMENTS

The Council’s management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

AUDITORS’ RESPONSIBILITY

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors’ judgments, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditors consider internal control relevant to the organization’s preparation and fair presentation of the financial statements, in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion
on the effectiveness of the organization’s internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

**OPINION**

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the American Council of Learned Societies as of June 30, 2016 and 2015, and the changes in its net assets and its cash flows for the years then ended, in accordance with accounting principles generally accepted in the United States of America.

EisnerAmper LLP

New York, New York
# Statements of Financial Position

<table>
<thead>
<tr>
<th>ASSETS</th>
<th>June 30, 2016</th>
<th>June 30, 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td>$5,476,478</td>
<td>$3,705,203</td>
</tr>
<tr>
<td>Grants receivable, net</td>
<td>13,740,935</td>
<td>1,331,308</td>
</tr>
<tr>
<td>Accounts receivable, net</td>
<td>307,402</td>
<td>600,427</td>
</tr>
<tr>
<td>Accrued interest and other assets</td>
<td>14,064</td>
<td>21,375</td>
</tr>
<tr>
<td>Endowment investments</td>
<td>105,979,093</td>
<td>111,762,716</td>
</tr>
<tr>
<td>Other investments</td>
<td>37,067,272</td>
<td>40,249,815</td>
</tr>
<tr>
<td>Property and equipment</td>
<td>2,776,522</td>
<td>2,868,999</td>
</tr>
<tr>
<td>Deferred debt-issuance costs, net</td>
<td>53,368</td>
<td>61,084</td>
</tr>
<tr>
<td><strong>Total Assets</strong></td>
<td><strong>$165,415,134</strong></td>
<td><strong>$160,600,927</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>LIABILITIES AND NET ASSETS</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Liabilities:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Accounts payable and accrued expenses</td>
<td>$812,611</td>
<td>$832,106</td>
</tr>
<tr>
<td>Accrued postretirement benefit cost</td>
<td>1,979,891</td>
<td>1,587,317</td>
</tr>
<tr>
<td>Fellowships payable</td>
<td>16,630,595</td>
<td>15,683,916</td>
</tr>
<tr>
<td>Deferred revenues</td>
<td>632,422</td>
<td>761,145</td>
</tr>
<tr>
<td>Bank loan payable</td>
<td>3,085,453</td>
<td>3,310,901</td>
</tr>
<tr>
<td><strong>Total liabilities</strong></td>
<td><strong>23,140,972</strong></td>
<td><strong>22,175,385</strong></td>
</tr>
</tbody>
</table>

Commitments and contingencies (Note K)

Net assets:

<table>
<thead>
<tr>
<th>Unrestricted:</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Board-designated endowment:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Central Fellowship Program</td>
<td>47,770,077</td>
<td>51,303,673</td>
</tr>
<tr>
<td>Program administration</td>
<td>20,165,385</td>
<td>19,672,915</td>
</tr>
<tr>
<td><strong>Total unrestricted</strong></td>
<td><strong>67,935,462</strong></td>
<td><strong>70,976,588</strong></td>
</tr>
<tr>
<td>Undesignated</td>
<td>2,829,891</td>
<td>2,930,083</td>
</tr>
<tr>
<td><strong>Total net assets</strong></td>
<td><strong>$165,415,134</strong></td>
<td><strong>$160,600,927</strong></td>
</tr>
</tbody>
</table>

See notes to financial statements.
## STATEMENTS OF ACTIVITIES

<table>
<thead>
<tr>
<th>American Council of Learned Societies</th>
<th>Year Ended June 30, 2016</th>
<th>Year Ended June 30, 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Unrestricted</strong></td>
<td><strong>Temporarily Restricted</strong></td>
<td><strong>Permanently Restricted</strong></td>
</tr>
<tr>
<td><strong>Support:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>U.S. government agencies</td>
<td>$ 91,820</td>
<td>$ 91,820</td>
</tr>
<tr>
<td>Foundations</td>
<td>27,598,550</td>
<td>27,598,550</td>
</tr>
<tr>
<td><strong>Contributions:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Individuals</td>
<td>$ 519,210</td>
<td>519,210</td>
</tr>
<tr>
<td>University consortium</td>
<td>1,700,000</td>
<td>1,700,000</td>
</tr>
<tr>
<td>Net assets released from program restrictions</td>
<td>19,654,022</td>
<td>(19,654,022)</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>21,873,232</td>
<td>8,036,348</td>
</tr>
<tr>
<td><strong>Revenue and investment income:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other investment income</td>
<td></td>
<td>30,102</td>
</tr>
<tr>
<td>Endowment investment income</td>
<td>(1,873,362)</td>
<td>(1,076,512)</td>
</tr>
<tr>
<td>Membership dues</td>
<td>1,230,345</td>
<td>1,230,345</td>
</tr>
<tr>
<td>Humanities E-Book subscriptions</td>
<td>900,620</td>
<td>900,620</td>
</tr>
<tr>
<td>Royalties</td>
<td>180,924</td>
<td>180,924</td>
</tr>
<tr>
<td>Placement fee income</td>
<td>110,000</td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td>12,922</td>
<td>12,922</td>
</tr>
<tr>
<td><strong>Total revenue and investment income</strong></td>
<td>561,449</td>
<td>(1,046,410)</td>
</tr>
<tr>
<td><strong>Total support, revenue, and investment income</strong></td>
<td>22,434,681</td>
<td>6,989,938</td>
</tr>
<tr>
<td><strong>Expenses:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fellowships and other direct program costs</td>
<td>17,976,008</td>
<td>19,142,925</td>
</tr>
<tr>
<td>Central Fellowship</td>
<td>3,683,655</td>
<td>3,654,394</td>
</tr>
<tr>
<td>Scholarly publications</td>
<td>1,192,123</td>
<td>1,205,261</td>
</tr>
<tr>
<td>General administration</td>
<td>2,229,991</td>
<td>1,712,746</td>
</tr>
<tr>
<td>Fund-raising</td>
<td>129,322</td>
<td>187,558</td>
</tr>
<tr>
<td><strong>Total expenses</strong></td>
<td>25,211,099</td>
<td>25,902,884</td>
</tr>
<tr>
<td><strong>Change in net assets before pension-related charges other than periodic costs</strong></td>
<td>(2,776,418)</td>
<td>6,989,938</td>
</tr>
<tr>
<td><strong>Pension-related charges other than periodic costs</strong></td>
<td>(364,900)</td>
<td></td>
</tr>
<tr>
<td><strong>Change in net assets</strong></td>
<td>(3,141,318)</td>
<td>6,989,938</td>
</tr>
<tr>
<td>Net assets, beginning of year</td>
<td>73,906,671</td>
<td>29,836,912</td>
</tr>
<tr>
<td><strong>Net assets, end of year</strong></td>
<td>$ 70,765,353</td>
<td>$ 36,826,850</td>
</tr>
</tbody>
</table>

See notes to financial statements.
### STATEMENTS OF FUNCTIONAL EXPENSES for the Year Ended June 30, 2016

<table>
<thead>
<tr>
<th>Description</th>
<th>Grant Programs</th>
<th>Central Fellowship</th>
<th>Scholarly Publications</th>
<th>General Administration</th>
<th>Fund-Raising</th>
<th>Totals</th>
<th>2016</th>
<th>2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>Central fellowships (endowed)</td>
<td>$ 14,492,898</td>
<td>$ 5,000</td>
<td></td>
<td></td>
<td></td>
<td>$ 17,976,008</td>
<td>$ 3,683,655</td>
<td>$ 1,192,123</td>
</tr>
<tr>
<td>Fellowships and grants</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$ 3,283,653</td>
<td>$ 3,256,396</td>
<td>$ 3,283,653</td>
</tr>
<tr>
<td>Salaries</td>
<td>1,688,035</td>
<td>233,776</td>
<td>157,344</td>
<td>$ 700,316</td>
<td>48,445</td>
<td>2,827,916</td>
<td>2,727,754</td>
<td>15,184,897</td>
</tr>
<tr>
<td>Retirement plan</td>
<td>107,813</td>
<td>23,378</td>
<td>15,734</td>
<td>63,028</td>
<td>4,845</td>
<td>214,798</td>
<td>202,753</td>
<td>202,753</td>
</tr>
<tr>
<td>Other employee benefits</td>
<td>383,717</td>
<td>42,898</td>
<td>25,298</td>
<td>88,633</td>
<td>8,890</td>
<td>549,436</td>
<td>601,246</td>
<td>601,246</td>
</tr>
<tr>
<td>Payroll taxes</td>
<td>80,039</td>
<td>17,884</td>
<td>12,037</td>
<td>42,019</td>
<td>3,706</td>
<td>155,685</td>
<td>145,843</td>
<td>145,843</td>
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<tr>
<td>Legal</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>5,000</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Auditing</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>57,616</td>
<td>57,616</td>
<td>62,061</td>
</tr>
<tr>
<td>Other professional fees</td>
<td>258,606</td>
<td>29,387</td>
<td>193,703</td>
<td>67,029</td>
<td>55,903</td>
<td>604,628</td>
<td>734,349</td>
<td></td>
</tr>
<tr>
<td>Advertising</td>
<td>10,262</td>
<td>4,725</td>
<td></td>
<td></td>
<td></td>
<td>14,987</td>
<td>4,382</td>
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<tr>
<td>Office expense</td>
<td>49,329</td>
<td>3,144</td>
<td>15,850</td>
<td>114,004</td>
<td>5,515</td>
<td>187,842</td>
<td>198,311</td>
<td></td>
</tr>
<tr>
<td>Information technology</td>
<td>195,362</td>
<td>7,908</td>
<td>32,011</td>
<td>211,479</td>
<td>584</td>
<td>447,344</td>
<td>456,851</td>
<td></td>
</tr>
<tr>
<td>Royalties</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>454,429</td>
<td>435,836</td>
<td></td>
</tr>
<tr>
<td>Occupancy</td>
<td>10,312</td>
<td>11,259</td>
<td></td>
<td>139,149</td>
<td>831</td>
<td>161,551</td>
<td>167,810</td>
<td></td>
</tr>
<tr>
<td>Travel</td>
<td>308,539</td>
<td>13,101</td>
<td>19,876</td>
<td>100,145</td>
<td>72</td>
<td>441,733</td>
<td>488,128</td>
<td></td>
</tr>
<tr>
<td>Conferences and meetings</td>
<td>194,607</td>
<td>13,319</td>
<td>36,881</td>
<td>224,494</td>
<td>150</td>
<td>469,451</td>
<td>500,086</td>
<td></td>
</tr>
<tr>
<td>Interest expense</td>
<td></td>
<td></td>
<td></td>
<td>100,124</td>
<td></td>
<td>100,124</td>
<td>106,754</td>
<td></td>
</tr>
<tr>
<td>Depreciation and amortization</td>
<td></td>
<td></td>
<td></td>
<td>224,989</td>
<td></td>
<td>224,989</td>
<td>214,403</td>
<td></td>
</tr>
<tr>
<td>Insurance</td>
<td></td>
<td></td>
<td></td>
<td>39,247</td>
<td></td>
<td>39,247</td>
<td>30,368</td>
<td></td>
</tr>
<tr>
<td>Printing, publishing and reports</td>
<td></td>
<td></td>
<td></td>
<td>152,871</td>
<td>35,644</td>
<td>188,515</td>
<td>308,494</td>
<td></td>
</tr>
<tr>
<td>Dues and subscriptions</td>
<td>8,785</td>
<td>3,948</td>
<td>3,665</td>
<td>62,619</td>
<td>291</td>
<td>80,930</td>
<td>76,803</td>
<td></td>
</tr>
<tr>
<td>Bad dept expense</td>
<td></td>
<td></td>
<td></td>
<td>5,331</td>
<td>193,390</td>
<td>198,721</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Miscellaneous</td>
<td>4,160</td>
<td></td>
<td></td>
<td>6,978</td>
<td>90</td>
<td>11,228</td>
<td>20,767</td>
<td></td>
</tr>
<tr>
<td>Overhead allocation</td>
<td>183,544</td>
<td>57,368</td>
<td>(240,912)</td>
<td></td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

$ 17,976,008  $ 3,683,655  $ 1,192,123  $ 2,229,991  $ 129,322  $ 25,211,099  $ 25,902,884

See notes to financial statements.
## STATEMENTS OF FUNCTIONAL EXPENSES
for the Year Ended June 30, 2015

<table>
<thead>
<tr>
<th>American Council of Learned Societies</th>
<th>Year Ended June 30, 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Grant Programs</td>
</tr>
<tr>
<td>Central fellowships (endowed)</td>
<td>$ 3,256,396</td>
</tr>
<tr>
<td>Fellowships and grants</td>
<td>$ 15,158,489</td>
</tr>
<tr>
<td>Salaries</td>
<td>$1,886,924</td>
</tr>
<tr>
<td>Retirement plan</td>
<td>$125,520</td>
</tr>
<tr>
<td>Other employee benefits</td>
<td>$424,488</td>
</tr>
<tr>
<td>Payroll taxes</td>
<td>$93,581</td>
</tr>
<tr>
<td>Legal</td>
<td>$5,000</td>
</tr>
<tr>
<td>Auditing</td>
<td>$62,061</td>
</tr>
<tr>
<td>Other professional fees</td>
<td>$284,903</td>
</tr>
<tr>
<td>Advertising</td>
<td>$2,267</td>
</tr>
<tr>
<td>Office expense</td>
<td>$65,886</td>
</tr>
<tr>
<td>Information technology</td>
<td>$199,488</td>
</tr>
<tr>
<td>Royalties</td>
<td></td>
</tr>
<tr>
<td>Occupancy</td>
<td>$12,799</td>
</tr>
<tr>
<td>Travel</td>
<td>$366,871</td>
</tr>
<tr>
<td>Conferences and meetings</td>
<td>$243,327</td>
</tr>
<tr>
<td>Interest expense</td>
<td></td>
</tr>
<tr>
<td>Depreciation and amortization</td>
<td></td>
</tr>
<tr>
<td>Insurance</td>
<td></td>
</tr>
<tr>
<td>Printing, publishing and reports</td>
<td>$16,501</td>
</tr>
<tr>
<td>Dues and subscriptions</td>
<td>$1,116</td>
</tr>
<tr>
<td>Miscellaneous</td>
<td>$8,134</td>
</tr>
<tr>
<td>Overhead allocation</td>
<td>$252,631</td>
</tr>
<tr>
<td></td>
<td>$ 19,142,925</td>
</tr>
</tbody>
</table>

See notes to financial statements.
## Statements of Cash Flows

<table>
<thead>
<tr>
<th>American Council of Learned Societies</th>
<th>Year Ended June 30, 2016</th>
<th>Year Ended June 30, 2015</th>
</tr>
</thead>
</table>

### Cash flows from operating activities:
- **Change in net assets**  
  $3,848,620
- **Adjustments to reconcile change in net assets to net cash used in operating activities:**
  - Depreciation and amortization  
    224,989
  - Bad debt expense  
    198,721
  - Net unrealized losses on fair value of investments  
    3,769,691
  - Net realized gains on sales of investments  
    (787,111)
  - Donated securities  
    (15,099)
  - Proceeds from sales of donated securities  
    15,099
  - Permanently restricted contributions  
    (125,000)
  - Changes in:
    - Accounts receivable  
      94,304  
      (311,704)
    - Grants receivable  
      (12,409,627)  
      1,403,248
    - Accrued interest and other assets  
      7,311  
      3,742
    - Accounts payable and accrued expenses  
      (19,495)  
      155,780
    - Accrued post-retirement benefit  
      392,574  
      (56,001)
    - Fellowships payable  
      946,679  
      2,816,934
    - Deferred revenues  
      (128,723)  
      40,420
  - **Net cash used in operating activities**  
    (3,862,067)

### Cash flows from investing activities:
- **Proceeds from sales of investments**  
  22,089,172
- **Purchases of investments**  
  (16,105,586)
- **Purchases of property and equipment**  
  (124,796)
  - **Net cash provided by investing activities**  
    5,858,790

### Cash flows from financing activities:
- **Permanently restricted contributions**  
  125,000
- **Bank loan repayments**  
  (225,448)
  - **Net cash used in financing activities**  
    (225,448)

### Change in cash
- **Change in cash**  
  1,771,275  
  (3,112,703)
- **Cash, beginning of year**  
  3,705,203  
  6,817,906
  - **Cash, end of year**  
    5,476,478
    3,705,203

### Supplemental disclosure of cash flow information:
- **Interest paid during the year**  
  $100,124  
  $106,754

See notes to financial statements.
NOTE A – ORGANIZATION AND SIGNIFICANT ACCOUNTING POLICIES

1. Organization:
   The American Council of Learned Societies (the "Council"), incorporated in Washington, D.C. in 1924, was established in 1919 and is located in New York City. The Council is a private, not-for-profit federation of national scholarly organizations, funded largely by grants from private foundations and universities and by federal grants (principally from the National Endowment for the Humanities and the U.S. Department of State). The purpose of the Council is the advancement of humanistic studies in all fields of learning and the maintenance and strengthening of relations among the national societies devoted to such studies.

   The Council is exempt from federal income taxes under Section 501(c)(3) of the U.S. Internal Revenue Code, and from state and local taxes under comparable laws.

2. Basis of accounting:
   The accompanying financial statements of the Council have been prepared using the accrual basis of accounting and conform to accounting principles generally accepted in the United States of America, as applicable to not-for-profit organizations.

3. Use of estimates:
   The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amount of assets, liabilities, support revenues and expenses, as well as the disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

4. Investments:
   Investments in equity securities with readily determinable fair values are reported at their fair values in the accompanying statements of financial position, with realized and unrealized gains and losses included in the accompanying statements of activities. The Council’s mutual funds are also reported at their fair values as determined by management with the assistance of the related investment manager or advisor. Certificates of deposits and cash held by investment advisors for investments are reported as investments in the accompanying statements of financial position.

   The Council has investments in certain not-readily-marketable securities, which are ownership interests in alternative investments, consisting of private-equity securities, limited partnerships and limited liability companies, for which readily determinable fair values do not exist. The fair value of the alternative investments has been estimated based on the respective net asset value ("NAV") per share (or its equivalent unit) as a practical expedient of fair value of each investment, as reported by the investment company fund manager.

   Because of the complex management structure and nature of the underlying investments and inherent uncertainty of the valuation of the alternative investments, the Council’s management and its various investment managers monitor their positions to reduce the risk of potential losses due to changes in fair values or the failure of counterparties to perform. Management believes the carrying amount of the investments in non-publicly traded securities is a reasonable estimate of fair value. Estimated fair values may differ significantly from the values that would have been used had a ready market for these securities existed. The Council’s investments in limited partnerships and limited liability companies are valued based on the valuation policies and procedures of the respective general partners or members. Each general partner or member performs oversight of the underlying positions, both on an investment level and from a risk perspective. Each general partner or member is also responsible for ensuring that the investment is valued according to the policies and procedures adopted by that partnership or limited liability company. The Council places reliance upon those procedures and it records those investments at fair value as determined by the respective general partners or members.
Investment transactions are recorded on a trade-date basis. Realized gains or losses on investments are determined by comparison of the average cost of acquisition to proceeds at the time of disposition. The earnings from dividends and interest are recognized when earned.

Investment expenses include the services of investment managers and custodians. The balances of investment management fees disclosed in Note B are those specific fees charged by the Council’s various investment managers in each fiscal year; however, they do not include those fees that are embedded in various other investment accounts and transactions.

Donated securities are recorded at their estimated fair values at the dates of donation. The Council’s policy is to sell donated securities immediately, and, accordingly, for purposes of the statement of cash flows, donated securities and the proceeds generated from their sale are included as operating activities.

5. Property and equipment:

Property and equipment are stated at their original costs at the date of acquisition, or, if contributed, at their estimated fair values at the dates of donation. The Council capitalizes items of property and equipment that have a cost of $5,000 or more and useful lives greater than one year. Depreciation is provided using the straight-line method over five to thirty years, the estimated useful lives of the related assets.

Management evaluates the recoverability of the investment in long-lived assets on an on-going basis and recognizes any impairment in the year of determination. Long-lived assets were tested for impairment as of June 30, 2016 and 2015, respectively, and in the opinion of management, there were no impairments. However, it is reasonably possible that relevant conditions could change in the near term and necessitate a change in management’s estimate of the recoverability of these assets.

6. Deferred debt-issuance costs:

During fiscal-year 2013, the Council obtained a bank loan and incurred $77,159 in debt-issuance costs in the process. Aggregate costs relating to the issuance of loans, included within the accompanying statements of financial position, are being amortized over the term of the debt, using the effective-interest method. Amortization of these deferred debt-issuance costs was $7,716 for both fiscal-years 2016 and 2015, respectively.

7. Accrued vacation:

Accrued vacation is included as a liability in the accompanying financial statements and represents the Council’s obligation for the potential cost of unused employee vacation time that would be payable in the event that all employees left the Council; the obligation is recalculated every year. At June 30, 2016 and 2015, the accrued vacation obligation was approximately $291,000 and $278,000, respectively, and was reported as part of accounts payable and accrued expenses in the accompanying statements of financial position.

8. Net assets:

(i) Unrestricted:

Unrestricted net assets represent those resources that are not subject to donor-imposed restrictions. Substantially all of the Council’s unrestricted net assets, exclusive of the amounts representing property and equipment, have been allocated, by a formal resolution of the Board of Directors, to board-designated endowment, the unrestricted earnings of which will be applied to the future support of its central fellowship program and administrative expenses. Annually, any amount up to, but not greater than, the excess of its unrestricted revenue over expenses, including unrealized gains or losses on its entire investment portfolio, may be so designated.

(ii) Temporarily restricted:

Temporarily restricted net assets represent those resources that are subject to the requirements of the District of Columbia’s Uniform Prudent Management of Institutional Funds Act (“UPMIFA”) and the use of which has been restricted by donors or state law to specific purposes and/or the passage of time. When a donor restriction expires, that is, when a stipulated time restriction ends,
a purpose restriction is accomplished or the funds are appropriated through an action of the Board of Directors, and temporarily restricted net assets are reclassified as unrestricted net assets and reported in the accompanying statements of activities as “net assets released from program restrictions.”

(iii) Permanently restricted:
Permanently restricted net assets represent those resources the principal of which is originally restricted into perpetuity by donors. The purposes for which the income and net capital appreciation arising from the underlying assets may be used depend on the wishes of those donors. Under the terms of UPMIFA, those earnings are classified as temporarily restricted in the accompanying statements of activities, pending appropriation by the Board of Directors.

9. Revenue recognition:

(i) Contributions:
Contributions made to the Council are recognized as revenue upon the receipt of cash or other assets, or of unconditional pledges. Contributions are recorded as either temporarily or permanently restricted if they are received with donor stipulations or time considerations as to their use. Conditional contributions are recorded when the conditions have been met, and, if received in advance, are recognized in the statements of financial position as funds received in advance. Contributions to be received over periods longer than a single year are discounted at an interest rate commensurate with the risk involved.

(ii) Restricted grant revenue:
Revenue received as grants from U.S. government agencies, foundations and corporations is initially recorded as temporarily restricted, if such grants are received with donor stipulations as to their use. Restrictions are generally met when program and administration expenses relating to the designated purpose of the particular contract, grant or award are incurred. As the restrictions are met, the support is reclassified as unrestricted.

(iii) Dues:
The Council receives dues from its members. Dues applicable to a current year are recognized as revenue in that year, while dues received for a future year’s membership are deferred and recognized on a pro-rata basis over the period of membership.

(iv) Subscriptions:
Payments for subscriptions are recognized as income over the period during which the subscriptions are fulfilled.

(v) Royalties:
The Council receives royalties from the use of its copyrights by third parties. Revenue from these royalties is recognized when payments are received in cash.

10. Functional allocation of expenses:
The cost of providing the various programs and supporting services have been summarized on a functional basis in the accompanying statements of activities and of functional expenses. Accordingly, certain costs have been allocated by management among program, management and general and fund-raising categories, using appropriate measurement methodologies.

11. Income tax uncertainties:
The Council is subject to the provisions of the Financial Accounting Standards Board’s (the “FASB”) Accounting Standards Codification (“ASC”) Topic 740, Income Taxes, as it relates to accounting and reporting for uncertainty in income taxes. Due to the Council’s general not-for-profit status, management believes ASC Topic 740 has not had, and is not anticipated to have, a material impact on the Council’s financial statements.
12. Recent accounting pronouncements:

In August 2016, the FASB issued Accounting Standards Update ("ASU") No. 2016-14, *Presentation of Financial Statements of Not-for-Profit Entities*. ASU 2016-14 will amend financial-statement presentations and disclosures, with the goal of assisting not-for-profit organizations in providing more relevant information about their resources (and the changes in those resources) to donors, grantors, creditors, and other users. ASU 2016-14 includes qualitative and quantitative requirements in the following areas: (i) net asset classifications, (ii) investment returns, (iii) expense categorizations, (iv) liquidity and availability of resources, and (v) the presentation of operating cash flows. The new standard is effective for fiscal years beginning after December 15, 2017.

In April 2015, the FASB issued ASU 2015-03, *Simplifying the Presentation of Debt Issuance Costs*, which requires that debt-issuance costs related to a recognized debt liability be presented in the statement of financial position as a direct deduction from the carrying amount of that debt liability, which is consistent with the current treatment of debt discounts. ASU 2015-03 is effective for fiscal years beginning after December 15, 2015.

The Council has chosen not to early-adopt either pronouncement.

13. Subsequent events:

The Council considers all of the accounting treatments, and the related disclosures in the current fiscal-year’s financial statements, that may be required as the result of all events or transactions that occur after June 30, 2016 through October 28, 2016, the date on which the financial statements were available to be issued.

**NOTE B – INVESTMENTS**

At each fiscal year-end, investments consisted of the following:

<table>
<thead>
<tr>
<th></th>
<th>June 30, 2016</th>
<th></th>
<th>June 30, 2015</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Fair Value</td>
<td>Cost</td>
<td>Fair Value</td>
<td>Cost</td>
</tr>
<tr>
<td>Money-market funds</td>
<td>$28,452,796</td>
<td>$28,452,796</td>
<td>$13,301,846</td>
<td>$13,301,846</td>
</tr>
<tr>
<td>Certificates of deposit</td>
<td></td>
<td>1,410,383</td>
<td>1,410,383</td>
<td></td>
</tr>
<tr>
<td>Equity securities</td>
<td>$18,663,486</td>
<td>13,519,093</td>
<td>21,306,689</td>
<td>15,338,338</td>
</tr>
<tr>
<td>Mutual funds: Fixed-income</td>
<td>2,291,594</td>
<td>3,620,238</td>
<td>2,567,952</td>
<td>3,620,238</td>
</tr>
<tr>
<td>Debt/equities</td>
<td>20,040,323</td>
<td>18,067,334</td>
<td>38,372,760</td>
<td>36,095,131</td>
</tr>
<tr>
<td>Alternative investments</td>
<td>73,598,166</td>
<td>48,531,265</td>
<td>75,052,901</td>
<td>47,621,265</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$143,046,365</td>
<td>$112,190,726</td>
<td>$152,012,531</td>
<td>$117,387,201</td>
</tr>
</tbody>
</table>

During each fiscal year, net investment (losses) earnings consisted of the following:

<table>
<thead>
<tr>
<th></th>
<th>Year Ended June 30, 2016</th>
<th>Year Ended June 30, 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>Interest and dividends</td>
<td>$557,369</td>
<td>$681,251</td>
</tr>
<tr>
<td>Net realized gains</td>
<td>787,111</td>
<td>3,914,008</td>
</tr>
<tr>
<td>Net unrealized losses</td>
<td>(3,769,691)</td>
<td>(3,236,199)</td>
</tr>
<tr>
<td>Less: investment expenses</td>
<td>(494,561)</td>
<td>(560,529)</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>($2,919,772)</td>
<td>$798,531</td>
</tr>
</tbody>
</table>
ASC Topic 820, *Fair Value Measurements and Disclosures*, establishes a three-level valuation hierarchy of fair-value measurements. These valuation techniques are based upon observable and unobservable inputs. Observable inputs reflect market data obtained from independent sources, while unobservable inputs reflect market assumptions. These two types of inputs create the following fair-value hierarchy:

**Level 1:** Valuations are based on observable inputs that reflect quoted market prices in active markets for identical investments, at the reporting date.

**Level 2:** Valuations are based on (i) quoted prices for similar investments, in active markets, or (ii) quoted prices for similar investments, in markets that are not active, or (iii) pricing inputs other than quoted prices that are directly or indirectly observable at the reporting date.

**Level 3:** Valuations are based on pricing inputs that are unobservable and include situations where (i) there is little, if any, market activity for the investments, or (ii) the investments cannot be independently valued.

In addition, ASC Topic 820 removes the requirement to categorize within the fair-value hierarchy all investments for which fair value is measured using NAV per share as a practical expedient. Accordingly, these investments are not categorized within the fair value hierarchy and certain related tables have been properly excluded from the notes to the accompanying financial statements.

Certain of the Council’s investments are valued using NAV as a practical expedient of fair value. The Council uses NAV to measure the fair values of the private equity securities, limited partnerships, and limited liability companies. The use of the practical expedient is applicable for investments which (i) do not have a readily determinable fair value and (ii) the financial statements of which were prepared by the respective investment managers, consistent with the measurement principles of an investment company or that have the attributes of an investment company.

The Council’s investments are subject to various risks, such as interest-rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of those securities could occur in the near term and that such changes could materially affect the amounts reported in the financial statements.

The available market data is monitored to assess the appropriate classification of financial instruments within the fair-value hierarchy. Changes in economic conditions or valuation techniques may require the transfer of financial instruments from one level to another. In such instances, the transfer is reported at the beginning of the reporting period. However, during fiscal-years 2016 and 2015, there were no transfers among the fair-value levels.
The following tables summarize the fair values of the Council’s financial assets at each fiscal year-end, in accordance with the ASC Topic 820 valuation levels:

### June 30, 2016

<table>
<thead>
<tr>
<th>Investments within the fair-value hierarchy</th>
<th>Level 1</th>
<th>Total</th>
<th>Investments valued at NAV</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Money-market funds</td>
<td>$28,452,796</td>
<td>$28,452,796</td>
<td>$28,452,796</td>
<td></td>
</tr>
<tr>
<td>Equity securities</td>
<td>$18,663,486</td>
<td>$18,663,486</td>
<td>$18,663,486</td>
<td></td>
</tr>
<tr>
<td>Mutual funds</td>
<td>$22,331,917</td>
<td>$22,331,917</td>
<td>$22,331,917</td>
<td></td>
</tr>
<tr>
<td>Alternative investments</td>
<td>$73,598,166</td>
<td>$73,598,166</td>
<td>$73,598,166</td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$69,448,199</td>
<td>$69,448,199</td>
<td>$73,598,166</td>
<td>$143,046,365</td>
</tr>
</tbody>
</table>

### June 30, 2015

<table>
<thead>
<tr>
<th>Investments within the fair-value hierarchy</th>
<th>Level 1</th>
<th>Level 2</th>
<th>Total</th>
<th>Investments valued at NAV</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Money-market funds</td>
<td>$13,301,846</td>
<td></td>
<td>$13,301,846</td>
<td></td>
<td>$13,301,846</td>
</tr>
<tr>
<td>Certificates of deposit</td>
<td>$1,410,383</td>
<td>$1,410,383</td>
<td>$1,410,383</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mutual funds</td>
<td>$40,940,712</td>
<td></td>
<td>$40,940,712</td>
<td></td>
<td>$40,940,712</td>
</tr>
<tr>
<td>Alternative investments</td>
<td>$75,052,901</td>
<td></td>
<td>$75,052,901</td>
<td></td>
<td>$75,052,901</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$75,549,247</td>
<td>$1,410,383</td>
<td>$76,959,630</td>
<td>$75,052,901</td>
<td>$152,012,531</td>
</tr>
</tbody>
</table>

The following table lists the funding commitment and redemption information for the Council’s alternative investments:

<table>
<thead>
<tr>
<th></th>
<th>Fair Value</th>
<th>Unfunded Commitments</th>
<th>Redemption Frequency</th>
<th>Redemption Notice Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Limited partnerships</td>
<td>$61,169,829</td>
<td>$32,500</td>
<td>Quarterly – Annually</td>
<td>Redemptions vary among the different investments from semi-annually and quarterly through termination of the investment vehicle.</td>
</tr>
<tr>
<td>Limited liability companies</td>
<td>$12,428,337</td>
<td>None</td>
<td>Quarterly – Annually</td>
<td>Redemptions vary among the different investments from semi-annually and quarterly through termination of the investment vehicle.</td>
</tr>
</tbody>
</table>
NOTE C – GRANTS AND ACCOUNTS RECEIVABLE

1. At each fiscal-year end, grants receivable were estimated to be due as follows:

<table>
<thead>
<tr>
<th></th>
<th>July 1, 2016</th>
<th>July 1, 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>One year or less</td>
<td>$8,037,224</td>
<td>$1,140,208</td>
</tr>
<tr>
<td>One to five years</td>
<td>5,686,020</td>
<td>191,100</td>
</tr>
<tr>
<td></td>
<td>13,723,244</td>
<td>1,331,308</td>
</tr>
</tbody>
</table>

Reduction of grants receivable due in excess of one year to present value at a rate of 4%

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>(482,822)</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>$13,240,422</td>
</tr>
</tbody>
</table>

Based on the Council’s prior experience with donors, management expects to collect the receivables in full and, accordingly, has not established an allowance for uncollectible grants.

2. At each year-end, other accounts receivable consisted of amounts due to the Council for exchange-type transactions. All amounts are due within one year. Based on the Council’s prior experience, an amount of approximately $199,000 has been reserved for doubtful collections.

NOTE D – PROPERTY AND EQUIPMENT

At each fiscal-year end, property and equipment consisted of the following:

<table>
<thead>
<tr>
<th></th>
<th>July 1, 2016</th>
<th>July 1, 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>Building and improvements</td>
<td>$4,771,936</td>
<td>$4,716,861</td>
</tr>
<tr>
<td>Equipment</td>
<td>1,177,262</td>
<td>1,107,541</td>
</tr>
<tr>
<td>Furniture and fixtures</td>
<td>259,481</td>
<td>259,481</td>
</tr>
<tr>
<td></td>
<td>6,208,679</td>
<td>6,083,883</td>
</tr>
<tr>
<td>Less: accumulated depreciation</td>
<td>(3,432,157)</td>
<td>(3,214,884)</td>
</tr>
<tr>
<td></td>
<td>$2,776,522</td>
<td>$2,868,999</td>
</tr>
</tbody>
</table>

Depreciation expense was $217,273 and $206,687 for fiscal-years 2016 and 2015, respectively.
NOTE E – FELLOWSHIPS PAYABLE

Fellowships and stipends are awarded to institutions and individuals for the advancement of humanistic studies in all fields of learning. It is the Council’s policy, in conjunction with grant agreements, to allow recipients to choose when payments of awards are to be received. Fellowships and stipends are usually paid over a period of one to nine years.

The Council records the expense and commitment of these fellowships and stipends when the awards are approved by the Council and accepted by the recipient. Fellowships and stipends are estimated to be paid as follows:

<table>
<thead>
<tr>
<th>Year Ending June 30</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>2017</td>
<td>$11,659,996</td>
</tr>
<tr>
<td>2018</td>
<td>2,895,625</td>
</tr>
<tr>
<td>2019</td>
<td>2,074,974</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$16,630,595</strong></td>
</tr>
</tbody>
</table>

During fiscal-years 2016 and 2015, the Council awarded fellowships and stipends of $17,781,551 and $18,414,885, respectively.

NOTE F – BANK LOAN PAYABLE

During fiscal-year 2013, the Council obtained a bank loan of $3,745,000, which matures on May 23, 2023. The loan is subject to certain covenants and bears interest at an initial rate of 3.07%. The interest rate will increase by 0.2% for every $10,000,000 increment below $40,000,000 that the Council holds in expendable cash and investments at the anniversary of the date of the loan. As of June 30, 2016 and 2015, the Council was in compliance with all covenants.

Future minimum principal payments due each year under the loan are as follows:

<table>
<thead>
<tr>
<th>Year Ending June 30</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>2017</td>
<td>$232,542</td>
</tr>
<tr>
<td>2018</td>
<td>243,593</td>
</tr>
<tr>
<td>2019</td>
<td>250,079</td>
</tr>
<tr>
<td>2020</td>
<td>260,352</td>
</tr>
<tr>
<td>2021</td>
<td>266,229</td>
</tr>
<tr>
<td>Thereafter</td>
<td>1,832,658</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$3,085,453</strong></td>
</tr>
</tbody>
</table>
NOTE G – TEMPORARILY RESTRICTED NET ASSETS

During each fiscal year, the changes in temporarily restricted net assets (including allocation of gains and losses) were as follows:

<table>
<thead>
<tr>
<th></th>
<th>Balance</th>
<th>Program Support</th>
<th>Release of Restrictions</th>
<th>Balance</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>July 1, 2015</td>
<td></td>
<td>July 1, 2016</td>
<td>July 1, 2015</td>
</tr>
<tr>
<td>Fellowship programs</td>
<td>$15,520,250</td>
<td>$23,623,784</td>
<td>($12,291,341)</td>
<td>$26,852,693</td>
</tr>
<tr>
<td>Vietnam Program/CEEVN</td>
<td>2,134,466</td>
<td>57,492</td>
<td>(557,560)</td>
<td>1,634,398</td>
</tr>
<tr>
<td>Special Program</td>
<td>2,239,341</td>
<td>887,638</td>
<td>(1,326,142)</td>
<td>1,800,837</td>
</tr>
<tr>
<td>International programs</td>
<td>3,838,686</td>
<td>3,151,558</td>
<td>(3,812,996)</td>
<td>3,177,248</td>
</tr>
<tr>
<td>Accumulated endowment income reserved for appropriation</td>
<td>6,104,169</td>
<td>(1,076,512)</td>
<td>(1,665,983)</td>
<td>3,361,674</td>
</tr>
<tr>
<td></td>
<td><strong>29,836,912</strong></td>
<td><strong>26,643,960</strong></td>
<td><strong>(19,654,022)</strong></td>
<td><strong>36,826,850</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>Balance</th>
<th>Program Support</th>
<th>Release of Restrictions</th>
<th>Balance</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>July 1, 2014</td>
<td></td>
<td>July 1, 2015</td>
<td>July 1, 2014</td>
</tr>
<tr>
<td>Fellowship programs</td>
<td>$19,888,880</td>
<td>$9,164,164</td>
<td>($13,532,794)</td>
<td>$15,520,250</td>
</tr>
<tr>
<td>Vietnam Program/CEEVN</td>
<td>2,653,845</td>
<td>71,744</td>
<td>(591,123)</td>
<td>2,134,466</td>
</tr>
<tr>
<td>Special Program</td>
<td>2,100,153</td>
<td>1,411,372</td>
<td>(1,272,184)</td>
<td>2,239,341</td>
</tr>
<tr>
<td>International programs</td>
<td>5,130,505</td>
<td>2,399,464</td>
<td>(3,691,283)</td>
<td>3,838,686</td>
</tr>
<tr>
<td>Electronic publishing</td>
<td>53,246</td>
<td>(53,246)</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Accumulated endowment income reserved for appropriation</td>
<td>7,392,334</td>
<td>284,590</td>
<td>(1,572,755)</td>
<td>6,104,169</td>
</tr>
<tr>
<td></td>
<td><strong>37,218,963</strong></td>
<td><strong>13,331,334</strong></td>
<td><strong>(20,713,385)</strong></td>
<td><strong>29,836,912</strong></td>
</tr>
</tbody>
</table>

NOTE H – ACCOUNTING AND REPORTING FOR ENDOWMENTS

1. The endowment:

   The Council’s endowment was established based on its mission and consists of both donor-restricted endowment funds and funds designated by the Board of Directors to function as endowment. As required by generally accepted accounting principles, net assets associated with endowment funds, including board designated funds, are classified and reported based on the existence or absence of donor-imposed restrictions.

2. Interpretation of relevant law:

   The Board of Directors has interpreted the District of Columbia’s UPMIFA as requiring the preservation of the fair value of the original gift as of the date of the donor-restricted endowment funds, absent explicit donor stipulations to the contrary. As a result of this interpretation, the Council classifies as permanently restricted net assets (i) the original value of gifts donated to the permanent endowment, (ii) the original value of subsequent gifts to the permanent endowment, and (iii) accumulations to the permanent endowment (made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund). The remaining portion of the donor-restricted endowment fund that is not classified in permanently restricted net assets is classified in temporarily restricted net assets until those amounts are appropriated for expenditure by the Council, in a manner consistent with the standard of prudence prescribed by UPMIFA.
In accordance with UPMIFA, the Council considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

- the duration and preservation of the fund;
- the purpose of the organization and the donor-restricted endowment fund;
- general economic conditions and the possible effect of inflation and deflation;
- the expected total return from income and the appreciation in value of the investments; and
- the investment policies of the Council.

3. Endowment net-asset composition by type of fund:

<table>
<thead>
<tr>
<th>Fund Type</th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Board-designated endowment funds</td>
<td>$ 67,935,460</td>
<td></td>
<td></td>
<td>$ 67,935,460</td>
</tr>
<tr>
<td>Donor-restricted endowment funds</td>
<td>$ 3,361,674</td>
<td>$ 34,681,959</td>
<td></td>
<td>38,043,633</td>
</tr>
<tr>
<td>Total endowment funds</td>
<td>$ 67,935,460</td>
<td>$ 3,361,674</td>
<td>$ 34,681,959</td>
<td>105,979,093</td>
</tr>
</tbody>
</table>

Temporarily restricted endowment represents that portion of allocated investment income derived from permanently restricted endowment assets that has not been appropriated by the Board of Directors for expenditure.

Net assets were permanently restricted to support the following at each fiscal year-end:

<table>
<thead>
<tr>
<th>Program</th>
<th>June 30, 2016</th>
<th>June 30, 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>Central Fellowship Program:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mellon Foundation</td>
<td>$ 12,300,000</td>
<td>$ 12,300,000</td>
</tr>
<tr>
<td>Ford Foundation</td>
<td>7,068,400</td>
<td>7,068,400</td>
</tr>
<tr>
<td>National Endowment for the Humanities</td>
<td>3,250,000</td>
<td>3,250,000</td>
</tr>
<tr>
<td>Rockefeller Foundation</td>
<td>1,000,000</td>
<td>1,000,000</td>
</tr>
<tr>
<td>William &amp; Flora Hewlett Foundation</td>
<td>500,000</td>
<td>500,000</td>
</tr>
<tr>
<td>Carnegie Corporation</td>
<td>100,000</td>
<td>100,000</td>
</tr>
<tr>
<td>Carl and Lily Pforzheimer Foundation</td>
<td>180,000</td>
<td>180,000</td>
</tr>
<tr>
<td>Other</td>
<td>2,395</td>
<td>2,395</td>
</tr>
<tr>
<td></td>
<td>$ 24,400,795</td>
<td>24,400,795</td>
</tr>
</tbody>
</table>

| Program Administration:          |               |               |
| Mellon Foundation                | 10,000,000    | 10,000,000    |

| Other                             |               |               |
| Lumiansky Fund                    | 281,164       | 281,164       |
|                                  | $ 34,681,959  | 34,681,959    |
4. Changes in endowment net assets:

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net assets, beginning of year</td>
<td>$70,976,588</td>
<td>$6,104,169</td>
<td>$34,681,959</td>
<td>$111,762,716</td>
</tr>
<tr>
<td>Contributions</td>
<td>1,731,401</td>
<td></td>
<td></td>
<td>1,731,401</td>
</tr>
<tr>
<td>Investment return</td>
<td>(1,873,362)</td>
<td>(1,076,512)</td>
<td>(2,949,874)</td>
<td></td>
</tr>
<tr>
<td>Funds appropriated for expenditure</td>
<td>(2,899,167)</td>
<td>(1,665,983)</td>
<td></td>
<td>(4,565,150)</td>
</tr>
<tr>
<td>Net assets, end of year</td>
<td>$67,935,460</td>
<td>$3,361,674</td>
<td>$34,681,959</td>
<td>$105,979,093</td>
</tr>
</tbody>
</table>

Year Ended June 30, 2015

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net assets, beginning of year</td>
<td>$70,943,717</td>
<td>$7,392,334</td>
<td>$34,556,959</td>
<td>$112,893,010</td>
</tr>
<tr>
<td>Contributions</td>
<td>2,211,389</td>
<td></td>
<td></td>
<td>2,336,389</td>
</tr>
<tr>
<td>Investment return</td>
<td>481,290</td>
<td>125,000</td>
<td></td>
<td>2,363,389</td>
</tr>
<tr>
<td>Funds appropriated for expenditure</td>
<td>(2,659,808)</td>
<td>(1,572,755)</td>
<td></td>
<td>(4,232,563)</td>
</tr>
<tr>
<td>Net assets, end of year</td>
<td>$70,976,588</td>
<td>$6,104,169</td>
<td>$34,681,959</td>
<td>$111,762,716</td>
</tr>
</tbody>
</table>

5. Funds with deficiencies:

Due to unfavorable market fluctuations, from time to time, the fair value of assets associated with individual donor-restricted endowment funds may decline below the historical dollar value of the donor’s original, permanently restricted contribution. Under the terms of UPMIFA, the Council has no responsibility to restore such decrease in value. There were no such deficiencies in fiscal-years 2016 or 2015.

6. Return objectives and risk parameters:

The Board of Directors evaluates its long-term asset allocation in meeting its fiduciary responsibilities for funding programs, protecting its endowment resources, and supporting future spending requirements. Accordingly, the Board has adopted investment policies for its endowment assets that seek to maintain their purchasing power.

7. Strategies employed for achieving objectives:

To satisfy its long-term, rate-of-return objectives, the Council relies on a total-return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). The Council targets diversified assets, within prudent risk constraints.

8. Spending policy and relation to the spending policy:

The Council has a policy of appropriating for distribution each year an average of 5% of its endowment fund’s average fair value over the prior 12 quarters through the fiscal year-end proceeding the fiscal year in which the distribution is planned. This is consistent with the Council’s objective to maintain the purchasing power of the endowment assets held in perpetuity or for a specified term, as well as to provide additional real growth through new gifts and investment return.
NOTE I – EMPLOYEE-BENEFIT PLAN

1. Defined-contribution 403(b) retirement plan:
   For its eligible employees, the Council provides retirement benefits under a defined-contribution, Section 403(b) employee-benefit plan, the assets of which are maintained through the Teachers Insurance and Annuity Association of America–College Retirement Equities Fund. The Council contributes a minimum of 5% of each eligible employee’s salary, as well as matches employee contributions up to a maximum of 5% of each eligible employee’s salary. Contributions for fiscal-years 2016 and 2015 were $214,798 and $202,753, respectively.

2. Postretirement medical benefit plan:
   The Council sponsors an unfunded, noncontributory, defined-benefit postretirement medical plan that covers employees hired prior to February 1, 1995.

   The following sets forth the plan’s funded status, reconciled with amounts reported in the Council’s financial statements at each-year end:

<table>
<thead>
<tr>
<th></th>
<th>June 30, 2016</th>
<th>June 30, 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>Actuarial present value of benefit obligations:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Expected benefit obligation</td>
<td>$(1,979,891)</td>
<td>$(1,619,268)</td>
</tr>
<tr>
<td>Accumulated postretirement benefit obligation</td>
<td>$(1,979,891)</td>
<td>$(1,587,317)</td>
</tr>
<tr>
<td>Plan assets</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Funded status (excess of obligation over assets)</td>
<td>$(1,979,891)</td>
<td>$(1,587,317)</td>
</tr>
</tbody>
</table>

   Net periodic postretirement medical benefit costs included the following components:

<table>
<thead>
<tr>
<th>Component</th>
<th>2016</th>
<th>2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>Service cost</td>
<td>$11,557</td>
<td>$9,962</td>
</tr>
<tr>
<td>Interest cost</td>
<td>67,731</td>
<td>63,392</td>
</tr>
<tr>
<td>Transition obligation amortization</td>
<td>6,291</td>
<td>25,142</td>
</tr>
<tr>
<td>Net loss amortization</td>
<td>56,043</td>
<td>49,739</td>
</tr>
<tr>
<td>Net periodic postretirement benefit cost</td>
<td>$141,622</td>
<td>$148,235</td>
</tr>
</tbody>
</table>

   Adjustments to net assets, reported in the statement of activities:

<table>
<thead>
<tr>
<th>Component</th>
<th>2016</th>
<th>2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net actuarial (gains) loss</td>
<td>$(427,234)</td>
<td>$61,994</td>
</tr>
<tr>
<td>Unrecognized transition obligation</td>
<td>62,334</td>
<td>74,881</td>
</tr>
<tr>
<td>Funded status (excess of obligation over assets)</td>
<td>$(364,900)</td>
<td>$136,875</td>
</tr>
</tbody>
</table>

   Weighted-average assumptions:

<table>
<thead>
<tr>
<th>Component</th>
<th>Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Discount</td>
<td>3.50%</td>
</tr>
<tr>
<td>Medical cost-trend rate</td>
<td>6.50%</td>
</tr>
</tbody>
</table>

   A one percentage-point increase in the assumed health-care cost-trend rates for each fiscal year would have resulted in an increase in the accumulated postretirement benefit obligation of $252,039 as of June 30, 2016, and an increase in the aggregate service and interest cost components of net periodic postretirement benefit cost of $9,515 as of June 30, 2016.

   Employer contributions and benefits paid were $113,948 and $67,361 for fiscal-years 2016 and 2015, respectively. The estimated amount of the Council’s contributions for fiscal-year 2017 is $101,890.
The following table illustrates the benefit distributions that are expected to be paid over the next ten fiscal years:

<table>
<thead>
<tr>
<th>Year Ended June 30,</th>
<th>Expected Benefit Distributions</th>
</tr>
</thead>
<tbody>
<tr>
<td>2017</td>
<td>$ 101,890</td>
</tr>
<tr>
<td>2018</td>
<td>89,648</td>
</tr>
<tr>
<td>2019</td>
<td>109,955</td>
</tr>
<tr>
<td>2020</td>
<td>111,569</td>
</tr>
<tr>
<td>2021</td>
<td>82,145</td>
</tr>
<tr>
<td>2022–2026</td>
<td>509,082</td>
</tr>
</tbody>
</table>

**NOTE J – CONCENTRATION OF CREDIT RISK**

Financial instruments that potentially subject the Council to concentrations of credit risk consist principally of cash and cash-equivalent accounts deposited in high-credit-quality financial institutions, the balances of which, from time to time, may exceed federal insurance limits. However, management believes that the Council does not face a significant risk of loss on these accounts due to the failure of these institutions.

**NOTE K – COMMITMENTS AND CONTINGENCIES**

1. **Government-funded activities:**
   U.S. government grants are subject to audit in the future by governmental authorities. Accordingly, the Council could be required to fund any disallowed costs for its own federally supported programs, as well as those of the Council for the International Exchange of Scholars that were incurred during the period of the Council’s stewardship. In management’s opinion, any such audits would not result in disallowed costs in amounts that would be significant to the Council’s operations.

2. **Employment agreement:**
   The Council has an employment agreement with its President from July 1, 2013 through June 30, 2019. Under the terms of the agreement, the President is to receive compensation as President Emeritus participating in the Council’s activities for the period extending from July 1, 2019 through June 30, 2020.

2. **Other contracts:**
   In the normal course of business, the Council enters into various contracts for professional and other services, which are typically renewable on a year-to-year basis.

**NOTE L – CONCENTRATION OF REVENUE**

During fiscal-year 2016, approximately 79% of the Council’s total support was provided by one donor. During fiscal-year 2015, approximately 77% of the Council’s total support was provided by two donors.
October 23, 2015

Board of Directors
American Council of Learned Societies
New York, New York

REPORT ON THE FINANCIAL STATEMENTS
We have audited the accompanying financial statements of the American Council of Learned Societies (the “Council”), which are comprised of the statements of financial position as of June 30, 2015 and 2014, the related statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements.

MANAGEMENT’S RESPONSIBILITY FOR THE FINANCIAL STATEMENTS
The Council’s management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

AUDITORS’ RESPONSIBILITY
Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors’ judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditors consider internal control relevant to the organization’s preparation and fair presentation of the financial statements, in order to design audit procedures that are appropriate in the circumstances, but not for the purpose
of expressing an opinion on the effectiveness of the organization's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

**OPINION**

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the American Council of Learned Societies as of June 30, 2015 and 2014, and the changes in its net assets and its cash flows for the years then ended, in accordance with accounting principles generally accepted in the United States of America.

_EisnerAmper LLP_

New York, New York
### STATEMENTS OF FINANCIAL POSITION

**American Council of Learned Societies**  
June 30, 2015       June 30, 2014

<table>
<thead>
<tr>
<th>ASSETS</th>
<th>2015</th>
<th>2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td>$3,705,203</td>
<td>$6,817,906</td>
</tr>
<tr>
<td>Grants receivable</td>
<td>1,331,308</td>
<td>2,734,556</td>
</tr>
<tr>
<td>Accounts receivable</td>
<td>600,427</td>
<td>419,174</td>
</tr>
<tr>
<td>Accrued interest and other assets</td>
<td>21,375</td>
<td>25,117</td>
</tr>
<tr>
<td>Endowment investments</td>
<td>111,762,716</td>
<td>112,893,010</td>
</tr>
<tr>
<td>Other investments</td>
<td>40,249,815</td>
<td>39,266,585</td>
</tr>
<tr>
<td>Property and equipment</td>
<td>2,868,999</td>
<td>2,982,227</td>
</tr>
<tr>
<td>Deferred debt issuance costs, net</td>
<td>61,084</td>
<td>68,800</td>
</tr>
<tr>
<td></td>
<td><strong>$160,600,927</strong></td>
<td><strong>$165,207,375</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>LIABILITIES AND NET ASSETS</th>
<th>2015</th>
<th>2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>Liabilities:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Accounts payable and accrued expenses</td>
<td>$832,106</td>
<td>$676,326</td>
</tr>
<tr>
<td>Accrued postretirement benefit cost</td>
<td>1,587,317</td>
<td>1,643,318</td>
</tr>
<tr>
<td>Fellowships payable</td>
<td>15,683,916</td>
<td>12,866,982</td>
</tr>
<tr>
<td>Deferred revenues</td>
<td>761,145</td>
<td>720,725</td>
</tr>
<tr>
<td>Bank loan payable</td>
<td>3,310,901</td>
<td>3,529,358</td>
</tr>
<tr>
<td>Total liabilities</td>
<td><strong>22,175,385</strong></td>
<td><strong>19,436,709</strong></td>
</tr>
</tbody>
</table>

Commitments and contingencies (Note K)

Net assets:

Net assets:

<table>
<thead>
<tr>
<th>Unrestricted</th>
<th>2015</th>
<th>2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>Board-designated endowment:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Central Fellowship Program</td>
<td>51,303,673</td>
<td>52,929,000</td>
</tr>
<tr>
<td>Program administration</td>
<td>19,672,915</td>
<td>18,014,717</td>
</tr>
<tr>
<td></td>
<td><strong>70,976,588</strong></td>
<td><strong>70,943,717</strong></td>
</tr>
<tr>
<td>Undesignated</td>
<td>2,930,083</td>
<td>3,051,027</td>
</tr>
<tr>
<td>Total unrestricted</td>
<td><strong>73,906,671</strong></td>
<td><strong>73,994,744</strong></td>
</tr>
</tbody>
</table>

Temporarily restricted

<table>
<thead>
<tr>
<th>Temporarily restricted</th>
<th>2015</th>
<th>2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>Central Fellowship permanently restricted endowment</td>
<td>29,836,912</td>
<td>37,218,963</td>
</tr>
<tr>
<td>Program administration permanently restricted endowment</td>
<td>24,400,795</td>
<td>24,275,795</td>
</tr>
<tr>
<td></td>
<td><strong>10,281,164</strong></td>
<td><strong>10,281,164</strong></td>
</tr>
<tr>
<td>Total net assets</td>
<td><strong>138,425,542</strong></td>
<td><strong>145,770,666</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>2015</th>
<th>2014</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td><strong>$160,600,927</strong></td>
<td><strong>$165,207,375</strong></td>
</tr>
</tbody>
</table>

*See notes to financial statements.*
### STATEMENTS OF ACTIVITIES

**American Council of Learned Societies**  
*Year Ended June 30, 2015*  
*Year Ended June 30, 2014*

<table>
<thead>
<tr>
<th>Support:</th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>U.S. government agencies</td>
<td>$ 82,508</td>
<td>$ 125,000</td>
<td>$ 207,508</td>
<td>$ 319,985</td>
<td>$ 375,000</td>
<td>$ 694,985</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Foundations</td>
<td>$ 6,500</td>
<td>12,931,009</td>
<td>12,937,509</td>
<td>16,855,383</td>
<td>16,855,383</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Contributions:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Individuals</td>
<td>270,803</td>
<td></td>
<td>131,663</td>
<td>94,009</td>
<td>225,672</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>University consortium</td>
<td>1,700,000</td>
<td>1,600,000</td>
<td>50,000</td>
<td>1,750,000</td>
<td>1,750,000</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net assets released from program restrictions</td>
<td>20,713,385</td>
<td>(20,713,385)</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total support</strong></td>
<td>22,690,688</td>
<td>(7,699,868)</td>
<td>125,000</td>
<td>15,115,820</td>
<td>375,000</td>
<td>19,426,040</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Revenue and investment income:**

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net investment income</td>
<td>32,651</td>
<td>32,651</td>
<td>118,022</td>
<td>118,022</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Endowment investment income</td>
<td>481,290</td>
<td>765,880</td>
<td>10,202,309</td>
<td>6,181,103</td>
<td>16,383,412</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Membership dues</td>
<td>1,275,633</td>
<td>1,275,633</td>
<td>1,107,975</td>
<td>1,107,975</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Humanities E-Book subscriptions</td>
<td>1,012,213</td>
<td>1,012,213</td>
<td>927,461</td>
<td>927,461</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Royalties</td>
<td>182,593</td>
<td>182,593</td>
<td>28,669</td>
<td>130,303</td>
<td>158,972</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td>35,519</td>
<td>576</td>
<td>36,095</td>
<td>36,095</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total revenue and investment income</strong></td>
<td>2,987,248</td>
<td>317,817</td>
<td>3,305,065</td>
<td>7,356,889</td>
<td>18,712,083</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Total support, revenue, and investment income:**

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
</table>
|                        | 25,677,936   | (7,382,051)            | 125,000                | 18,420,885 | 31,655,685 | 6,107,438             | 375,000                | 38,138,123 |}

**Expenses:**

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fellowships and other direct program costs</td>
<td>19,142,925</td>
<td>19,142,925</td>
<td>16,016,523</td>
<td>16,016,523</td>
<td>32,199,636</td>
<td>32,199,636</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Central Fellowship</td>
<td>3,654,394</td>
<td>3,654,394</td>
<td>3,199,636</td>
<td>3,199,636</td>
<td>7,313,836</td>
<td>7,313,836</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Humanities E-Book</td>
<td>1,205,261</td>
<td>1,205,261</td>
<td>874,215</td>
<td>874,215</td>
<td>2,079,476</td>
<td>2,079,476</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Program administration</td>
<td>1,712,746</td>
<td>1,712,746</td>
<td>1,679,644</td>
<td>1,679,644</td>
<td>3,392,390</td>
<td>3,392,390</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fund-raising</td>
<td>187,558</td>
<td>187,558</td>
<td>64,000</td>
<td>64,000</td>
<td>251,558</td>
<td>251,558</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total expenses</strong></td>
<td>25,902,884</td>
<td>25,902,884</td>
<td>21,854,018</td>
<td>21,854,018</td>
<td>47,756,900</td>
<td>47,756,900</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Change in net assets before pension-related charges other than periodic costs:**

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>(224,948)</td>
<td>(7,382,051)</td>
<td>125,000</td>
<td>(7,481,999)</td>
<td>9,801,667</td>
<td>6,107,438</td>
<td>375,000</td>
<td>16,284,105</td>
<td></td>
</tr>
<tr>
<td>Pension-related charges other than periodic costs</td>
<td>136,875</td>
<td>136,875</td>
<td>81,889</td>
<td>81,889</td>
<td>218,764</td>
<td>218,764</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Change in net assets:**

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>(88,073)</td>
<td>(7,382,051)</td>
<td>125,000</td>
<td>(7,345,124)</td>
<td>9,883,556</td>
<td>6,107,438</td>
<td>375,000</td>
<td>16,365,994</td>
<td></td>
</tr>
</tbody>
</table>

**Net assets, beginning of year:**

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>73,994,744</td>
<td>37,218,963</td>
<td>34,556,959</td>
<td>145,770,666</td>
<td>64,111,188</td>
<td>31,111,525</td>
<td>$ 34,556,959</td>
<td>129,404,672</td>
<td></td>
</tr>
</tbody>
</table>

**Net assets, end of year:**

<table>
<thead>
<tr>
<th></th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>$ 73,906,671</td>
<td>$ 29,836,912</td>
<td>$ 34,681,959</td>
<td>$ 138,425,542</td>
<td>64,111,188</td>
<td>31,111,525</td>
<td>$ 34,556,959</td>
<td>145,770,666</td>
<td></td>
</tr>
</tbody>
</table>

*See notes to financial statements.*
## American Council of Learned Societies

**Year Ended June 30, 2015** (with summarized information for 2014)

<table>
<thead>
<tr>
<th>Grant Programs</th>
<th>Central Fellowship</th>
<th>Scholarly Publications</th>
<th>Program Administration</th>
<th>Fund-Raising</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Central fellowships (endowed)</strong></td>
<td>$3,256,396</td>
<td>$3,256,396</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Fellowships and grants</strong></td>
<td>$15,158,489</td>
<td>$15,158,489</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Salaries</strong></td>
<td>1,886,924</td>
<td>224,197</td>
<td>114,933</td>
<td>455,136</td>
</tr>
<tr>
<td><strong>Retirement plan</strong></td>
<td>125,520</td>
<td>22,420</td>
<td>9,195</td>
<td>40,962</td>
</tr>
<tr>
<td><strong>Other employee benefits</strong></td>
<td>424,488</td>
<td>42,642</td>
<td>27,986</td>
<td>98,051</td>
</tr>
<tr>
<td><strong>Payroll taxes</strong></td>
<td>93,581</td>
<td>17,151</td>
<td>8,792</td>
<td>22,757</td>
</tr>
<tr>
<td><strong>Legal</strong></td>
<td>5,000</td>
<td>5,000</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Auditing</strong></td>
<td>62,061</td>
<td>62,061</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Other professional fees</strong></td>
<td>284,903</td>
<td>31,010</td>
<td>208,716</td>
<td>100,076</td>
</tr>
<tr>
<td><strong>Advertising</strong></td>
<td>2,267</td>
<td>2,115</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Office expense</strong></td>
<td>65,886</td>
<td>6,509</td>
<td>16,913</td>
<td>105,213</td>
</tr>
<tr>
<td><strong>Information technology</strong></td>
<td>199,488</td>
<td>15,139</td>
<td>13,947</td>
<td>228,277</td>
</tr>
<tr>
<td><strong>Royalties</strong></td>
<td>435,836</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Occupancy</strong></td>
<td>12,799</td>
<td>10,394</td>
<td>144,617</td>
<td></td>
</tr>
<tr>
<td><strong>Travel</strong></td>
<td>366,871</td>
<td>11,377</td>
<td>11,399</td>
<td>94,314</td>
</tr>
<tr>
<td><strong>Conferences and meetings</strong></td>
<td>243,327</td>
<td>11,853</td>
<td>27,922</td>
<td>213,688</td>
</tr>
<tr>
<td><strong>Interest expense</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Depreciation and amortization</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Insurance</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Printing, publishing and reports</strong></td>
<td>16,501</td>
<td>1,875</td>
<td>264,032</td>
<td>26,086</td>
</tr>
<tr>
<td><strong>Dues and subscriptions</strong></td>
<td>1,116</td>
<td>689</td>
<td>5,566</td>
<td>65,632</td>
</tr>
<tr>
<td><strong>Miscellaneous</strong></td>
<td>8,134</td>
<td>706</td>
<td>541</td>
<td>11,386</td>
</tr>
<tr>
<td><strong>Overhead allocation</strong></td>
<td>252,631</td>
<td>57,368</td>
<td>(309,999)</td>
<td></td>
</tr>
</tbody>
</table>

**Totals**

<table>
<thead>
<tr>
<th>Grant Programs</th>
<th>Central Fellowship</th>
<th>Scholarly Publications</th>
<th>Program Administration</th>
<th>Fund-Raising</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>$19,142,925</strong></td>
<td><strong>$3,654,394</strong></td>
<td><strong>$1,205,261</strong></td>
<td><strong>$1,712,746</strong></td>
<td><strong>$187,558</strong></td>
</tr>
</tbody>
</table>

See notes to financial statements.
### STATEMENTS OF FUNCTIONAL EXPENSES for the Year Ended June 30, 2014

<table>
<thead>
<tr>
<th></th>
<th>Grant Programs</th>
<th>Central Fellowship</th>
<th>Humanities E-Book</th>
<th>Program Administration</th>
<th>Fund-Raising</th>
<th>Total 2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>Central fellowships (endowed)</td>
<td>$ 11,963,380</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$ 2,821,636</td>
</tr>
<tr>
<td>Fellowships and Grants</td>
<td>$ 11,963,380</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>$ 2,821,636</td>
</tr>
<tr>
<td>Salaries</td>
<td>1,878,901</td>
<td>232,978</td>
<td>$66,243</td>
<td>$382,344</td>
<td>$43,356</td>
<td>2,603,822</td>
</tr>
<tr>
<td>Retirement plan</td>
<td>113,823</td>
<td>23,298</td>
<td>6,624</td>
<td>60,815</td>
<td>3,838</td>
<td>208,398</td>
</tr>
<tr>
<td>Other employee benefits</td>
<td>332,458</td>
<td>24,598</td>
<td>11,460</td>
<td>155,860</td>
<td>9,814</td>
<td>534,190</td>
</tr>
<tr>
<td>Payroll taxes</td>
<td>75,799</td>
<td>17,939</td>
<td>5,101</td>
<td>41,803</td>
<td>2,632</td>
<td>143,274</td>
</tr>
<tr>
<td>Legal</td>
<td>2,579</td>
<td>5,997</td>
<td>19,453</td>
<td></td>
<td></td>
<td>28,029</td>
</tr>
<tr>
<td>Auditing</td>
<td>64,751</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>64,751</td>
</tr>
<tr>
<td>Other professional fees</td>
<td>486,220</td>
<td>5,260</td>
<td>125,476</td>
<td>91,102</td>
<td></td>
<td>708,058</td>
</tr>
<tr>
<td>Advertising</td>
<td>8,327</td>
<td>295</td>
<td>26,707</td>
<td></td>
<td></td>
<td>35,329</td>
</tr>
<tr>
<td>Office expense</td>
<td>73,987</td>
<td>11,494</td>
<td>19,131</td>
<td>99,423</td>
<td>2,825</td>
<td>206,860</td>
</tr>
<tr>
<td>Information technology</td>
<td>159,611</td>
<td>15,090</td>
<td>3,585</td>
<td>113,501</td>
<td></td>
<td>291,787</td>
</tr>
<tr>
<td>Royalties</td>
<td>409,912</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>409,912</td>
</tr>
<tr>
<td>Occupancy</td>
<td>27,229</td>
<td>38,605</td>
<td>428</td>
<td>125,228</td>
<td></td>
<td>191,490</td>
</tr>
<tr>
<td>Travel</td>
<td>112,352</td>
<td>3,584</td>
<td>1,155</td>
<td>187,015</td>
<td>1,029</td>
<td>305,135</td>
</tr>
<tr>
<td>Conferences and meetings</td>
<td>510,108</td>
<td>7,902</td>
<td>203</td>
<td>133,524</td>
<td>460</td>
<td>652,197</td>
</tr>
<tr>
<td>Interest expense</td>
<td>113,440</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>113,440</td>
</tr>
<tr>
<td>Depreciation and amortization</td>
<td>215,075</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>215,075</td>
</tr>
<tr>
<td>Insurance</td>
<td>3,589</td>
<td>8,345</td>
<td>27,071</td>
<td></td>
<td></td>
<td>39,005</td>
</tr>
<tr>
<td>Printing, publishing and reports</td>
<td>89,919</td>
<td>140,649</td>
<td>13,102</td>
<td>243,670</td>
<td></td>
<td>243,670</td>
</tr>
<tr>
<td>Dues and subscriptions</td>
<td>4,096</td>
<td>2,278</td>
<td>65,292</td>
<td></td>
<td></td>
<td>71,666</td>
</tr>
<tr>
<td>Miscellaneous</td>
<td>145</td>
<td>337</td>
<td>173</td>
<td>2,213</td>
<td>46</td>
<td>2914</td>
</tr>
<tr>
<td>Overhead allocation</td>
<td>174,000</td>
<td>57,368</td>
<td>(231,368)</td>
<td></td>
<td></td>
<td>0</td>
</tr>
</tbody>
</table>

$ 16,016,523 $ 3,219,636 $ 874,215 $ 1,679,644 $ 64,000 $ 21,854,018

See notes to financial statements.
## STATEMENTS OF CASH FLOWS

<table>
<thead>
<tr>
<th>Cash flows from operating activities:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Change in net assets</td>
</tr>
<tr>
<td>Adjustments to reconcile change in net assets to net cash (used in) provided by operating activities:</td>
</tr>
<tr>
<td>Depreciation and amortization</td>
</tr>
<tr>
<td>Bad debt expense</td>
</tr>
<tr>
<td>Net unrealized losses (gains) on fair value of investments</td>
</tr>
<tr>
<td>Net realized gains on sales of investments</td>
</tr>
<tr>
<td>Permanently restricted contributions</td>
</tr>
<tr>
<td>Changes in:</td>
</tr>
<tr>
<td>Accounts receivable</td>
</tr>
<tr>
<td>Grants receivable</td>
</tr>
<tr>
<td>Accrued interest and other assets</td>
</tr>
<tr>
<td>Accounts payable and accrued expenses</td>
</tr>
<tr>
<td>Accrued post-retirement benefit</td>
</tr>
<tr>
<td>Fellowships payable</td>
</tr>
<tr>
<td>Deferred revenues</td>
</tr>
<tr>
<td>Net cash (used in) provided by operating activities</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Cash flows from investing activities:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proceeds from sales of investments</td>
</tr>
<tr>
<td>Purchases of investments</td>
</tr>
<tr>
<td>Purchases of property and equipment</td>
</tr>
<tr>
<td>Net cash provided by (used in) investing activities</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Cash flows from financing activities:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Permanently restricted contributions</td>
</tr>
<tr>
<td>Bank loan repayments</td>
</tr>
<tr>
<td>Net cash used in financing activities</td>
</tr>
</tbody>
</table>

| Change in cash                        | (3,112,703)   | (4,204,114)  |
| Cash, beginning of year               | 6,817,906     | 11,022,020   |
| Cash, end of year                     | $ 3,705,203   | $ 6,817,906  |

<table>
<thead>
<tr>
<th>Supplemental disclosure of cash flow information:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Interest paid during the year</td>
</tr>
</tbody>
</table>

See notes to financial statements.
NOTE A – ORGANIZATION AND SIGNIFICANT ACCOUNTING POLICIES

1. Organization:
   The American Council of Learned Societies (the “Council”), incorporated in Washington, D.C. in 1924, was established in 1919 and is located in New York City. The Council is a private, not-for-profit federation of national scholarly organizations, funded largely by grants from private foundations and universities and by federal grants (principally from the National Endowment for the Humanities and the U.S. Department of State). The purpose of the Council is the advancement of humanistic studies in all fields of learning and the maintenance and strengthening of relations among the national societies devoted to such studies.

   The Council is exempt from federal income taxes under Section 501(c)(3) of the U.S. Internal Revenue Code, and from state and local taxes under comparable laws.

2. Basis of accounting:
   The accompanying financial statements of the Council have been prepared using the accrual basis of accounting and conform to accounting principles generally accepted in the United States of America, as applicable to not-for-profit entities.

3. Use of estimates:
   The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amount of assets, liabilities, revenues and expenses, as well as the disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

4. Investments:
   Investments in equity securities with readily determinable fair values are reported at their fair values in the accompanying statements of financial position, with realized and unrealized gains and losses included in the accompanying statements of activities. The Council’s mutual funds are also reported at their fair values, as determined by the related investment manager or advisor. Certificates of deposits and cash held by investment advisors for investments are reported as investments in the accompanying statements of financial position.

   The Council has investments in certain not-readily-marketable securities which are ownership interests in alternative investments, consisting of private-equity securities, limited partnerships and offshore hedge funds, for which readily determinable fair values do not exist. The fair value of the alternative investments has been estimated based on the respective Net Asset Value per share (“NAV”) of each investment as reported by the investment company fund manager.

   Because of the complex management structure and nature of the underlying investments and inherent uncertainty of the valuation of the alternative investments, the Council’s management and its various investment managers monitor their positions to reduce the risk of potential losses due to changes in fair values or the failure of counterparties to perform. Management believes the carrying amount of the investments in non-publicly traded securities is a reasonable estimate of fair value. Estimated fair values may differ significantly from the values that would have been used had a ready market for these securities existed. The Council’s investments in limited partnerships are valued based on the valuation policies and procedures of the general partner. The general partner performs oversight of the underlying positions, both on an investment level and from a risk perspective. The general partner is also responsible for ensuring that the investments are valued according to the policies and procedures adopted by the partnership. The Council places reliance upon those procedures, and it records those investments at fair value as determined by the general partner.

   Investment transactions are recorded on a trade-date basis. Realized gains or losses on investments are determined by comparison of the average cost of acquisition to proceeds at the time of disposition. The earnings from dividends and interest are recognized when earned.
Donated securities are recorded at their estimated fair value at the date of donation. The Council's policy is to sell donated securities immediately, and, accordingly, for purposes of the statement of cash flows, donated securities and the proceeds generated from their sale are included as operating activities.

Investment expenses include the services of investment managers and custodians. The balances of investment management fees disclosed in Note B are those specific fees charged by the Council's various investment managers in each fiscal year; however, they do not include those fees that are embedded in various other investment accounts and transactions.

5. Property and equipment:

Property and equipment are stated at their original costs at the date of acquisition, or, if contributed, at their fair values at the dates of donation. The Council capitalizes items of property and equipment that have a cost of $5,000 or more and useful lives greater than one year. Depreciation is provided using the straight line method over five to thirty years, the estimated useful lives of the related assets.

Management evaluates the recoverability of the investment in long-lived assets on an on-going basis and recognizes any impairment in the year of determination. Long-lived assets were tested for impairment as of June 30, 2015 and 2014, respectively, and in the opinion of management, there were no impairments. It is reasonably possible that relevant conditions could change in the near term and necessitate a change in management’s estimate of the recoverability of these assets.

6. Deferred debt-issuance costs:

During fiscal-year 2013, the Council obtained a bank loan and incurred $77,159 in debt-issuance costs in the process. Aggregate costs relating to the issuance of loans are being amortized over the term of the debt using the effective interest method. Amortization of deferred debt-issuance costs was $7,716 for both fiscal years 2015 and 2014, respectively.

7. Accrued vacation:

Accrued vacation is included as a liability in the accompanying financial statements and represents the Council’s obligation for the cost of unused employee vacation time payable in the event that all employees left the Council; the obligation is recalculated every year. At June 30, 2015 and 2014, the accrued vacation obligation was approximately $278,000 and $231,000, respectively, and was reported as part of accounts payable and accrued expenses in the accompanying statements of financial position.

8. Net assets:

(i) Unrestricted:

Unrestricted net assets represent those resources that are not subject to donor-imposed restrictions. Substantially all of the Council’s unrestricted net assets, exclusive of the amounts representing property and equipment, have been allocated, by a formal resolution of the Board of Directors, to board-designated endowment, the unrestricted earnings of which will be applied to the future support of its central fellowship program and administrative expenses. Annually, any amount up to, but not greater than, the excess of its unrestricted revenue over expenses, including unrealized gains or losses on its entire investment portfolio, may be so designated.

(ii) Temporarily restricted:

Temporarily restricted net assets represent those resources that are subject to the requirements of the District of Columbia’s Uniform Prudent Management of Institutional Funds Act ("UPMIFA") and the use of which has been restricted by donors or state law to specific purposes and/or the passage of time. When a donor restriction expires, that is, when a stipulated time restriction ends, a purpose restriction is accomplished or the funds are appropriated through an action of the Board of Directors, and temporarily restricted net assets are reclassified as unrestricted net assets and reported in the accompanying statements of activities as net assets released from restrictions.
(iii) Permanently restricted:
Permanently restricted net assets represent those resources the principal of which is originally restricted into perpetuity by donors. The purposes for which the income and net capital appreciation arising from the underlying assets may be used depend on the wishes of those donors. Under the terms of UPMIFA, those earnings are classified as temporarily restricted in the accompanying statements of activities, pending appropriation by the Board of Directors.

9. Revenue recognition:

(i) Contributions:
Contributions made to the Council are recognized as revenue upon the receipt of cash, other assets or unconditional pledges. Contributions are recorded as either temporarily or permanently restricted if they are received with donor stipulations or time considerations as to their use. Conditional contributions are recorded when the conditions have been met, and, if received in advance, are recognized in the statements of financial position as funds received in advance. Contributions to be received over periods longer than a single year are discounted at an interest rate commensurate with the risk involved.

(ii) Restricted grant revenue:
Revenue received from U.S. government agencies, foundations and corporations in the form of a grant is initially recorded as temporarily restricted upon the receipt of cash or pledge if they are received with donor stipulations as to their use. As the restrictions are met, the support is reclassified as unrestricted. Restrictions are generally met when program and administration expenses relating to the designated purpose of the particular contract, grant or award are incurred.

(iii) Dues:
The Council receives dues from its members. Dues applicable to a current year are recognized as revenue in that year. Dues received for a future year’s membership are deferred and recognized on a pro-rata basis over the period of membership.

10. Functional allocation of expenses:
The cost of providing the various programs and supporting services have been summarized on a functional basis in the accompanying statements activities and functional expenses. Accordingly, certain costs have been allocated by management among the program, management and general and fund-raising categories, using appropriate measurement methodologies.

11. Endowment funds:
The Council makes all applicable disclosures to its funds treated as endowment, both donor-restricted and board-designated.

12. Income tax uncertainties:
The Council is subject to the provisions of the Financial Accounting Standards Board’s (the “FASB”) Accounting Standards Codification (“ASC”) Topic 740, Income Taxes, as it relates to accounting and reporting for uncertainty in income taxes. Due to the Council’s general not-for-profit status, management believes ASC Topic 740 has not had, and is not anticipated to have, a material impact on the Council’s financial statements.

13. Fair-value measurement:
The Council reports a fair-value measurement of all applicable financial assets and liabilities, including investments, grants and accounts receivables and short-term payables, which approximate fair-value.
14. Subsequent events:

The Council considers all of the accounting treatments, and the related disclosures in the current fiscal-year’s financial statements, that may be required as the result of all events or transactions that occur after June 30, 2015 through October 23, 2015, the date the independent auditors’ report was available to be released.

15. Recent accounting pronouncements:

In May 2015, the FASB issued Accounting Standards Update (“ASU”) No. 2015-07, Disclosures for Investments in Certain Entities that Calculate Net Asset Value per Share (or its Equivalent). ASU 2015-07 removes the requirement to categorize within the fair-value hierarchy all investments for which the fair value is measured using NAV as a practical expedient. The Council adopted ASU 2015-07 in fiscal-year 2015, with retrospective application to the prior fiscal year. Accordingly, investments for which fair value is measured using NAV as a practical expedient have not been categorized within the fair-value hierarchy for fiscal-years 2015 and 2014.

NOTE B – INVESTMENTS

At each fiscal year-end, investments consisted of the following:

<table>
<thead>
<tr>
<th></th>
<th>June 30, 2015</th>
<th>June 30, 2014</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Fair Value</td>
<td>Cost</td>
</tr>
<tr>
<td>Money-market funds</td>
<td>$13,301,846</td>
<td>$13,301,846</td>
</tr>
<tr>
<td>Certificates of deposit</td>
<td>1,410,383</td>
<td>1,410,383</td>
</tr>
<tr>
<td>Equity securities</td>
<td>21,306,689</td>
<td>15,338,338</td>
</tr>
<tr>
<td>Mutual funds</td>
<td>40,940,712</td>
<td>39,715,369</td>
</tr>
<tr>
<td>Alternative investments</td>
<td>75,052,901</td>
<td>47,621,265</td>
</tr>
<tr>
<td></td>
<td>$152,012,531</td>
<td>$117,387,201</td>
</tr>
</tbody>
</table>

For each fiscal year, net investment income consisted of the following:

<table>
<thead>
<tr>
<th></th>
<th>Year Ended June 30, 2015</th>
<th>Year Ended June 30, 2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>Interest and dividends</td>
<td>$681,251</td>
<td>$1,604,445</td>
</tr>
<tr>
<td>Net realized gains</td>
<td>3,914,008</td>
<td>5,207,793</td>
</tr>
<tr>
<td>Net unrealized (losses) gains</td>
<td>(3,236,199)</td>
<td>(10,233,687)</td>
</tr>
<tr>
<td>Less: investment expenses</td>
<td>(560,529)</td>
<td>(544,491)</td>
</tr>
<tr>
<td></td>
<td>$798,531</td>
<td>$16,501,434</td>
</tr>
</tbody>
</table>

The FASB’s ASC Topic 820, Fair Value Measurement and Disclosures, establishes a three-level valuation hierarchy of fair-value measurements. These valuation techniques are based upon observable and unobservable inputs. Observable inputs reflect market data obtained from independent sources, while unobservable inputs reflect market assumptions. These two types of inputs create the following fair-value hierarchy:

- Level 1—Valuations are based on observable inputs that reflect quoted market prices in active markets for those investments, or similar investments, at the reporting date.
- Level 2—Valuations are based on (i) quoted prices for those investments, or similar investments, in active markets, or (ii) quoted prices for those investments, or similar investments, in markets that are not active, or (iii) pricing inputs other than quoted prices that are directly or indirectly observable at the reporting date. Level 2 assets include those investments, or similar investments, that are
redeemable at or near the statement of financial position date and for which a model was derived for valuation.

• Level 3—Valuations are based on pricing inputs that are unobservable and include situations where (i) there is little, if any, market activity for the investments, or (ii) the investments cannot be independently valued, or (iii) the investments cannot be immediately redeemed at or near the fiscal year-end.

The availability of market data is monitored to assess the appropriate classification of financial instruments within the fair-value hierarchy. Changes in economic conditions or valuation techniques may require the transfer of financial instruments from one level to another. In such instances, the transfer is reported at the beginning of the reporting period. For fiscal-years 2015 and 2014, there were no transfers among the fair-value hierarchy levels.

The following tables summarize the fair values of the Council’s financial assets at each fiscal year-end, in accordance with the ASC Topic 820 valuation levels:

### June 30, 2015

<table>
<thead>
<tr>
<th></th>
<th>Level 1</th>
<th>Level 2</th>
<th>Level 3</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Money-market funds</td>
<td>$ 13,301,846</td>
<td>$ 1,410,383</td>
<td></td>
<td>$ 13,301,846</td>
</tr>
<tr>
<td>Certificates of deposit</td>
<td>21,306,689</td>
<td>40,940,712</td>
<td></td>
<td>101,908,810</td>
</tr>
<tr>
<td>Equity securities</td>
<td>75,549,247</td>
<td>1,410,383</td>
<td></td>
<td>75,549,247</td>
</tr>
<tr>
<td>Mutual funds</td>
<td>24,949,180</td>
<td></td>
<td></td>
<td>24,949,180</td>
</tr>
<tr>
<td>Alternative investments</td>
<td>50,103,721</td>
<td></td>
<td></td>
<td>50,103,721</td>
</tr>
<tr>
<td>Funds valued at NAV</td>
<td>$ 152,012,531</td>
<td></td>
<td></td>
<td>$ 152,012,531</td>
</tr>
</tbody>
</table>

### June 30, 2014

<table>
<thead>
<tr>
<th></th>
<th>Level 1</th>
<th>Level 2</th>
<th>Level 3</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Money-market funds</td>
<td>$ 12,565,358</td>
<td>$ 3,055,403</td>
<td></td>
<td>$ 12,565,358</td>
</tr>
<tr>
<td>Certificates of deposit</td>
<td>28,126,190</td>
<td></td>
<td></td>
<td>28,126,190</td>
</tr>
<tr>
<td>Equity securities</td>
<td>84,859,452</td>
<td>23,917,205</td>
<td></td>
<td>111,832,060</td>
</tr>
<tr>
<td>Mutual funds</td>
<td>23,917,205</td>
<td></td>
<td></td>
<td>23,917,205</td>
</tr>
<tr>
<td>Alternative investments</td>
<td>40,327,535</td>
<td></td>
<td></td>
<td>40,327,535</td>
</tr>
<tr>
<td>Funds valued at NAV</td>
<td>$ 152,159,595</td>
<td></td>
<td></td>
<td>$ 152,159,595</td>
</tr>
</tbody>
</table>

The following table presents the Council’s reconciliation of Level 3 assets for each fiscal-year:

### June 30, 2015  June 30, 2014

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Beginning Balance</td>
<td>$ 23,917,205</td>
<td>$ 40,821,643</td>
<td></td>
</tr>
<tr>
<td>Purchases</td>
<td>80,621</td>
<td>3,072,336</td>
<td></td>
</tr>
<tr>
<td>Redemptions</td>
<td>(69,000)</td>
<td>(22,257,789)</td>
<td></td>
</tr>
<tr>
<td>Realized gains</td>
<td>2,189,139</td>
<td>91,876</td>
<td></td>
</tr>
<tr>
<td>Unrealized gains</td>
<td>1,020,354</td>
<td>91,876</td>
<td></td>
</tr>
<tr>
<td><strong>Ending balance</strong></td>
<td><strong>$ 24,949,180</strong></td>
<td><strong>$ 23,917,205</strong></td>
<td></td>
</tr>
</tbody>
</table>
The following table describes the funding commitment and redemption information for the Council's alternative investments:

<table>
<thead>
<tr>
<th></th>
<th>Fair Value</th>
<th>Unfunded Commitments</th>
<th>Redemption Frequency</th>
<th>Redemption Notice Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alternative investments</td>
<td>$75,052,901</td>
<td>$32,500</td>
<td>Quarterly – Annually</td>
<td>Redemptions vary among the different investments from semi-annually and quarterly through termination of the investment vehicle.</td>
</tr>
</tbody>
</table>

**NOTE C – GRANTS AND ACCOUNTS RECEIVABLE**

1. At each fiscal-year end, grants receivable were estimated to be due as follows:

<table>
<thead>
<tr>
<th>June 30, 2015</th>
<th>June 30, 2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>One year or less</td>
<td>$1,140,208</td>
</tr>
<tr>
<td>One to five years</td>
<td>191,100</td>
</tr>
<tr>
<td>Total</td>
<td>1,331,308</td>
</tr>
</tbody>
</table>

Reduction of grants receivable due in excess of one year to present value at a rate of 4%:

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>0 (114,280)</td>
</tr>
</tbody>
</table>

Based on the Council’s prior experience with donors, management expects to collect the receivables in full and, accordingly, has not established an allowance for uncollectible grants.

2. At each year-end, other accounts receivable consisted of amounts due to the Council for exchange-type transactions. All amounts are due within one year. Based on the Council’s prior experience, approximately $130,000 has been reserved for doubtful collections.

**NOTE D – PROPERTY AND EQUIPMENT**

At each fiscal-year end, property and equipment consisted of the following:

<table>
<thead>
<tr>
<th></th>
<th>June 30, 2015</th>
<th>June 30, 2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>Building and improvements</td>
<td>$4,716,861</td>
<td>$4,716,861</td>
</tr>
<tr>
<td>Equipment</td>
<td>1,107,541</td>
<td>1,030,608</td>
</tr>
<tr>
<td>Furniture and fixtures</td>
<td>259,481</td>
<td>242,955</td>
</tr>
<tr>
<td>Less: accumulated depreciation</td>
<td>6,083,883</td>
<td>5,990,424</td>
</tr>
<tr>
<td>(3,214,884)</td>
<td>(3,008,197)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$2,868,999</td>
<td>$2,982,227</td>
</tr>
</tbody>
</table>

Depreciation expense was $206,687 and $207,359 for fiscal-years 2015 and 2014, respectively.
NOTE E – FELLOWSHIPS PAYABLE

Fellowships and stipends are awarded to institutions and individuals for the advancement of humanistic studies in all fields of learning. It is the Council’s policy, in conjunction with grant agreements, to allow recipients to choose when payments of awards are to be received. Fellowships and stipends are usually paid over a period of one to nine years.

The Council records the expense and commitment of these fellowships and stipends when the awards are approved by the Council and accepted by the recipient. Fellowships and stipends are estimated to be paid as follows:

<table>
<thead>
<tr>
<th>Year Ending</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>June 30, 2016</td>
<td>$9,635,339</td>
</tr>
<tr>
<td>2017</td>
<td>2,638,554</td>
</tr>
<tr>
<td>2018</td>
<td>3,410,023</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$15,683,916</strong></td>
</tr>
</tbody>
</table>

During fiscal-years 2015 and 2014, the Council awarded fellowships and stipends of $18,414,885 and $14,785,016, respectively.

NOTE F – BANK LOAN PAYABLE

During fiscal-year 2013, the Council obtained a bank loan of $3,745,000, which matures on May 23, 2023. The loan is subject to certain covenants and bears interest at an initial rate of 3.07%. The interest rate will increase by 0.2% for every $10,000,000 increment below $40,000,000 that the Council holds in expendable cash and investments at the anniversary of the date of the loan.

As of June 30, 2015 and 2014, the Council was in compliance with all covenants.

Future minimum principal payments due each year under the loan are as follows:

<table>
<thead>
<tr>
<th>Year Ending</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>June 30, 2016</td>
<td>$225,448</td>
</tr>
<tr>
<td>2017</td>
<td>232,542</td>
</tr>
<tr>
<td>2018</td>
<td>243,593</td>
</tr>
<tr>
<td>2019</td>
<td>250,079</td>
</tr>
<tr>
<td>2020</td>
<td>260,352</td>
</tr>
<tr>
<td>Thereafter</td>
<td>2,098,887</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$3,310,901</strong></td>
</tr>
</tbody>
</table>
NOTE G – TEMPORARILY RESTRICTED NET ASSETS

During each fiscal year, the changes in temporarily restricted net assets (including allocation of gains and losses) were as follows:

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Fellowship programs</td>
<td>$19,888,880</td>
<td>$9,164,164</td>
<td>$(13,532,794)</td>
<td>$15,520,250</td>
</tr>
<tr>
<td>Vietnam Program/CEEVN</td>
<td>2,653,845</td>
<td>71,744</td>
<td>(591,123)</td>
<td>2,134,466</td>
</tr>
<tr>
<td>Special Program</td>
<td>2,100,153</td>
<td>1,411,372</td>
<td>(1,272,184)</td>
<td>2,239,341</td>
</tr>
<tr>
<td>International programs</td>
<td>5,130,505</td>
<td>2,399,464</td>
<td>(3,691,283)</td>
<td>3,838,686</td>
</tr>
<tr>
<td>Electronic publishing</td>
<td>53,246</td>
<td></td>
<td>(53,246)</td>
<td>0</td>
</tr>
<tr>
<td>Accumulated endowment income reserved for appropriation</td>
<td>7,392,334</td>
<td>284,590</td>
<td>(1,572,755)</td>
<td>6,104,169</td>
</tr>
<tr>
<td></td>
<td><strong>$37,218,963</strong></td>
<td><strong>$13,331,334</strong></td>
<td><strong>$(20,713,385)</strong></td>
<td><strong>$29,836,912</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Fellowship programs</td>
<td>$15,062,969</td>
<td>$16,091,520</td>
<td>$(11,265,609)</td>
<td>$19,888,880</td>
</tr>
<tr>
<td>Vietnam Program/CEEVN</td>
<td>3,272,813</td>
<td>6,492</td>
<td>(625,460)</td>
<td>2,653,845</td>
</tr>
<tr>
<td>Special Program</td>
<td>2,324,479</td>
<td>169,132</td>
<td>(393,458)</td>
<td>2,100,153</td>
</tr>
<tr>
<td>International programs</td>
<td>7,763,321</td>
<td>1,300,558</td>
<td>(3,933,374)</td>
<td>5,130,505</td>
</tr>
<tr>
<td>Electronic publishing</td>
<td>0</td>
<td>927,461</td>
<td>(874,215)</td>
<td>53,246</td>
</tr>
<tr>
<td>Accumulated endowment income reserved for appropriation</td>
<td>2,687,943</td>
<td>6,181,103</td>
<td>(1,476,712)</td>
<td>7,392,334</td>
</tr>
<tr>
<td></td>
<td><strong>$31,111,525</strong></td>
<td><strong>$24,676,266</strong></td>
<td><strong>$(18,568,828)</strong></td>
<td><strong>$37,218,963</strong></td>
</tr>
</tbody>
</table>

NOTE H – ACCOUNTING AND REPORTING FOR ENDOWMENTS

1. The endowment:

   The Council’s endowment was established based on its mission and consists of both donor-restricted endowment funds and funds designated by the Board of Directors to function as endowment. As required by generally accepted accounting principles, net assets associated with endowment funds, including board-designated funds, are classified and reported based on the existence or absence of donor-imposed restrictions.

2. Interpretation of relevant law:

   The Board of Directors has interpreted the District of Columbia’s UPMIFA as requiring the preservation of the fair value of the original gift as of the date of the donor-restricted endowment funds, absent explicit donor stipulations to the contrary. As a result of this interpretation, the Council classifies as permanently restricted net assets (i) the original value of gifts donated to the permanent endowment, (ii) the original value of subsequent gifts to the permanent endowment, and (iii) accumulations to the permanent endowment (made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund). The remaining portion of the donor-restricted endowment fund that is not classified in permanently restricted net assets is classified in temporarily restricted net assets until those amounts are appropriated for expenditure by the Council, in a manner consistent with the standard of prudence prescribed by UPMIFA.
In accordance with UPMIFA, the Council considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

- the duration and preservation of the fund;
- the purpose of the organization and the donor-restricted endowment fund;
- general economic conditions and the possible effect of inflation and deflation;
- the expected total return from income and the appreciation in value of the investments; and
- the investment policies of the Council.

3. Endowment net-asset composition by type of fund:

<table>
<thead>
<tr>
<th>June 30, 2015</th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Board-designated endowment funds</td>
<td>$70,976,588</td>
<td>$6,104,169</td>
<td>$34,681,959</td>
<td>$40,786,128</td>
</tr>
<tr>
<td>Donor-restricted endowment funds</td>
<td>$6,104,169</td>
<td>$34,681,959</td>
<td>$70,976,588</td>
<td>$111,762,716</td>
</tr>
<tr>
<td>Total endowment funds</td>
<td>$70,976,588</td>
<td>$34,681,959</td>
<td>$70,976,588</td>
<td>$111,762,716</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>June 30, 2014</th>
<th>Unrestricted</th>
<th>Temporarily Restricted</th>
<th>Permanently Restricted</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Board-designated endowment funds</td>
<td>$70,943,717</td>
<td>$7,392,334</td>
<td>$34,556,959</td>
<td>$41,949,293</td>
</tr>
<tr>
<td>Donor-restricted endowment funds</td>
<td>$7,392,334</td>
<td>$34,556,959</td>
<td>$70,943,717</td>
<td>$112,893,010</td>
</tr>
<tr>
<td>Total endowment funds</td>
<td>$70,943,717</td>
<td>$34,556,959</td>
<td>$70,943,717</td>
<td>$112,893,010</td>
</tr>
</tbody>
</table>

Temporarily restricted endowment represents that portion of allocated investment income derived from permanently restricted endowment assets that has not been appropriated by the Board of Directors for expenditure.

Net assets were permanently restricted to support the following at each fiscal year-end:

<table>
<thead>
<tr>
<th>Central Fellowship Program:</th>
<th>June 30, 2015</th>
<th>June 30, 2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mellon Foundation</td>
<td>$12,300,000</td>
<td>$12,300,000</td>
</tr>
<tr>
<td>Ford Foundation</td>
<td>7,068,400</td>
<td>7,068,400</td>
</tr>
<tr>
<td>National Endowment for the Humanities</td>
<td>3,250,000</td>
<td>3,125,000</td>
</tr>
<tr>
<td>Rockefeller Foundation</td>
<td>1,000,000</td>
<td>1,000,000</td>
</tr>
<tr>
<td>William &amp; Flora Hewlett Foundation</td>
<td>500,000</td>
<td>500,000</td>
</tr>
<tr>
<td>Carnegie Corporation</td>
<td>100,000</td>
<td>100,000</td>
</tr>
<tr>
<td>Carl and Lily Pforzheimer Foundation</td>
<td>180,000</td>
<td>180,000</td>
</tr>
<tr>
<td>Other</td>
<td>2,395</td>
<td>2,395</td>
</tr>
<tr>
<td></td>
<td>24,400,795</td>
<td>24,275,795</td>
</tr>
</tbody>
</table>

Program Administration:

| Mellon Foundation | 10,000,000 | 10,000,000 |

Other:

<table>
<thead>
<tr>
<th>Lumiansky Fund</th>
<th>281,164</th>
<th>281,164</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$34,681,959</td>
<td>$34,556,959</td>
</tr>
</tbody>
</table>

NOTES TO 2015 FINANCIAL STATEMENTS CONTINUED
American Council of Learned Societies, June 30, 2015 and 2014
## Changes in endowment net assets:

<table>
<thead>
<tr>
<th></th>
<th>Year Ended June 30, 2015</th>
<th>Year Ended June 30, 2014</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Unrestricted</td>
<td>Temporarily Restricted</td>
</tr>
<tr>
<td>Net assets, beginning of year</td>
<td>$70,943,717</td>
<td>$7,392,334</td>
</tr>
<tr>
<td>Contributions</td>
<td>2,211,389</td>
<td>125,000</td>
</tr>
<tr>
<td>Investment return</td>
<td>481,290</td>
<td>284,590</td>
</tr>
<tr>
<td>Funds appropriated for expenditure</td>
<td>(2,659,808)</td>
<td>(1,572,755)</td>
</tr>
<tr>
<td>Net assets, end of year</td>
<td>$70,976,588</td>
<td>$6,104,169</td>
</tr>
</tbody>
</table>

## Funds with deficiencies:

Due to unfavorable market fluctuations, from time to time, the fair value of assets associated with individual donor-restricted endowment funds may decline below the historical dollar value of the donor’s original, permanently restricted contribution. Under the terms of UPMIFA, the Council has no responsibility to restore such decrease in value.

## Return objectives and risk parameters:

The Board of Directors evaluates its long-term asset allocation in meeting its fiduciary responsibilities for funding programs, protecting its endowment resources, and supporting future spending requirements. Accordingly, the Board has adopted investment policies for its endowment assets that seek to maintain their purchasing power.

## Strategies employed for achieving objectives:

To satisfy its long-term, rate-of-return objectives, the Council relies on a total-return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). The Council targets diversified assets, within prudent risk constraints.

## Spending policy and relation to the spending policy:

The Council has a policy of appropriating for distribution each year an average of 5% of its endowment fund’s average fair value over the prior 12 quarters through the fiscal year-end proceeding the fiscal year in which the distribution is planned. This is consistent with the Council’s objective to maintain the purchasing power of the endowment assets held in perpetuity or for a specified term, as well as to provide additional real growth through new gifts and investment return.
NOTE I – EMPLOYEE-BENEFIT PLAN

1. Defined-contribution 403(b) retirement plan:
   For its eligible employees, the Council provides retirement benefits under a defined-contribution,
   Section 403(b) employee-benefit plan, the assets of which are maintained through the Teachers
   Insurance and Annuity Association of America–College Retirement Equities Fund. The Council
   contributes a minimum of 5% of each eligible employee’s salary, as well as matches employee
   contributions up to a maximum of 5% of each eligible employee’s salary. Contributions for fiscal-
   years 2015 and 2014 were $202,753 and $204,560, respectively.

2. Postretirement medical benefit plan:
   The Council sponsors an unfunded, noncontributory, defined-benefit postretirement medical plan
   that covers employees hired prior to February 1, 1995.

   The following sets forth the plan’s funded status, reconciled with amounts reported in the Council’s
   financial statements at each-year end:

<table>
<thead>
<tr>
<th></th>
<th>June 30, 2015</th>
<th>June 30, 2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>Actuarial present value of benefit obligations:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Expected benefit obligation</td>
<td>$(1,619,268)</td>
<td>$(1,678,431)</td>
</tr>
<tr>
<td>Accumulated postretirement benefit obligation</td>
<td>$(1,587,317)</td>
<td>$(1,643,318)</td>
</tr>
<tr>
<td>Plan assets</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Funded status (excess of obligation over assets)</td>
<td>$(1,587,317)</td>
<td>$(1,643,318)</td>
</tr>
</tbody>
</table>

   Net periodic postretirement medical benefit costs included
   the following components:

<table>
<thead>
<tr>
<th></th>
<th>June 30, 2015</th>
<th>June 30, 2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>Service cost</td>
<td>$ 9,962</td>
<td>$ 13,518</td>
</tr>
<tr>
<td>Interest cost</td>
<td>63,392</td>
<td>68,508</td>
</tr>
<tr>
<td>Transition obligation amortization</td>
<td>25,142</td>
<td>25,142</td>
</tr>
<tr>
<td>Net loss amortization</td>
<td>49,739</td>
<td>45,780</td>
</tr>
<tr>
<td>Net periodic postretirement benefit cost</td>
<td>$ 148,235</td>
<td>$ 152,948</td>
</tr>
</tbody>
</table>

   Adjustments to net assets, reported in the statement
   of activities:

<table>
<thead>
<tr>
<th></th>
<th>June 30, 2015</th>
<th>June 30, 2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net actuarial loss</td>
<td>$ 61,994</td>
<td>$ 10,967</td>
</tr>
<tr>
<td>Unrecognized transition obligation</td>
<td>74,881</td>
<td>70,922</td>
</tr>
<tr>
<td>Funded status (excess of obligation over assets)</td>
<td>$ 136,875</td>
<td>$ 81,889</td>
</tr>
</tbody>
</table>

   Weighted-average assumptions:

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Discount rate</td>
<td>4.25 %</td>
<td>4.00 %</td>
</tr>
<tr>
<td>Medical cost-trend rate</td>
<td>5.00 %</td>
<td>5.00 %</td>
</tr>
</tbody>
</table>

   A one percentage-point increase in the assumed health-care cost-trend rates for each fiscal year would
   have resulted in an increase in the accumulated postretirement benefit obligation of $6,550 as of June 30,
   2015 and an increase in the aggregate cost components of net period postretirement benefit cost of
   $129,199 for the fiscal-year then ended.

   Employer contributions and benefits paid were $67,361 and $64,296 for fiscal-years 2015 and 2014,
   respectively. The estimated amount of the Council’s contributions for fiscal-year 2015 is $116,200.
NOTES TO 2015 FINANCIAL STATEMENTS CONTINUED

American Council of Learned Societies, June 30, 2015 and 2014

The following table illustrates the benefit distributions that are expected to be paid over the next ten fiscal years:

<table>
<thead>
<tr>
<th>Year Ended June 30</th>
<th>Expected Benefit Distributions</th>
</tr>
</thead>
<tbody>
<tr>
<td>2016</td>
<td>$116,200</td>
</tr>
<tr>
<td>2017</td>
<td>112,700</td>
</tr>
<tr>
<td>2018</td>
<td>102,100</td>
</tr>
<tr>
<td>2019</td>
<td>116,500</td>
</tr>
<tr>
<td>2020</td>
<td>114,900</td>
</tr>
<tr>
<td>2021–2025</td>
<td>549,300</td>
</tr>
</tbody>
</table>

NOTE J – CONCENTRATION OF CREDIT RISK

Financial instruments that potentially subject the Council to concentrations of credit risk consist principally of cash and cash-equivalent accounts deposited in high-credit-quality financial institutions, the balances of which, from time to time, may exceed federal insurance limits. However, management believes that the Council does not face a significant risk of loss on these accounts due to the failure of these institutions.

NOTE K – COMMITMENTS AND CONTINGENCIES

1. Government-funded activities:
   U.S. government grants are subject to audit in the future by governmental authorities. Accordingly, the Council could be required to fund any disallowed costs for its own federally supported programs, as well as those of the Council for the International Exchange of Scholars that were incurred during the period of the Council’s stewardship. In management’s opinion, any such audits would not result in disallowed costs in amounts that would be significant to the Council’s operations.

2. Other contracts:
   In the normal course of business, the Council enters into various contracts for professional and other services, which are typically renewable on a year-to-year basis.

NOTE L – CONCENTRATION OF REVENUE

During fiscal-year 2015, approximately 77% of the Council’s total support was provided by two donors. During fiscal-year 2014, approximately 92% of the Council’s total support was provided by one donor.
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SARAH PETERS, Administrative Assistant to the President

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STEVEN C. WHEATLEY, Vice President
KELLY BUTTERMORE, Executive Assistant to the Vice President and Grants Manager

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KATIE SMITH, Program Associate
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PHILANTHROPY
KATHLEEN M. HEINS, Director of Philanthropy

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JAMES MANEKAS, Staff Accountant
SERVIO MORENO, Office Assistant

WEB & INFORMATION SYSTEMS
CANDACE FREDE, Director of Web and Information Systems
REGAN SOMMER MCCOY, Database Administrator and Digital Media Coordinator

Information as of April 2017.
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